

Lateral loads carrying capacity and minimum thickness of circular and pointed masonry arches

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Abstract

This paper aims to evaluate the limit equilibrium condition and the minimum thickness of masonry arches in presence of horizontal loads. The analysis fits into the frame of limit analysis referring to Heyman's theory. Two types of arches are analysed, the circular and pointed one. The loading system consists of vertical and horizontal loads, which refer respectively to the self-weight of the voussoirs and to the seismic actions. The collapse mechanism and the corresponding horizontal load multiplier are determined, in the condition of rigid abutments, as functions of the geometrical features of the structure. The results are supported by some simple experimental tests and a sensitivity analysis, which considers the effect of geometrical irregularity on the load multiplier.

Keywords: Masonry arch, Minimum thickness, Limit analysis, Collapse mechanism, Seismic action.

1. Introduction

2 The construction of arches and vaults has widely involved the architec-
3 ture and engineering practice from the ancient centuries. There are direct
4 evidences of using vaulted structures since several centuries before Christ,
5 as in the Mesopotomian, Egyptian and Greek architecture, until coming to
6 the Romans, which consolidated the construction practice of them by a huge

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7 using of the arch in structures and infrastructures. Nevertheless, the stabil-
8 ity problem of an arch has always reserved some open questions about its
9 nature, so that it has been widely studied throughout the centuries, and also
10 during the last years, especially to improve the understanding of historical
11 constructions, for their conservation and restoration.

12 It's well known that the first intuitions regarding the stability of an arch
13 are related to Robert Hooke in 1676 [1], who claimed to have found “the
14 true Mathematical and Mechanical form of all manner of Arches for Build-
15 ing” giving the following solution “Ut pendet continuum flexile, sic stabit
16 contiguum rigidum inversum—As hangs a flexible cable, so but inverted will
17 stand the rigid arch” in an anagram form. This statement was the first of
18 a series of studies concerning the catenary as the best shape for an arch;
19 among them the works of Gregory [2], Bernoulli [3] and Stirling [4] must be
20 mentioned. One of the most famous applications of this concept is referred
21 to the study of Poleni for the stability of S.Peter's dome in Rome [5]. Ef-
22 fectively, in the same period also the research of a dome best shape was the
23 object of several in-depth studies, especially for the analysis of the structural
24 response [6, 7].

25 The 18th and 19th centuries were characterized by several contributions
26 on the study of the stability and the minimum thickness of a masonry arch -
27 Couplet [8] and Milankovitch [9] must be cited among others - which still to-
28 day continue to be object of in-depth analyses [10, 11], with special attention
29 also to the effect of stereotomy [12].

30 A turning point in studies on the stability of masonry buildings occurred
31 in the early sixties of 20th century, when Jacques Heyman extended the
32 limit analysis, initially developed for steel structures, to the so-called *Stone*
33 *Skeleton*. The application of the classical approach of limit analysis to the
34 masonry arch [13] requires the definition of *i)* equilibrium condition, *ii)* re-
35 sistance criterion, *iii)* mechanism condition. The first *i)* and the second *ii)*
36 correspond respectively to the individuation of a thrust line in equilibrium
37 with external loads and anywhere contained in the boundary of the arch. The
38 third condition *iii)* corresponds to a rotational mechanism, with hinges that
39 grow at the edge of the thickness, and requires the assumption of the follow-
40 ing hypothesis about the masonry [14]: masonry has no tensile strength, the
41 compressive strength of masonry is infinite, sliding failure does not occur.

42 The stability of masonry arches is considered as a geometric problem,
43 namely a right shape design is needed to achieve a safe state. Heyman [14]
44 gives the law of the minimum thickness for the circular arch subjected just to

45 self-weight, as a function of the angle of embrace. Heyman's solution is based
46 on a static analysis, by writing equilibrium equations and tangency conditions
47 with the assumption of considering the self-weight of the arch as uniformly
48 distributed along its geometrical centreline. On the other hand, Milankovitch
49 imposed the equilibrium equations by taking into account the right position
50 of the centre of mass of the voussoirs. An interesting investigation on the
51 comparison of such different hypotheses is shown in [15].

52 The analysis on the minimum thickness in presence of the self-weight has
53 been recently extended also to pointed arches [16] and elliptical arches [17].

54 If on one hand the literature regarding the analysis of circular arches sub-
55 jected to the self-weight is substantial, not much interest has been devoted to
56 the structural response to the seismic actions. Among the first papers dealing
57 with the masonry arch under seismic actions, it is worth mentioning the work
58 of Franciosi [18], who developed a procedure based on limit equilibrium anal-
59 ysis in large displacements that considers horizontal equivalent static forces.
60 However, it was necessary to wait the seminal paper of Oppenheim [19] for
61 the definition of the dynamic equations of motion under inertial loads. In this
62 work Oppenheim considered not only the occurring of the four-hinges mecha-
63 nism, but also the subsequent non-linear dynamic response of the arch. This
64 way was then followed by Clemente [20], who investigated the free vibrations
65 and the response to harmonic base acceleration. The study of the dynamic
66 behaviour has been later developed by considering the impact problem be-
67 tween the blocks [21] and by means of experimental tests [22]. More recently,
68 the stability of masonry arches, characterized by different shapes and sub-
69 jected to both vertical gravity and horizontal ground acceleration, has been
70 investigated in [23, 24]. The collapse analysis of existing masonry arches is
71 needed in order to assess their safety under the acting loads or environmen-
72 tal actions. If the structure is not able to stand the assigned loads or the
73 safety margin is not guaranteed, strenghtening interventions are needed in
74 order to increase its bearing capacity [25, 26, 27, 28], until the requirements
75 of modern codes are satisfied.

76 In this work a numerical procedure for the prediction of the collapse
77 condition of a structure made by rigid blocks has been performed, based on
78 the limit analysis. The procedure has been applied to circular and pointed
79 arches in order to investigate their capacity to withstand lateral loading.

80 Moreover, some experimental tests are performed to validate the proce-
81 dure and a sensitivity analysis of the horizontal load multiplier is proposed,
82 by varying the geometrical features of the circular and pointed arches.

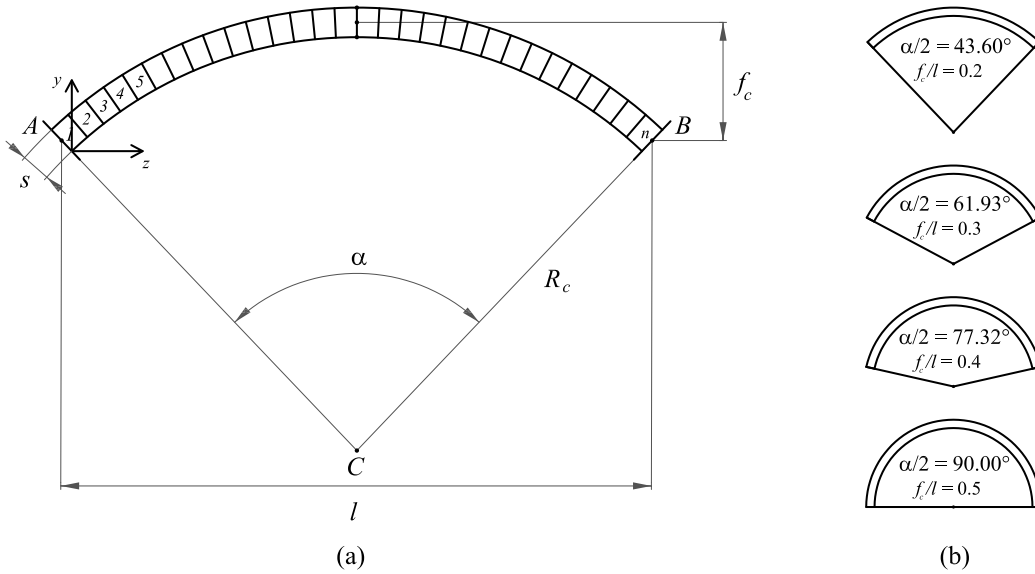


Figure 1: Geometry of the circular masonry arch (a) and analysed cases (b).

83 2. Circular arch

84 2.1. Geometrical description and numerical procedure

85 Let us consider the arch behaviour in its plane. The centreline of a
 86 circular arch is described by assigning the radius R_c and the central angle α
 87 or, alternatively, the span l and the rise f_c . Then the thickness s and the
 88 out of plane depth d uniquely identify the arch, as shown in Figure 1(a).
 89 In this paper four types of circular arch are analysed, three segmental and
 90 one semicircular, corresponding to the following f_c/l ratios: 0.2, 0.3, 0.4, 0.5
 91 (Fig. 1(b)).

92 In the analysis, each arch is divided into n voussoirs, which are numbered
 93 from left to right. The resulting $n + 1$ joints are obtained by radial cuts. In
 94 the following the generic voussoir will be identified by the index i and the
 95 generic joint by the index j . The geometrical description of the structure,
 96 referring to a system of Cartesian axes (z, y) , requires the localization of
 97 the following points: the centroid G of each voussoir, the intrados I and
 98 extrados S points and the centroid P of each joint. Following the theory of
 99 Milankovitch [10], the centroid of the i th voussoir is calculated taking into
 100 account its effective geometry.

101 The loading system consists of vertical and horizontal forces, which rep-

102 resent respectively the self-weight F and the corresponding seismic action
 103 F_S . According to the hypotheses made in literature within this framework
 104 [18, 19, 20, 21, 22, 23], being the arch modeled as a rigid body, it is con-
 105 ceivable to consider that, in presence of an horizontal ground motion, it is
 106 subjected to the same level of acceleration. Then, by using limit analysis,
 107 it can be asserted that, also at collapse, the lateral inertial load for each
 108 voussoir is proportional to the vertical distribution of the mass by means of
 109 the same load multiplier k . Indeed the forces applied to the i th voussoir are:

$$F_i = \gamma_m A_i d \quad (1)$$

$$F_{S_i} = k F_i \quad (2)$$

110 where γ_m represents the masonry's specific weight and A_i the area of the
 111 i th voussoir in the arch plane. Without loss of generality it is assumed that
 112 the seismic action, i.e. the force F_{S_i} , is directed from left to right.

113 The presence of horizontal forces causes the development of four-hinges
 114 mechanisms at the collapse [19]. According to the safe theorem [14], the
 115 equilibrium of an arch is assessed if it is possible to reach a thrust line in
 116 equilibrium with the external loads which lies inside the boundaries of the
 117 arch, namely between the intrados and the extrados lines. By referring to
 118 limit analysis, it is possible to study the equilibrium condition of the arch at
 119 failure.

120 Let us assume a trial configuration of the position of the hinges M , Q ,
 121 T , U corresponding to the m , q , t , u joints (Fig. 2). The analysis of the
 122 equilibrium condition is performed by means of a system of balance equations.
 123 Being V_U , H_U the vertical and horizontal internal forces at the hinge U , the
 124 equilibrium of moments around the remaining hinges gives:

$$\left\{ \begin{array}{l} H_U(y_T - y_U) + V_U(z_T - z_U) - \sum_{i=1}^{n_{TU}} F_i(z_T - z_{G_i}) - k \sum_{i=1}^{n_{TU}} F_i(y_T - y_{G_i}) = 0 \\ H_U(y_Q - y_U) + V_U(z_Q - z_U) - \sum_{i=1}^{n_{QU}} F_i(z_Q - z_{G_i}) - k \sum_{i=1}^{n_{QU}} F_i(y_Q - y_{G_i}) = 0 \\ H_U(y_M - y_U) + V_U(z_M - z_U) - \sum_{i=1}^{n_{MU}} F_i(z_M - z_{G_i}) - k \sum_{i=1}^{n_{MU}} F_i(y_M - y_{G_i}) = 0 \end{array} \right. \quad (3)$$

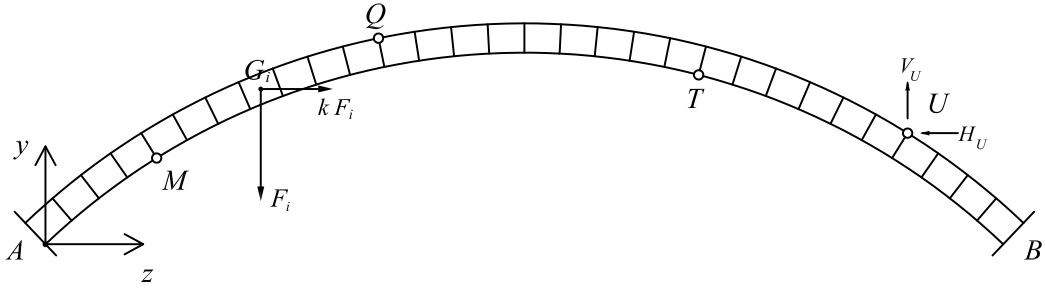


Figure 2: Generic four-hinge position associated to the collapse mechanism in presence of vertical and horizontal loads.

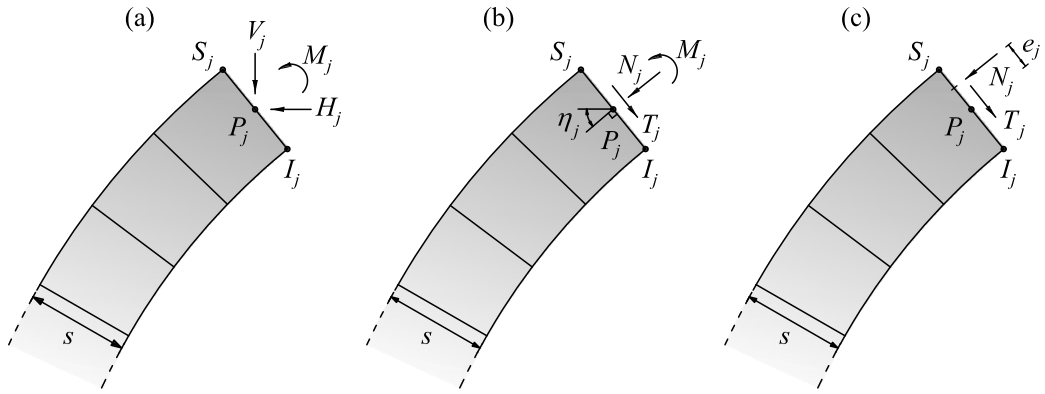


Figure 3: Stress state of the j th joint. Internal forces in the global reference system (a), in the joint reference system (b) and considering the eccentricity of the normal force (c).

125 where n_{TU} , n_{QU} , n_{MU} refer respectively to the number of voussoirs between
 126 the joints t , q , m and u . The Equation 3 is a determined system of
 127 three equations in the three unknowns V_U , H_U and the load multiplier k .

The system of forces must satisfy the strength criteria of the material, i.e. it is necessary to check that the trial set of hinges corresponds to a statically admissible configuration. The position of the thrust line is obtained joint-by-joint by the definition of the centre of pressure, namely of the eccentricity e_j of the normal force. By taking into account the position of the j th joint, the internal forces (Fig. 3(a)) are obtained by:

$$\left\{ \begin{array}{l} H_j = H_U \mp k \sum_{i=1}^{n_{jU}} F_i \\ V_j = -V_U \pm \sum_{i=1}^{n_{jU}} F_i \\ M_j = V_U(z_U - z_{P_j}) + H_U(y_U - y_{P_j}) \mp \sum_{i=1}^{n_{jU}} F_i(z_{G_i} - z_{P_j}) + \\ \mp k \sum_{i=1}^{n_{jU}} F_i(y_{G_i} - y_{P_j}) \end{array} \right. \quad (4)$$

128 In the Equation (4), if the j th joint is at the left side of hinge U , the
 129 upper sign must be used, otherwise the lower one. By using the upper and
 130 lower sign for the cases $z_{P_j} < z_{I_j}$ and $z_{P_j} \geq z_{I_j}$ respectively (Fig. 3(b)), the
 131 normal force is known at each joint:

$$N_j = H_j \cos \eta_j \pm V_j \sin \eta_j \quad (5)$$

132 where η_j is the angle between the line perpendicular to the j th joint and
 133 the horizontal one. Finally, the eccentricity e_j of the normal force is given
 134 by (Fig. 3(c)):

$$e_j = \frac{M_j}{N_j} \quad (6)$$

135 In order to check if the line of thrust, obtained by linking the centres of
 136 pressure, is anywhere inside the masonry, the following condition must be
 137 verified at each joint:

$$-\frac{s}{2} \leq e_j \leq \frac{s}{2} \quad (7)$$

138 It should be noticed that the sign of equality holds only in correspondence
 139 of the hinges M , Q , T and U . As mentioned above, if (7) is satisfied, then the
 140 position of the hinges identifies the failure mechanism corresponding to the
 141 load multiplier. Otherwise, necessarily the configuration of the hinges must
 142 be changed and the equilibrium imposed again. The best practical choice is
 143 to find the joint p corresponding to the maximum eccentricity, i.e. where the
 144 distance between the centre line of the arch and the thrust line is maximum,

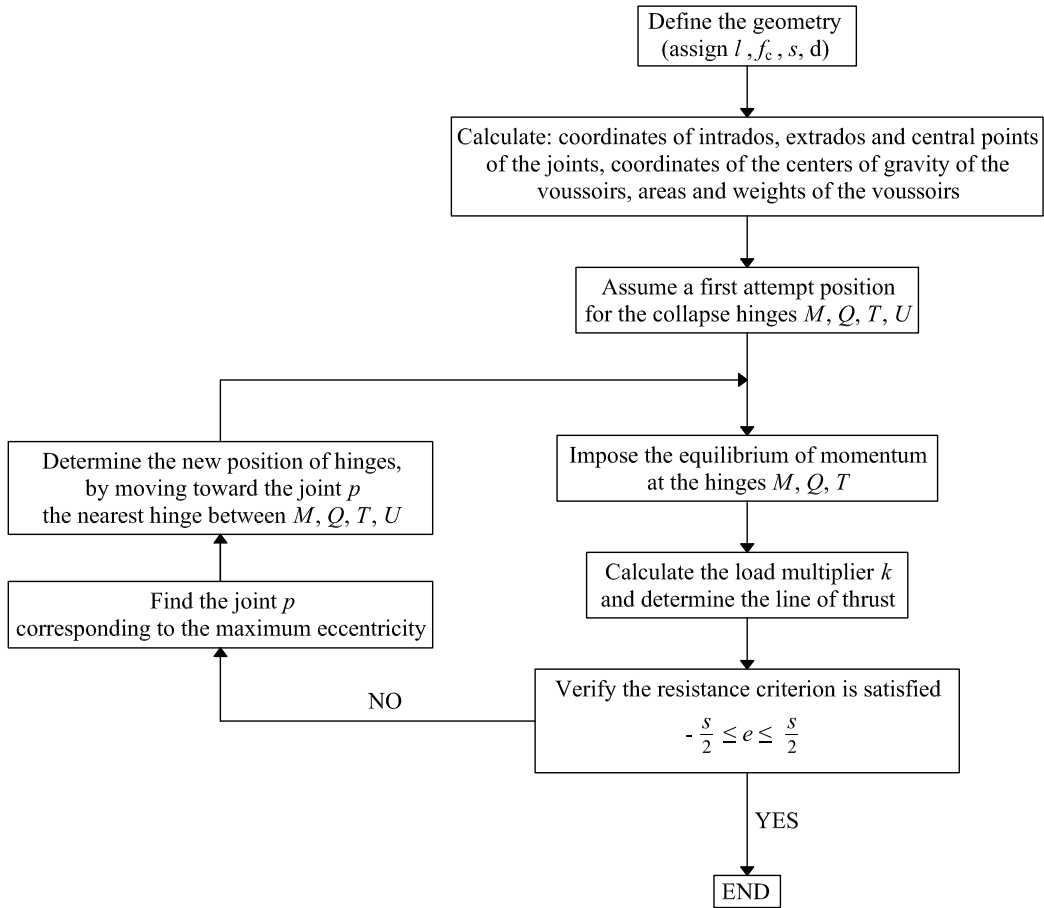


Figure 4: Scheme of the numerical procedure used in the analysis.

145 and to move the nearest hinge toward p [18]. With a few steps the right
146 configuration is reached.

147 The proposed iterative procedure is summarized in the schematic block
148 diagram of Figure 4. For more details see [29].

149 2.2. Limit analysis and minimum thickness

150 In order to illustrate the proposed procedure, the results relative to the
151 four shapes of circular arches represented in Figure 1(b), characterized by
152 the following values of the ratio f_c/l : 0.2, 0.3, 0.4 e 0.5, are reported in this
153 paper. Considering that the proposed method is based on the discretization

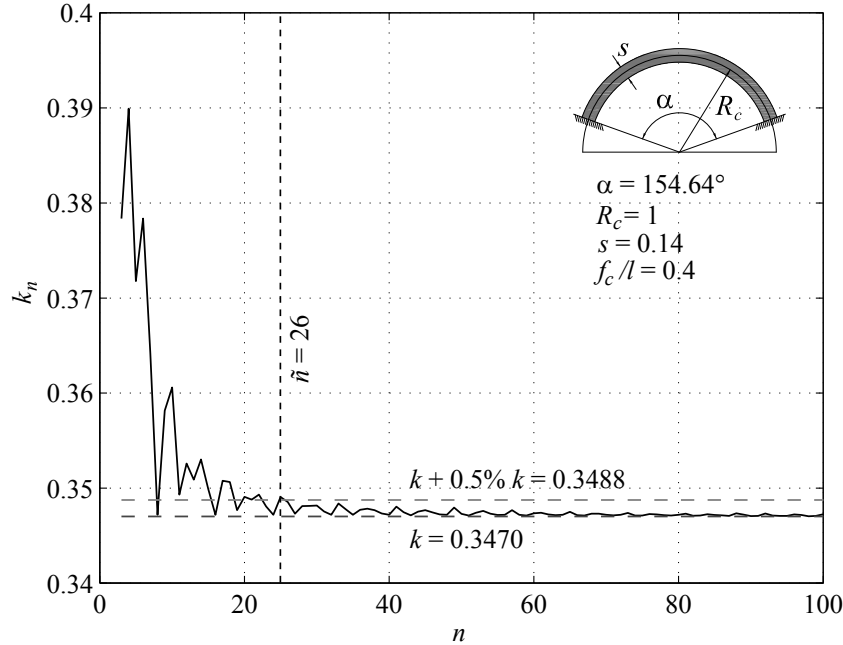


Figure 5: Load multiplier k_n of a circular arch depending on the number of voussoirs used in the discretization.

154 of the structure, the influence of the voussoir dimension on the value of the
 155 related horizontal load multiplier k_n has been analysed. A sensitivity analysis
 156 has been carried out for several geometries of arch, by varying the number of
 157 voussoirs n . The results of the case $f_c/l = 0.4$ and $s/R_c = 0.14$ are reported
 158 in Figure 5. It can be observed a significant variability of k_n in the range of
 159 low number of voussoirs, while for $n > \tilde{n}$ the difference between the multiplier
 160 related to a discretized arch and that corresponding to a continuous structure
 161 tends to zero. The value of \tilde{n} was found for both circular and pointed arches.
 162 Hence, in the following analyses, a value of $n \gg \tilde{n}$ has been adopted in order
 163 to obtain results very close to the solution of the continuous model.

164 For each arch shape of Figure 1(b), the influence of the thickness dimension
 165 on the collapse has been investigated. In order to point out the horizontal
 166 load-carrying capacity of circular masonry arches, the relationship between
 167 the load multiplier k , the angle of embrace α and the dimensionless thickness
 168 s/R_c is shown in Figure 6. Each curve was obtained by setting a constant

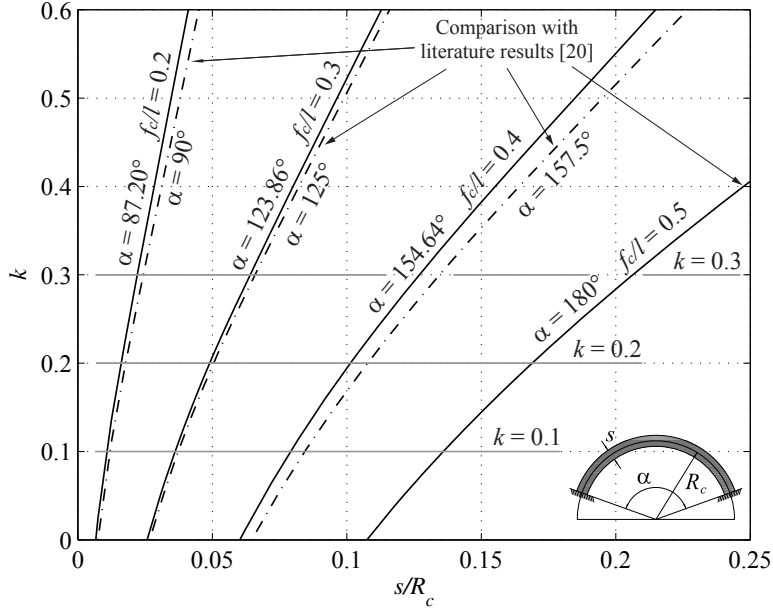


Figure 6: Load multiplier k of circular arches depending on the geometry.

169 value of the angle α , progressively increasing the parameter s/R_c and evalu-
 170 ating the corresponding value of the multiplier k at the collapse. The results
 171 obtained for the circular arches having $\alpha = 87.20^\circ$ ($f_c/l = 0.2$), $\alpha = 123.86^\circ$
 172 ($f_c/l = 0.3$), $\alpha = 154.64^\circ$ ($f_c/l = 0.4$) and $\alpha = 180^\circ$ ($f_c/l = 0.5$) are rep-
 173 resented by continuous lines. In order to validate the numerical procedure,
 174 also the curves obtained for the cases $\alpha = 90^\circ$, $\alpha = 125^\circ$ and $\alpha = 157.5^\circ$ are
 175 represented, with dash-dot lines, revealing a good agreement with literature
 176 results [19, 20, 23]. Notice that for the same thickness, the multiplier k in-
 177 creases with the decreasing of the angle α . This means that, as was expected,
 178 the more the arch is lowered, the greater will be the resistance to horizontal
 179 actions, i.e. the earthquake.

180 In [14] Heyman studied the effects of geometrical properties on the sta-
 181 bility of circular masonry arches subjected just to self-weight, providing the
 182 mathematical expression of the minimum thickness that the arch should have
 183 to stand, in terms of the angle of embrace and the dimensionless thickness.
 184 In this work the study has been extended to the case of presence of horizontal
 185 loads too, which are quantified through the load multiplier k .

186 By picking the points at constant values of k from Figure 6, it is possi-

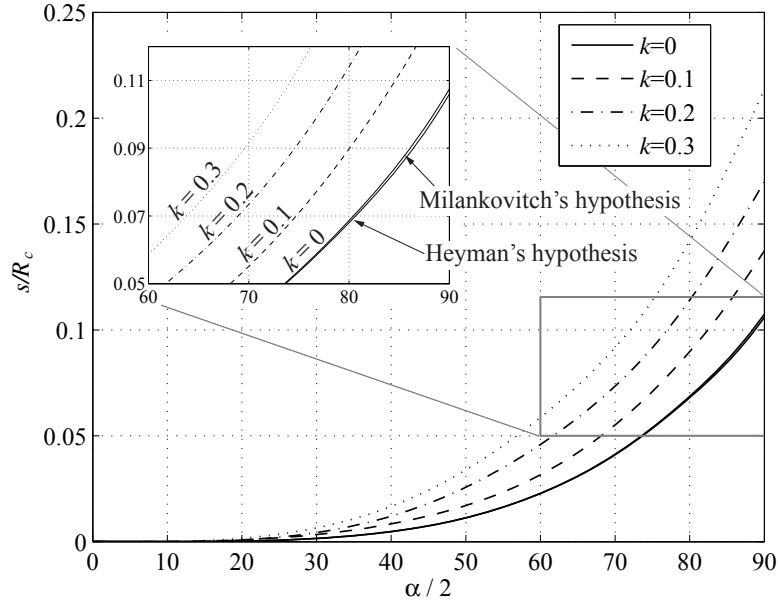


Figure 7: Minimum dimensionless thickness for circular arches subjected to vertical and horizontal loads.

187 ble to enter in the well-known minimum thickness diagram of Heyman [14]
 188 and obtain the curves which consider the effect of the horizontal load. Fig-
 189 ure 7 depicts graphically the minimum thickness for the circular masonry
 190 arch in presence of an assigned horizontal load multiplier. The relationship
 191 is expressed between the half angle of embrace $\alpha/2$ and the dimensionless
 192 thickness s/R_c .

193 The continuous lines represent the literature results for the case of ab-
 194 sence of horizontal loads and are obtained by means of both the theories of
 195 Heyman and Milankovitch. The discontinuous lines are obtained by consid-
 196 ering the effect of horizontal loads at different values of k . It can be observed
 197 that greater values of thickness are necessary to withstand to greater values
 198 of horizontal loads and, by considering the individual curve, the minimum
 199 thickness increases with the increasing of the angle of embrace.

200 The variability of the geometrical properties of the arches affects the
 201 kinematic mechanisms. In Figure 8 the thrust lines at the collapse for the
 202 analysed circular arches (Fig. 1(b)) are represented, by varying the thickness,
 203 as functions of the dimensionless curvilinear abscissa ξ . In the analyses, the

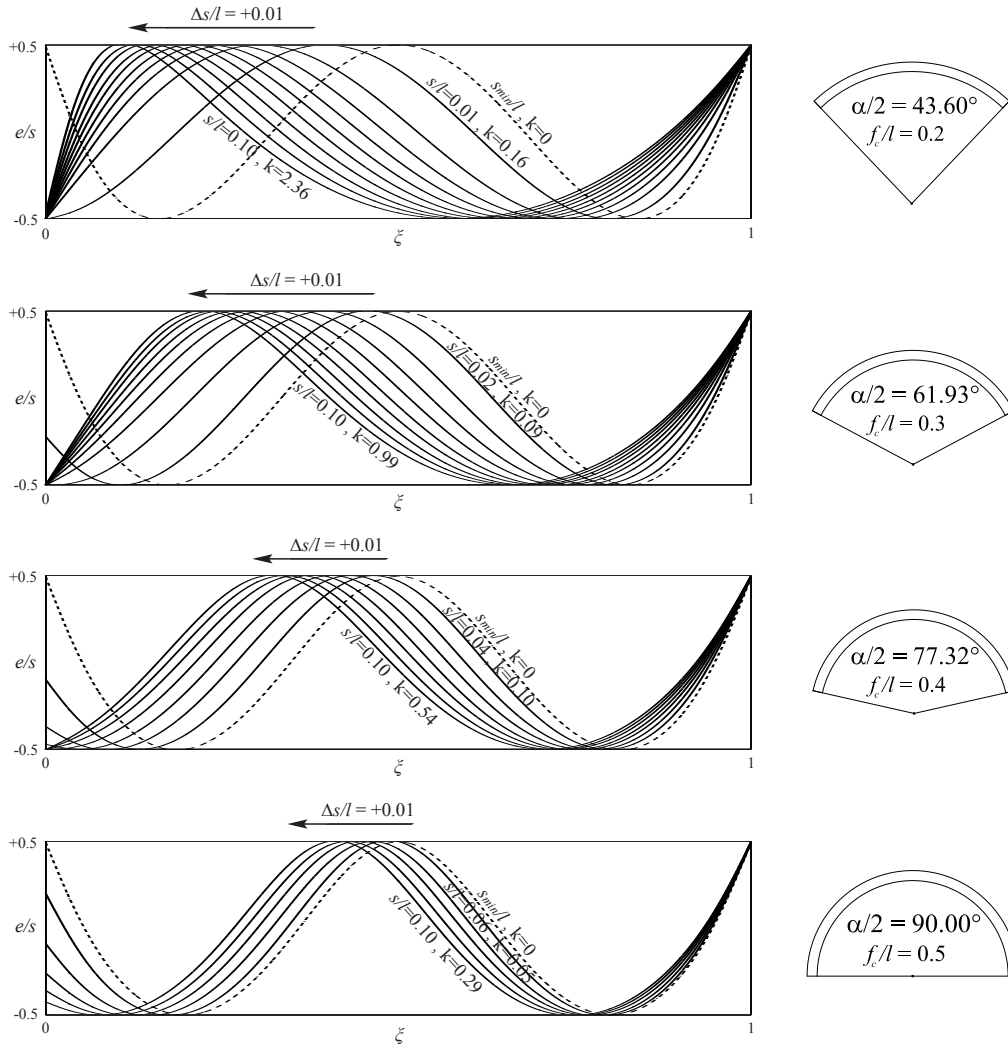


Figure 8: Thrust lines for circular arches with thickness variation.

204 thickness and its variation are normalized with respect to the span of the
 205 arch, instead to the radius of curvature, to simplify the future comparisons
 206 with the results obtained on pointed arches. The abscissa ξ is calculated
 207 by considering its origin in the centre of the left-springing joint of the arch,
 208 and the ordinate represents the dimensionless eccentricity e/s of the centre
 209 of pressure. The collapse mechanism can be quickly identified, because the
 210 hinges correspond to the tangent points of the curves to the horizontal lines

211 of equation $e/s = \pm 0.5$, which represent the extrados and intrados lines
 212 respectively. The dashed line represents the thrust line of the arch subjected
 213 to the only self-wight. It could be noticed that a non-zero load multiplier
 214 k causes a loss of symmetry in the mechanism and then a reduction of the
 215 number of the hinges that lead to the collapse. In the case of $k = 0$ the
 216 well-known five-hinges mechanisms are reached: hinges grow at the extrados
 217 in correspondence of the keystone and springings, and at the intrados in
 218 correspondence of the haunches. In presence of horizontal loads four hinges
 219 are sufficient to activate a mechanism. The hinges of the condition $k =$
 220 0 moves from right to left as the load multiplier increases, namely in the
 221 opposite direction with respect to the horizontal loads.

222 3. Pointed arch

223 3.1. Geometrical description

224 Unlike the circular arch, a pointed arch requires three parameters, other
 225 than the thickness s and the out of plane depth d , to be geometrically de-
 226 scribed. Actually, the structure is determined by assigning the radius R_p , the
 227 slope angle at the crown θ_{min} , which is strictly positive, and the slope angle
 228 at the skewback θ_{max} , with $\theta_{max} \leq 90^\circ$ (Fig. 9). If $\theta_{max} < 90^\circ$ the arch is
 229 named segmental pointed arch. The position of the centre C of the geometri-
 230 cal construction defines the eccentricity e_p as the difference between the radii
 231 R_p of the pointed arch and R_c of the subtended circular arch. In this way,
 232 as known in literature, three types of pointed arches can be identified: drop
 233 arch (or obtuse arch) with $0 < e_p/R_c < 1$ ($1 < R_p/R_c < 2$), equilateral arch
 234 with $e_p/R_c = 1$ ($R_p/R_c = 2$) and lancet arch with $e_p/R_c > 1$ ($R_p/R_c > 2$).
 235 On the other hand, a pointed arch could be defined by the span l , the rise f_p
 236 and the slope angle at the skewback θ_{max} . The relations between the span,
 237 the rise and the previous geometrical features are the following

$$l = 2R_p(\sin \theta_{max} - \sin \theta_{min}) \quad (8)$$

$$f_p = R_p(\cos \theta_{min} - \cos \theta_{max}) \quad (9)$$

238 3.2. Collapse modes of pointed arch

239 The kinematic mechanisms in presence of the only self-weight are symmet-
 240 rical and characterized by five or six hinges. The presence of the hinge at the
 241 crown is obviously characteristic of the five-hinges mechanism and depends

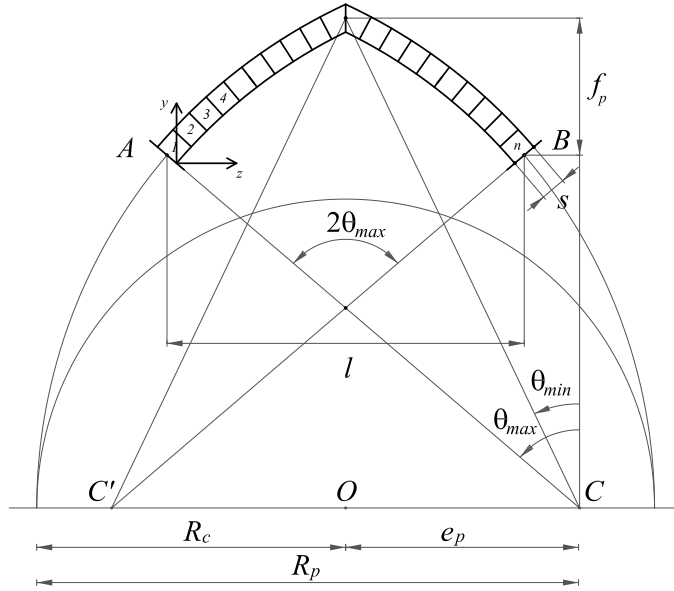


Figure 9: Geometry of the pointed masonry arch.

242 on the slenderness of the arch. When the lateral loads begin to act, e.g. from
 243 left to right, four-hinges mechanisms occur, so as to create a kinematic chain.
 244 Being M , Q , T and U the sequence of hinges from left to right, in spite of the
 245 case of circular arch, the extreme right hinge U will not always take place at
 246 the right springing B , as the extreme left hinge M which may occur within
 247 the arch. In this way, the performed numerical analysis has shown that four
 248 types of collapse modes can be identified: no-springing, left-springing, right-
 249 springing and two-springing (Fig. 10). The “no-springing” mode has all the
 250 hinges placed inside the arch (namely there aren’t any hinges at the spring-
 251 ings) and is typical for slender arches having low ratios of s/R_p (Fig. 10(a)).
 252 In the case of a segmental pointed arch, it is possible that the first hinge M
 253 is directly placed at the left springing (Fig. 10(b)). This observation leads
 254 to understand that arches with the same R_p , θ_{min} and s but different val-
 255 ues of θ_{max} can be characterized by the same collapse multiplier k which
 256 causes the same collapse mechanism (compare the arches of Fig. 10(a) and
 257 (b), which differ for the value of the angle θ_{max}). By increasing the thick-
 258 ness, the extreme right hinge U rapidly moves to the springing B and the collapse
 259 mode becomes of “right-springing” (Fig. 10(c)) if the first hinge M is inside
 260 the arch or, alternatively, of “two-springing” (Fig. 10(d)) if the hinge M was

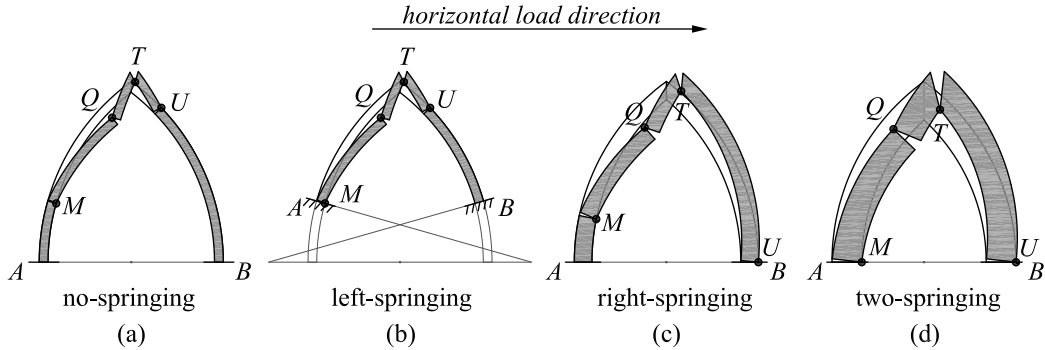


Figure 10: Schematic illustration of the collapse modes occurring in pointed arches subjected to lateral loads.

261 previously placed at the left springing. From the “right-springing” mode,
 262 with a further increase of thickness also the left hinge M slowly slides to the
 263 springing A and the “two-springing” mode occurs.

264 The sliding of the hinge U to the springing B , i.e. the passage from “no-
 265 springing” or “left-springing” mode to “right-springing” or “two-springing”
 266 mode, causes a significant loss of capacity to withstand the lateral loads.
 267 In other words, if the hinge U is at the right springing, a major increment
 268 of thickness is necessary, in comparison to the other cases, to withstand the
 269 same increment of lateral loads. The passage from “right-springing” to “two-
 270 springing” mode, or in some cases from “no-springing” to “left-springing”
 271 mode, doesn’t cause significant effects as in the previous case.

272 3.3. Lateral loads multiplier and minimum thickness

273 In order to justify the collapse modes previously introduced and highlight
 274 their correlation with the lateral loads multiplier and the minimum thickness,
 275 the results related to two cases are reported: a drop arch with $e_p/R_c = 0.65$
 276 ($R_p = 1.65 R_c$) and a lancet arch with $e_p/R_c = 1.5$ ($R_p = 2.5 R_c$). The
 277 procedure have been applied to arches with fixed angle of embrace $2\theta_{max}$
 278 at different values of thickness. The slope angle at the skewback, starting
 279 from the value $\theta_{max} = 90^\circ$, has been decreased at constant intervals up to its
 280 minimum possible value. In Figure 11 the curves of the minimum horizontal
 281 loads multiplier for the case with eccentricity $e_p/R_c = 0.65$ are represented.

282 From the results of Figure 11 it is possible to extract the curves of min-
 283 imum thickness at different levels of horizontal loads multiplier as shown in
 284 Figure 12. It can be observed that the minimum dimensionless thickness

285 converge to zero for $\theta_{max} \rightarrow \theta_{min}$. The curve with $k = 0$ is characterized by
 286 an horizontal branch in a range of the angle of embrace of about $62^\circ \div 85^\circ$,
 287 due to the type of collapse mode involved. The kinematic chain is symmetri-
 288 cal with five hinges for arches with big eccentricity and six hinges for arches
 289 with small or medium eccentricity. The arch in exam can be considered of
 290 medium eccentricity, presenting at $\theta_{max} = 90^\circ$ a six-hinges mechanism. By
 291 decreasing θ_{max} also the minimum thickness diminishes, until a five-hinges
 292 mechanism occurs with the presence of an hinge at the crown. However, the
 293 lowest hinges are not at the skewbacks of the arch, so that θ_{max} can further
 294 decrease without affecting the collapse condition of the arch, until the two
 295 hinges are reached by the springing line. This phenomenon can be defined
 296 as a stationary collapse configuration, which is independent of the geometry
 297 of the arch in the specific range of θ_{max} . At lower values of θ_{max} correspond
 298 five-hinges mechanisms with hinges at the skewbacks and thickness increas-
 299 ingly smaller to zero. The phenomenon previously described doesn't appear
 300 in presence of horizontal forces (Fig. 12, $k > 0$), due to the asymmetry of the
 301 loads which determines asymmetric mechanisms and a continuous shifting
 302 of the hinges along the extrados and intrados lines to reach the equilibrium
 303 condition. The path of some curves of Figure 11 highlights the collapse
 304 mode which occurs depending on the slenderness, the angle of embrace and
 305 the level of horizontal loads. Within this context, the plane of the graph has
 306 been filled with two different levels of grey to identify the presence of the
 307 hinge U at the right springing (low level of grey) or not (high level of grey),
 308 since it has been noticed above that the position of hinge U greatly influences
 309 the horizontal load-carrying capacity. The collapse modes of Fig. 10(a) and
 310 (b) obviously correspond to the high level of grey, while those of Fig. 10(c)
 311 and (d) are of low level of grey. When the collapse mode under the only
 312 self-weight ($k = 0$) is of six-hinges, as in the case of $\theta_{max} = 90^\circ$, if k starts
 313 to increase the kinematic chain become of four-hinges with the presence of
 314 an hinge at the right springing. This response is quite similar to circular
 315 arches, which are always characterized by the presence of an hinge at the
 316 right springing. This behaviour is typical for pointed arches with small and
 317 medium eccentricity. When the collapse mode under the only self-weight
 318 ($k = 0$) becomes of five-hinges, i.e. by considering values of the slope angle at
 319 the skewback $\theta_{max} < 90^\circ$, if the load multiplier k is increased, the kinematic
 320 mechanism can be either of "no-springing" or "left-springing" (high level of
 321 grey). Then, by further increasing the lateral loads the kinematic mechanism
 322 become of "right-springing" or "two-springing" (low level of grey).

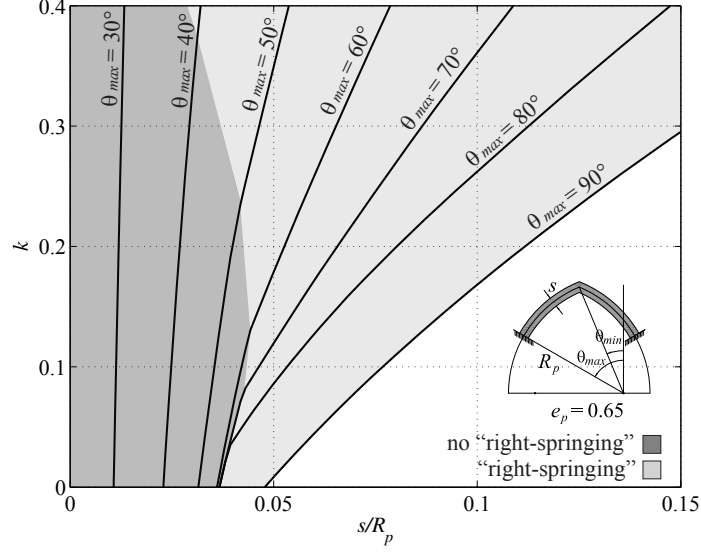


Figure 11: Minimum horizontal loads multiplier of pointed arches with eccentricity $e_p/R_c = 0.65$, angle of embrace $2\theta_{max}$ and slenderness s/R_p .

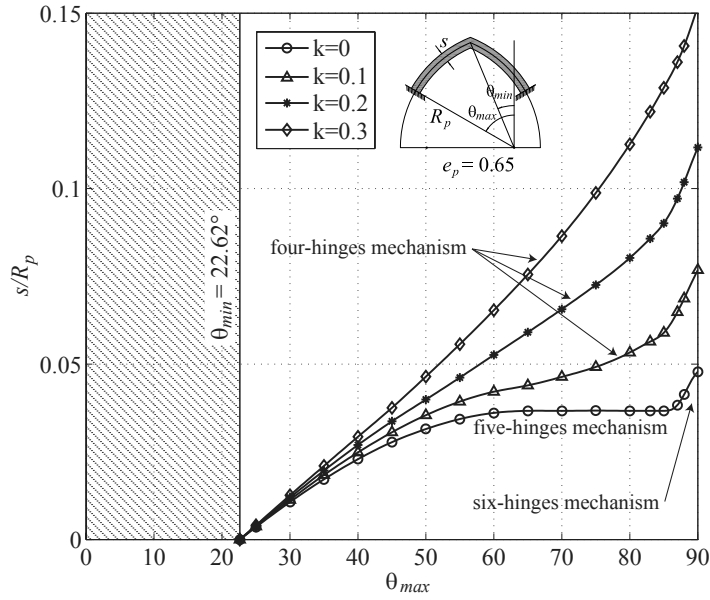


Figure 12: Minimum dimensionless thickness of pointed arches with eccentricity $e_p/R_c = 0.65$, angle of embrace $2\theta_{max}$ at different values of the horizontal loads multiplier k .

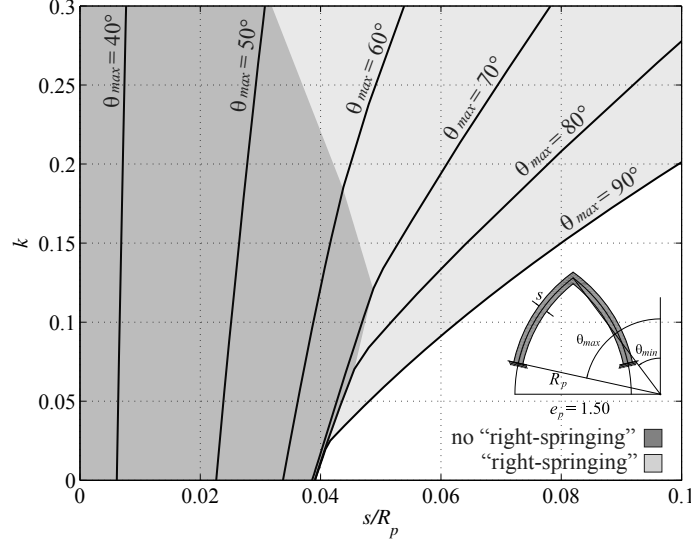


Figure 13: Minimum horizontal loads multiplier of pointed arches with eccentricity $e_p/R_c = 1.5$, angle of embrace $2\theta_{max}$ and slenderness s/R_p .

323 The results of the analysis carried out on the lancet arch are shown in
 324 Figures 13 and 14. In this case the collapse mode under the only self-weight
 325 is always of five-hinges as the angle of embrace changes. According to the
 326 previous observations, this is shown by the stationary branch of the curve at
 327 $k = 0$ in the range of about $75^\circ \div 90^\circ$ (Fig. 14). As the horizontal loads start
 328 to act the kinematic mechanism become of four-hinges with “no-springing”
 329 or “left-springing” mode for every segmental arch. By further increase the
 330 value of k the limit behaviour is similar to that described before (Fig. 13).

331 In Figure 15 the thrust lines of both the analysed arches with $\theta_{max} = 90^\circ$
 332 are shown, by varying the thickness values normalized to the span of the
 333 arch. The previous considerations about the kinematic mechanisms can now
 334 be observed in the tangent points with the extrados and intrados lines.

335 These results have highlighted the strong dependence of the lateral load
 336 carrying capacity of pointed arches from the geometrical parameters - as well
 337 as the eccentricity, the angle of embrace and the thickness - which determine
 338 the related collapse mechanism.

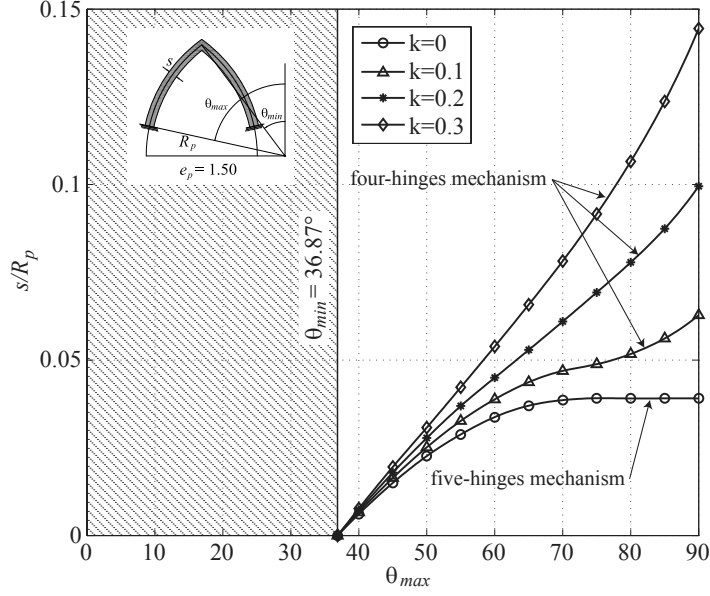


Figure 14: Minimum dimensionless thickness of pointed arches with eccentricity $e_p/R_c = 1.5$, angle of embrace $2\theta_{max}$ at different values of the horizontal loads multiplier k .

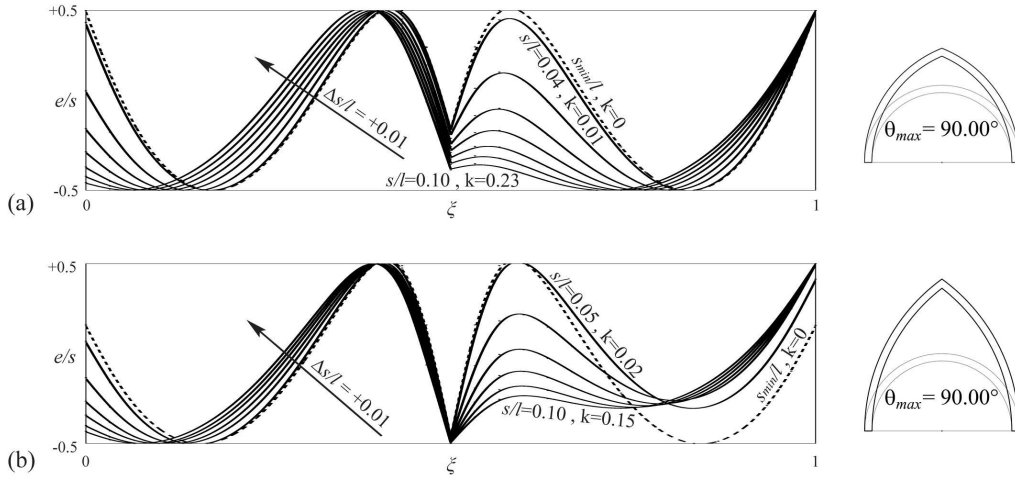


Figure 15: Thrust lines of pointed arches with $e_p/R_c = 0.65$ (a) and $e_p/R_c = 1.50$ (b), having $\theta_{max} = 90^\circ$, with thickness variation.

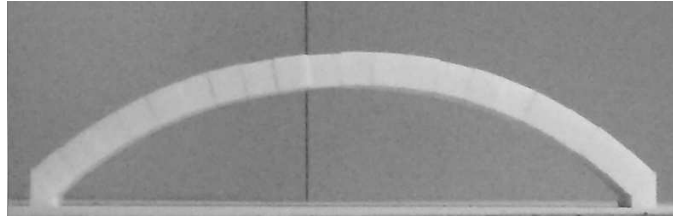


Figure 16: Image of the segmental circular arch with a ratio of $f_c/l = 0.2$ subjected to the experimental test.

339 4. Experimental tests

340 4.1. Circular arch

341 In order to validate the numerical procedure, simple experimental tests
 342 have been carried out on models of arch realized in XPS material (extruded
 343 polystyrene foam). A segmental circular arch has been tested, consisting of
 344 20 voussoirs and having the following nominal sizes: span 50 cm, rise 10 cm
 345 ($f_c/l = 0.2$), thickness 3 cm ($s/l = 0.06$) and depth 5 cm (Figure 16). The
 346 lateral forces have been introduced by tilting the base plane, so that the load
 347 multiplier k could be obtained from the inclination angle φ of the plane itself.

348 In Figures 17(a) and (b) the numerical results are represented. Fig-
 349 ure 17(c) shows the instant at the collapse of the segmental arch, in which
 350 the position of the hinges are pointed out so that the kinematic mechanism
 351 can be easily compared. The frame has been captured from a video-clip of
 352 the test. Numerical and experimental results are in quite good agreement
 353 in terms of the kinematic mechanism, but a reduction of the load multiplier
 354 is obtained. Similar experiments were carried out by other authors [19],
 355 who, in turn, observed a substantial reduction of the minimum horizontal
 356 acceleration which caused the collapse. Also Romano and Ochsendorf [16]
 357 recently asserted that the difference between the numerical and experimental
 358 results in this kind of tests could be not negligible. In fact, the numerical
 359 model is assumed to be characterized by rigid voussoirs with perfect edges
 360 and corners, while actual samples are affected by geometrical and material
 361 imperfections that influence the results. Moreover, the assembly of the spec-
 362 imen involves several constructive imperfections, so that the actual values of
 363 span and rise could differ from the nominal ones.

364 In order to investigate the influence of the geometrical uncertainties on
 365 the load multiplier, a sensitivity analysis has been performed by considering

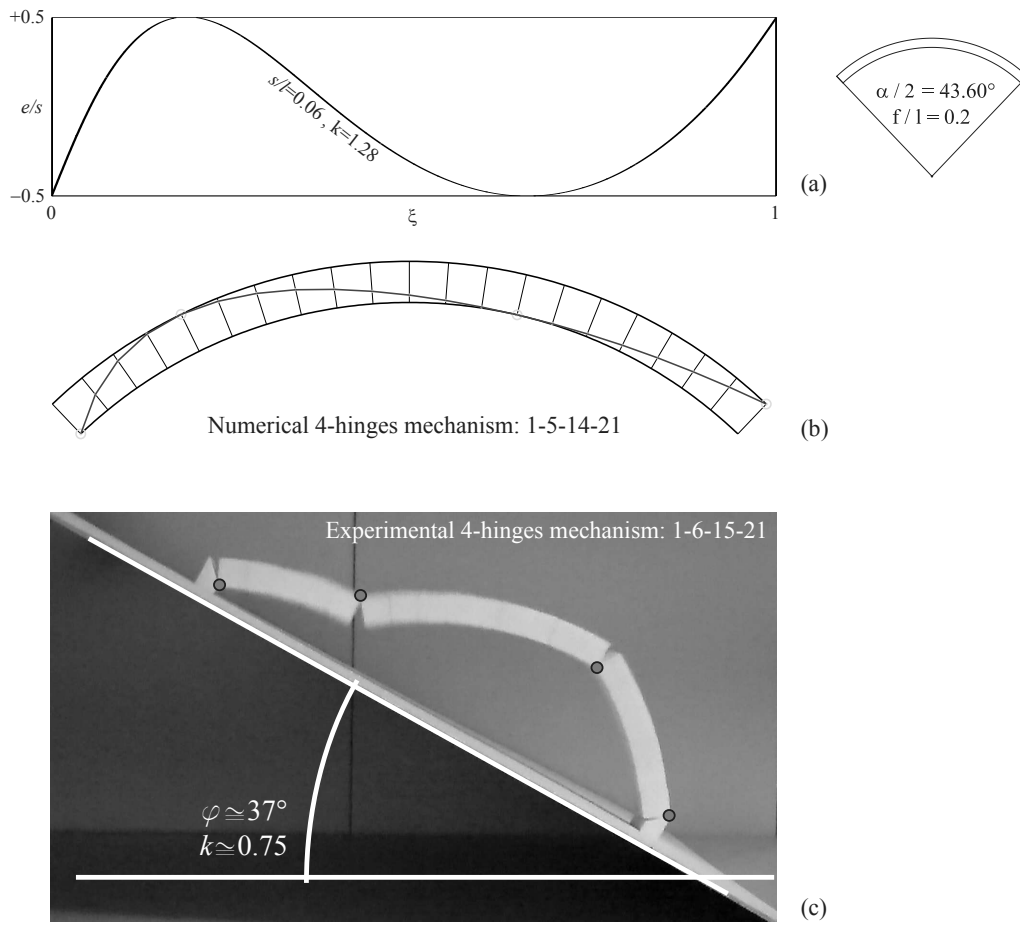


Figure 17: Kinematic mechanism of the segmental circular arch (nominal size: $f_c/l = 0.2$, $s/l = 0.06$) subjected to vertical and horizontal loads: numerical results (a)-(b) and experimental test (c).

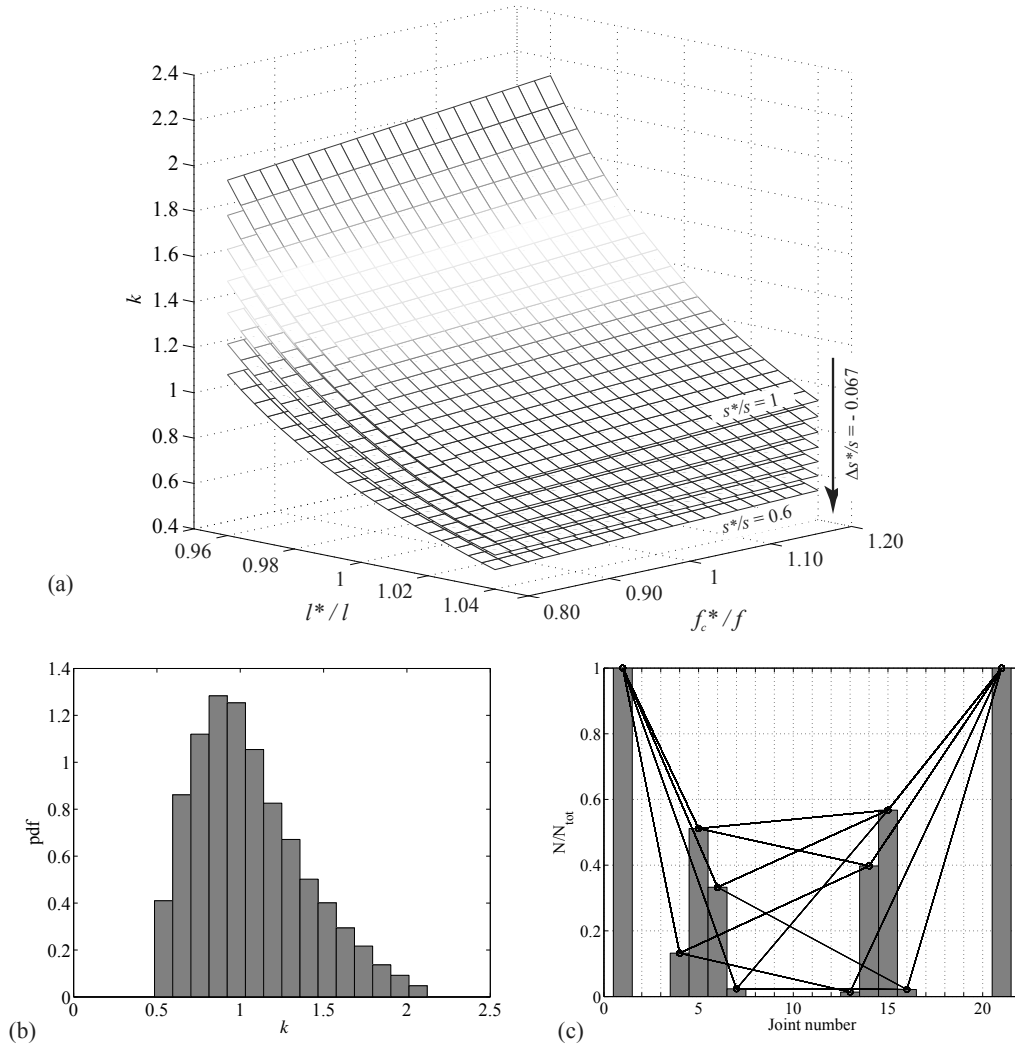


Figure 18: Segmental circular arch with nominal ratio $f_c/l = 0.2$. Results of the sensitivity analysis on the load multiplier by varying the geometrical parameters (a), estimation of the probability density function of the load multiplier (b) and representation of the kinematic mechanisms variability (c).

366 the variability of span, rise and thickness. In particular, uniform probability
 367 distributions around the nominal values have been adopted for the geomet-
 368 rical parameters l and f_c . Also for the thickness s a uniform probability
 369 distribution has been used, assuming upper bound of the range variability
 370 equal to its nominal value. In Figure 18 the results are shown as functions
 371 of the variables l^* , f_c^* and s^* , normalized with respect to the nominal corre-
 372 sponding values. The variability range magnitude of the ratios l^*/l and f_c^*/f_c
 373 is of 4% and 20% respectively, while the normalized thickness ratio s^*/s has
 374 been reduced with constant step of $\Delta s^*/s = 0.067$. The sensitivity analysis
 375 shows a low dependence of the load multiplier on the rise variability and a
 376 high dependence on the thickness and span (Figure 18(a)). Figures 18(b)-(c)
 377 show an estimation of the probability density function of the load multiplier
 378 and the type of kinematic mechanism which can occur respectively. From
 379 the analysis of the distribution shown in Figure 18(b), it can be observed
 380 that the experimental value of the load multiplier k is in the range $\mu \pm \sigma$,
 381 being the mean value $\mu = 1.056$ and the standard deviation $\sigma = 0.33$. By
 382 following the path of each broken line of Figure 18(c), the possible kinematic
 383 mechanisms can be observed. In fact, each line links four hinges located at
 384 the related joints, numbered in the abscissa axis from 1 to 21. The variable
 385 N represents the number of occurrences of the considered hinge in the j th
 386 joint, with $j = 1$ to 21, and N_{tot} is the total number of samples.

387 The numerical analysis highlights a strong sensitivity of the results in
 388 terms of the load multiplier, while the kinematic mechanisms are quite in-
 389 dependent of geometrical properties, with the exception of the second and
 390 third hinge. In fact, the first and the fourth hinge are always at the spring-
 391 ings of the arch, namely in the first and last joint respectively. The location
 392 of second and third hinges is limited to a small range of joints, with high
 393 frequencies in the 5th and 15th respectively. By the comparison between Fig-
 394 ure 17 and Figure 18, it can be asserted that the experimental and numerical
 395 results are in good agreement, if the geometrical uncertainties are taken into
 396 account.

397 4.2. Pointed arch

398 An experimental test has been carried out on a model of pointed arch
 399 with $e_p/R_c = 1.5$ and $\theta_{max} = 90^\circ$. The arch consists of 30 voussoirs and
 400 has the following nominal sizes: span 50 cm, rise 50 cm ($f_p/l = 1.0$) and
 401 thickness 5 cm ($s/l = 0.1$). The depth of the model is 5 cm (Figure 19).

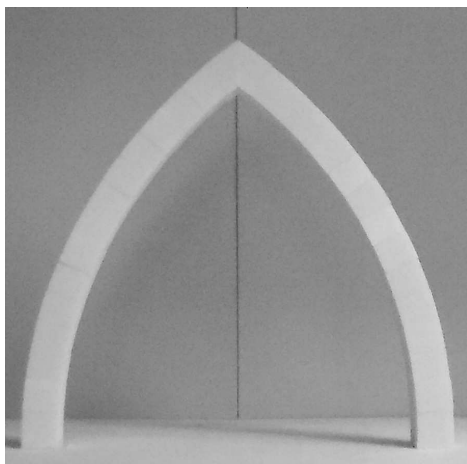
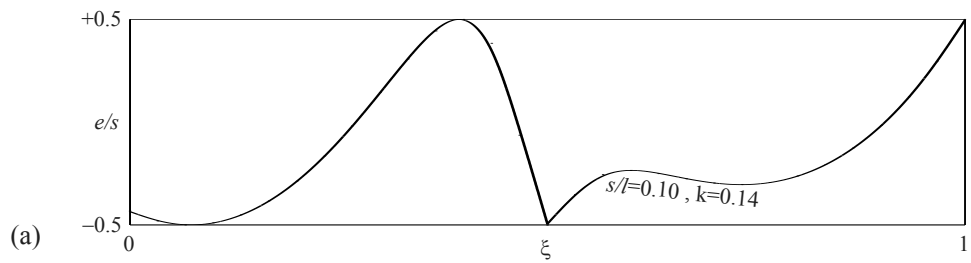


Figure 19: Image of the pointed arch subjected to the experimental test.

402 The comparison between experimental and numerical results is shown in
403 Figure 20. In this case the load multiplier and the kinematic mechanism
404 derived by the test are in a good agreement with the numerical results. The
405 greater thickness of the model may have reduced the experimental uncertain-
406 ties of the blocks and of the construction phase of the arch.

407 For the pointed arch, the sensitivity analysis has been carried out by
408 considering a magnitude of the variability range for the ratios l^*/l and f_c^*/f_c
409 of 4%, while the normalized thickness ratio s^*/s has been reduced with a
410 constant step $\Delta s^*/s = 0.04$. The results highlights a very low dependence of
411 the load multiplier on the span and rise variability and a high dependence
412 on the thickness (Figure 21(a)). By referring to the distribution shown in
413 Figure 18(b), also in this case the experimental value of the load multiplier
414 k is in the range $\mu \pm \sigma$, being the mean value $\mu = 0.1$ and the standard
415 deviation $\sigma = 0.02$. The kinematic mechanisms are well defined, excepting
416 the position of the first hinge (Figure 21(c)).

417 By a close observation of the test, according to the authors, the main
418 reason which causes the gap between experimental and numerical results is
419 the unavoidable reduction of the nominal thickness, related to the manufact-
420 uing of the blocks and the construction of the arch model. In fact, little
421 geometrical perturbations, also in just one of the joints, determine significant
422 variations of the results.



Num. 4-hinges mechanism: 3-13-16-31

Exp. 4-hinges mechanism: 5-13-16-31

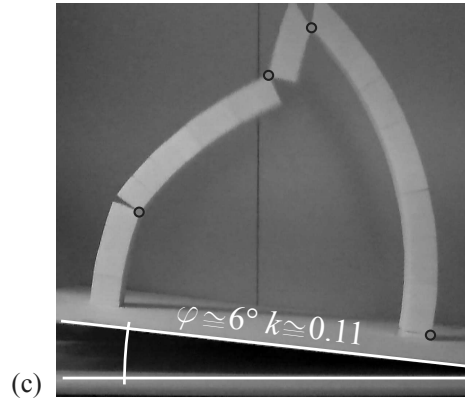
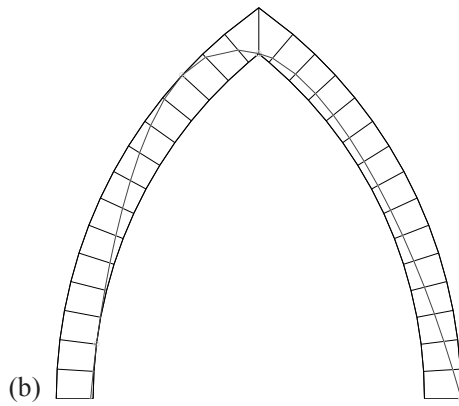


Figure 20: Kinematic mechanism of the pointed arch (nominal size: $f_p/l = 1.0, s/l = 0.1$) subjected to vertical and horizontal loads: numerical results (a)-(b) and experimental test (c).

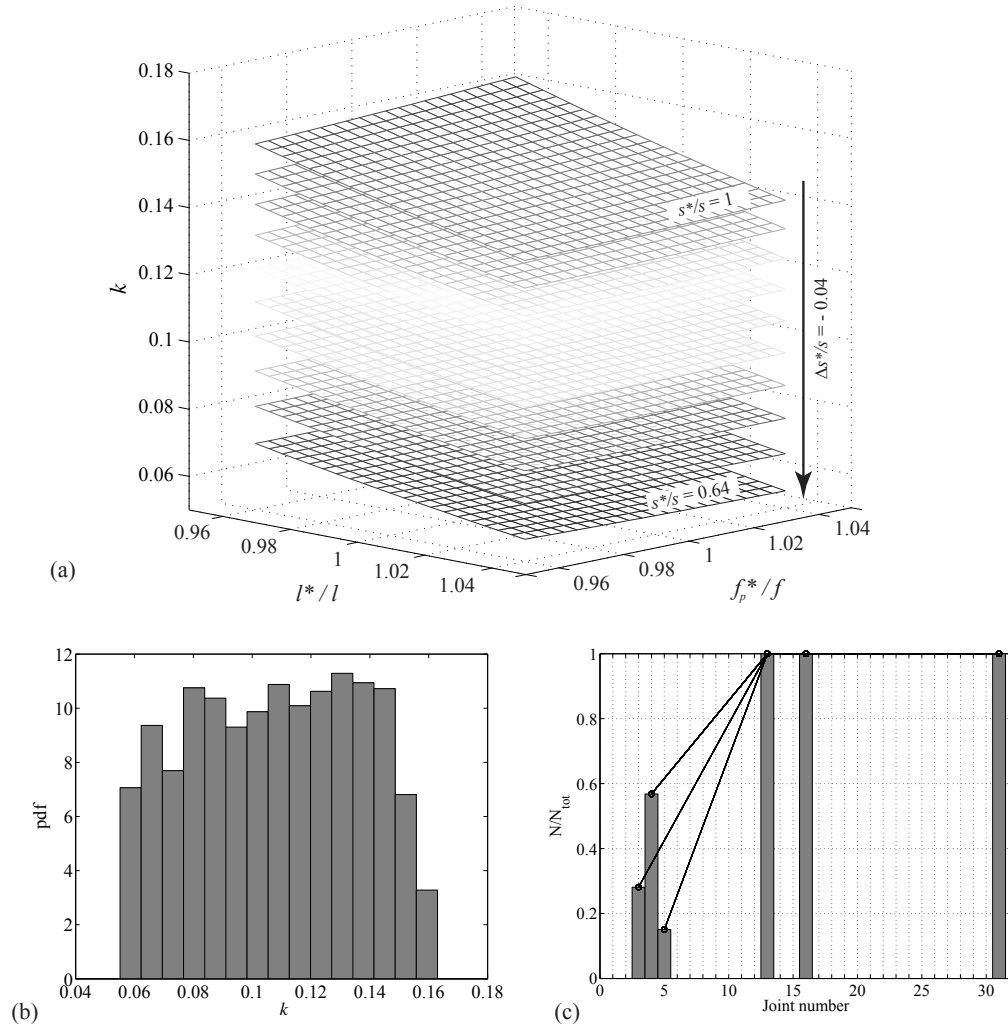


Figure 21: Pointed arch with nominal ratio $f_p/l = 1.0$. Results of the sensitivity analysis on the load multiplier by varying the geometrical parameters (a), estimation of the probability density function of the load multiplier (b) and representation of the kinematic mechanisms variability (c).

423 5. Conclusions

424 In this paper the collapse condition of circular and pointed masonry arches
425 has been analysed in presence of vertical and horizontal loads.

426 The proposed procedure led to evaluate the collapse mechanism and mul-
427 tiplier depending on the geometrical properties of the arch. In particular,
428 for the pointed arch some classes of mechanism have been identified and the
429 transition between them evaluated as a function of the slenderness of the
430 arch. The minimum thickness has been determined depending on the hori-
431 zontal load multiplier and geometrical features. The obtained curves could
432 be a useful tool to define the thickness that the circular or pointed arch
433 should have to withstand an earthquake of assigned intensity.

434 The experimental results on scaled arch models are not in perfect agree-
435 ment with the expected theoretical ones, confirming the observations already
436 present in the literature. In order to justify these results a probabilistic sen-
437 sitivity analysis has been carried out by varying the geometrical parameters
438 of the analysed arches, in the hypothesis of absence of correlation between
439 them. Despite the adopted simplifications, the results appear to justify the
440 discrepancies observed and lead to insight this aspect considering more con-
441 sistent probabilistic models for the geometrical parameters, characterized by
442 correlations that are in better agreement with the applications.

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