1	Controlling competing photochemical reactions
2	stabilises perovskite solar cells
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21 Abstract

22 Metal halide perovskites have been successfully applied as optically active layers in 23 photovoltaics and in various optoelectronic devices. Their optimal electronic 24 properties and a remarkable defect tolerance have led perovskite-based research-25 scale devices to hold the promise of an imminent application in large scale energy 26 production and lighting solutions. Nevertheless, long-term reliability must be 27 assured. Instabilities are manifested as light-induced ion migration and 28 segregation, which can eventually lead to material degradation under prolonged 29 exposure to light. At the same time discordant reports discuss about the beneficial 30 role of ion migration under illumination, leading to defect healing. Thus, 31 understanding the photo- chemical and physical processes behind such phenomena 32 and eventually mastering them is a fundamental step towards a solid exploitation 33 of perovskites in optoelectronic devices. By combining *ab initio* simulations with 34 photoluminescence measurements under controlled conditions, which allow us to 35 exclude the effect of any atmospheric agent, we demonstrate that photo-36 instabilities are related to light-induced formation and annihilation of defects 37 acting as carrier trap states and, importantly, that these phenomena coexist and 38 compete. We define characteristic length and time scales and the experimental 39 conditions responsible for both processes. We show that short-length and -time 40 defect annihilation can prevail over defect formation, occurring on longer scales, 41 when effectively blocking under-coordinated surface sites, which act as a defect 42 reservoir. By an effective surface passivation strategy we are thus able to stabilize 43 the perovskite layer towards such photo-induced instabilities, leading to improved 44 optoelectronic material quality and enhanced photo-stability in a working solar 45 cell. The proposed strategy represents a simple solution towards reliable

### 46 perovskite thin films that could be easily implemented in large scale

# 47 manufacturing.

48 The interest in metal halide perovskites has been growing continuously in recent 49 vears due to the remarkable evolution of their application in photovoltaics – that have reached power conversion efficiencies exceeding  $23\%^1$  – as well as promising 50 performance in light emitting diodes<sup>2</sup>, photodetectors, and lasers<sup>3,4</sup>. This success owes 51 52 much to their optimal optoelectronics properties, *i.e.* high absorption coefficients and long carrier lifetimes<sup>5–7</sup>. The soft nature of the lead-halide lattice induces relatively low 53 54 defect formation energies, which implies a significant probability of defects formation<sup>8</sup> 55 through combined thermal- and light-induced phenomena. While the impact of defects 56 seems not to be detrimental with respect to the figures of merit of perovskite-based devices,<sup>9</sup> their activity poses a challenge to the widespread uptake of perovskite-based 57 58 optoelectronic devices in terms of material stability under electrical and optical stimuli.<sup>10–14</sup> Monitoring the photoluminescence (PL) and dynamics of photogenerated 59 60 charge carriers is an effective approach for gathering information about the opto-61 electronic properties of a semiconductor. The PL efficiency is extremely sensitive to a 62 combination of parameters such as recombination rate constants, defect densities, carrier 63 lifetimes, and non-radiative decay paths; as such PL efficiency is usually taken as a 64 measure of the material optoelectronic quality.

Since the primary function of lead halide perovskites in solar cells is to absorb light, the material response to light exposure and the possible associated phenomena are fundamental properties to enable reliable devices. A PL intensity decrease (PLID) has been observed in lead halide perovskite thin-films of different composition as a result of photo-induced trap formation in inert atmosphere<sup>15</sup>. Enhanced photo-induced ion migration<sup>16</sup> was interpreted as a defect density increase under light irradiation<sup>17</sup>. In

direct contradiction, PL intensity enhancement (PLIE) under illumination has also been
observed<sup>18,19</sup> which was explained by a light-induced trap annihilation mechanism.<sup>20</sup> A
dependence of the PL efficiency variation on defect density<sup>21</sup> and excitation intensity<sup>22</sup>,
with both PLIE and PLID, was reported. The atmosphere also has a strong impact on PL
efficiency, with strong PLIE observed by exposing the sample to an oxygen-rich
environment<sup>15,23-26</sup>.

The presence of transient phenomena upon electrical and photo-excitation and a lack of understanding of the mechanisms behind such phenomena raise skepticism about the successful integration of lead halide perovskites in a reliable technology. From a more fundamental point of view, no general consensus exists on whether the observed transient behaviors can eventually lead to an improved material quality or they can actually destroy the material.

Here we provide a comprehensive picture of the PLIE and PLID processes in methylammonium lead iodide (MAPbI<sub>3</sub>) and bromide (MAPbBr<sub>3</sub>) perovskites, showing that both phenomena can happen and compete in both materials by varying the illumination conditions, although with different characteristic times and temperature response.

88 Combining our observations with first-principles calculations we propose a 89 model based on lead halide defect chemistry for both PLID and PLIE which 90 consistently explains the overall phenomenology. We find that long-living carrier traps 91 related to halide defects trigger photo-induced transformations which drive both PLIE 92 and PLID. As long as the density of traps is relatively low or they are scarcely 93 populated, photo-induced PLIE will be dominant. On the other hand, photo-induced 94 PLID will take over in the presence of a high density of populated traps located close to 95 thin film surface and grain boundaries, which provide a reservoir of binding sites for

96 photo-generated species eventually leading to material degradation. Consistent with the

97 developed model, we show that surface passivation of MAPbI<sub>3</sub> thin films allows us to

98 switch off the PLID mechanism and make the PLIE process dominant, which translates

99 into an associated solar cell efficiency increase and enhanced temporal stability.

100

101 Results

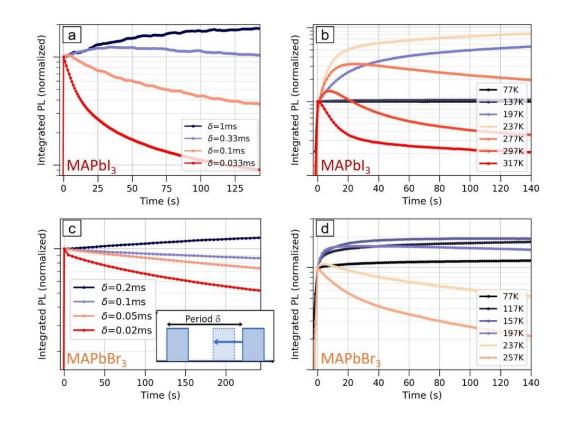
102 We monitored the PL intensity of MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> perovskite thin-films used in 103 efficient solar cell devices as a function of illumination time. Figure 1a shows the room 104 temperature integrated PL over time of MAPbI<sub>3</sub> polycrystalline films deposited on 105 glass. The sample was excited with a laser beam incident on the film surface. The laser 106 was modulated with a fixed pulse width of 200 ns and varying repetition rate, *i.e.* 107 varying the period ( $\delta$ ) between two excitation pulses. The pulse energy was kept 108 constant to guarantee the same carrier generation density at each excitation pulse (in 109 Table S2 of SI the average excitation intensity as a function of  $\delta$  are reported). To exclude the effect of oxygen and moisture<sup>15,24,25</sup> all measurements were performed 110 under active vacuum (pressure  $<10^{-5}$  mbar, under constantly running pump). We 111 112 observe that the PL intensity changes over time, showing both PLIE and PLID, 113 depending on the repetition rate for both MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> (Figures 1a,c). At low 114 repetition rates -that is long  $\delta$ , corresponding to the sample being in the dark for ~ms 115 time – the PL intensity cumulatively grows with subsequent illumination periods. 116 Increasing the repetition rate - short  $\delta$ , corresponding to longer light exposure and less 117 time in the dark- a quenching process kicks in which becomes eventually dominant 118 (Figures 1a,c). These observations clearly indicate that *light absorption can lead to* 119 competing PLIE and PLID phenomena, depending on the excitation conditions. 120 Importantly we have ruled out the role of electronic processes in such time window, e.g.

121 trap filling effects upon pulses pile up while varying  $\delta$  (see simulations in SI, S2-S4 and 122 S22-S25). The latter mainly result in a change in the averaged excitation intensity and 123 an enhancement of the PL absolute value at time t=0, due to an associated enhancement 124 of counts during the integration (see Fig S26 in SI). We thus performed additional 125 experiments at varying temperatures to disentangle the factors underlying the individual 126 phenomena involved in PLIE and PLID. Figure 1b shows the time evolution of the 127 integrated PL intensity of a MAPbI<sub>3</sub> film excited with continuous-wave (CW) light, 128 analogous to a very high repetition rate, *i.e.* short  $\delta$ , at different temperatures. Each 129 curve was taken on a fresh spot of the sample. For MAPbI<sub>3</sub>, at 77 K the PL is stable for 130 the entire duration of the experiment, and the same holds when heating the sample to 131 137 K. Further raising the temperature to 197 K we observe a strong PLIE over time 132 which is further boosted up to 237 K, leading to a factor of ~8 PL increase compared to 133 the initial value. At still higher temperature (277 K) an initial PLIE is superseded by 134 PLID at longer exposure times, which eventually dominates at room temperature and 135 above. Similar behavior was observed for MAPbBr<sub>3</sub> films upon varying the 136 temperature, though with lower thresholds for PLID and PLIE (Figure 1c,d), making us 137 safely exclude any role of structural phase transition in the observed dynamics (see also 138 S27 in SI).

The PL intensity variations with increasing temperature and the transition from stable PL, to PLIE to PLID, is clearly suggestive of competing thermally activated processes that either improve or hinder the efficiency of radiative recombination. The dominant contribution of PLID at higher temperature suggests a higher energy demand for this process compared to PLIE. Also, the enhanced stability range of MAPbI<sub>3</sub> compared to MAPbBr<sub>3</sub> at low temperatures (cf. Figure 1b and 1d, with PLID starting at 277 and 197 K respectively) suggests that the threshold for switching between the two

146 phenomena is determined by the precise material composition, in particular by the

### 147 nature of the halide.



149Figure 1. Integrated PL dynamics, normalized at time =0, upon illumination (fluence150 $\sim 0.5 \ \mu J \ cm^{-2}$ , excitation density  $\sim 10^{16} \ cm^{-3}$ ) of fresh spots of polycrystalline films of151 $MAPbI_3$  (a) and  $MAPbBr_3$  (c) with increasing repetition rate (decreasing period  $\delta$ ) of152the excitation light. The pulse width was fixed at 200 ns with constant pulse energy of153 $0.2 \ nJ$  (.Integrated PL over time of MAPbI<sub>3</sub> (b) and MAPbBr<sub>3</sub> (d) at different154temperatures under CW excitation. Excitation wavelength: 450 nm for MAPbI<sub>3</sub> and155405 nm for MAPbBr<sub>3</sub>.

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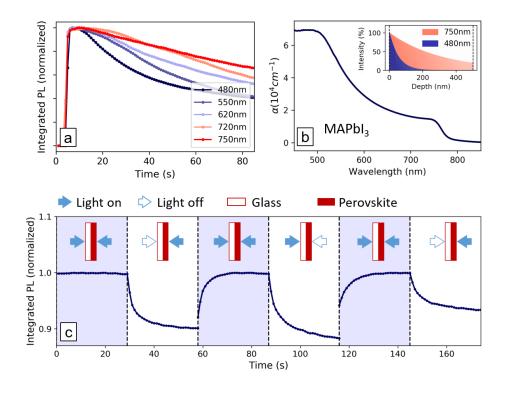
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157 To identify what additional factors affect the PLID and PLIE processes, we investigated

158 the PL time evolution of MAPbI<sub>3</sub> as a function of the excitation wavelength, as shown

159 in Figure 2a. The incident photon density over the illuminated spot area was kept

160 constant, and each curve was taken on a fresh spot of the film. We observe that PLID is 161 significantly enhanced at shorter excitation wavelengths, while band-edge excitation 162 results in more stable PL. Besides the additional thermal energy provided by above-gap 163 excitation, shorter wavelength light also has significantly shorter penetration depth 164 (Figure 2b). This results in a higher carrier generation close to the film surface, with a 165 factor ~2 increase in the first 30 nm film away from the surface in case of 480 vs. 750 166 nm excitation. While we cannot exclude direct excitation of PbI2 as the cause of PLID and PLIE,<sup>27</sup> the observation of both phenomena for MAPbBr<sub>3</sub> is consistent with a 167 168 minor role of this phenomenon in our conditions, as excitation of the higher band-gap 169 (~4 eV) PbBr<sub>2</sub> phase only occurs at much shorter excitation wavelength than those used 170 in our experiments. Our observations suggest that the competition between PLID and 171 PLIE is strongly related to the illumination conditions of the thin film which may 172 include factors such as proximity to the reactive surface, uniformity of the excitation 173 profile, and excitation density, with possible contribution of thermal dissipation effects 174 following photo-excitation.





176 **Figure 2**. (a) Integrated PL over time obtained using excitation light of different

177 wavelengths. (b) Absorption spectrum of MAPbI<sub>3</sub> thin film (see absorbance in S28) and

178 photoexcitation profiles when using 480 nm or 750 nm light (inset). (c) Integrated PL

179 intensity over time of a MAPbI<sub>3</sub> film on glass. Excitation is performed at 560 nm with

180 symmetrical beams of equal intensity impinging on both the perovskite and glass side of

181 the sample. Each section of the time trace is normalized by its maximum intensity for

182 visualization purpose (See Figure S6 in SI for unnormalized data).

183

184 To complement our phenomenological consideration, we investigated whether the 185 experiment geometry could affect the relative weight of PLID vs. PLIE. We find a 186 reproducible PLIE when illuminating the film from two sides (the open surface and the 187 glass side) with two identical beams covering a large area of the thin film, suggesting 188 that a uniform illumination may reduce the impact of PLID (Figure 2c). Similar 189 observations, *i.e.* a switch from PLID to a more stable PL is also observed by varying 190 the lateral illumination gradient (see Supplementary Information Figure S7). 191 To provide a rationale for these observations we first consider the possible role 192 of trap-state filling, followed by de-trapping, as a possible cause determining the 193 observed phenomenology. Despite the long lifetimes of trapped carriers in both MAPbI<sub>3</sub> 194 and MAPbBr<sub>3</sub><sup>9,15,27</sup>, extending up to several  $\mu$ s, the timescales spanned by the repetition 195 rates in Figures 1a,c are too long to be solely explained by trapping/de-trapping 196 mechanisms. The system can reach almost a stationary density of charge carriers within 197 the 200 ns pulse (see Supplementary Information, Figure S2-S4, for simulations of 198 charge carrier dynamics under CW or modulated illumination); if no concomitant 199 processes take place, though, the ms delay time between two consecutive pulses allows 200 the system to return to the ground state before a second pulse comes, in contrast to the

201 cumulative effect of pulsed illumination observed in Figure 1a,c. Likewise,

202 charge/lattice interaction through formation of large polarons<sup>28</sup> show lifetimes

203 comparable to those of the charge carriers, inconsistent with the cumulative effect

observed with ms delay. Similarly, we can rule out a role of light induced release of
 lattice strain<sup>29</sup> in PLE, since electronic effects are too fast to provide a cumulative effect
 on the ms repetition time scale of our experiments.

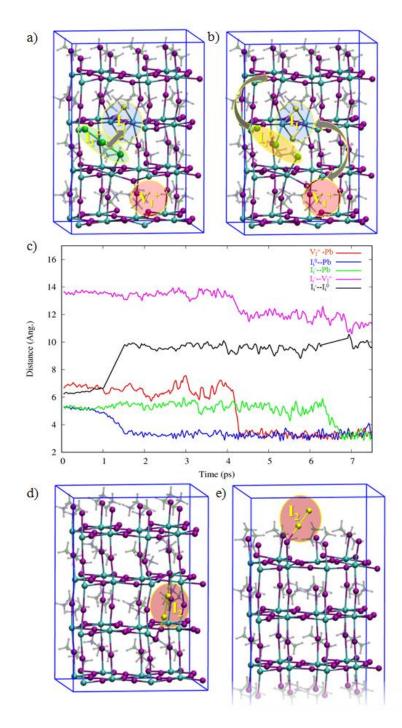
207 The time scales involved in PLID and PLIE are consistent with the reported 208 ionic activities, such as ion/defect annihilation and migration rates, in both MAPbI<sub>3</sub> and MAPbBr<sub>3</sub>,<sup>20,30</sup> and with the time scale of photo-induced transformations in free standing 209 MAPbI<sub>3</sub> films.<sup>31</sup> Comparably, in mixed halide (I, Br) perovskites ion segregation was 210 211 shown to take place via halide defects, mainly vacancies and interstitials, driven by the 212 gradient in carrier generation through the thickness of these strongly absorbing materials<sup>32–34</sup>. The observation of typical spectral features associated with defects in the 213 214 lattice that are enhanced under illumination (see Supplementary Information Figure S8 215 and S9), is suggestive of photo-induced defect formation and annihilation being 216 respectively related to PLID and PLIE.

217 With the aid of first-principles calculations we propose a model based on lead-218 halides defect chemistry which allows us to consistently explain the observations of the 219 data set presented above. MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> show a remarkably similar defect 220 chemistry (see Supplementary Information for comparative analysis based on hybrid DFT calculations including spin-orbit coupling) which is dominated by lead vacancies 221 222  $(V_{Pb})$  and interstitial halogen (I<sub>i</sub> or Br<sub>i</sub>) defects. We henceforth use the notation for 223 defects in MAPbI<sub>3</sub> (e.g. I<sub>i</sub>), but the mechanism applies similarly to MAPbBr<sub>3</sub>. We 224 notice, however, the for the latter the involved defects are somehow shallower than in 225 MAPbI<sub>3</sub> (see Supplementary Information Figure S10). Both halide interstitials and lead

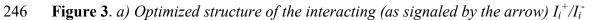
226	vacancies show thermodynamic ionization levels in the band gap. At the calculated
227	native Fermi level $V_{Pb}$ is stable in the 2- charge state, thus this defect could trap holes
228	by the (-/2-) transition level whose energy falls 0.13 eV above the VB, while $I_i^-$ exhibits
229	a (0/-) charge transition 0.29 eV above the VB. The partial shallow character of $V_{\text{Pb}}$
230	transition, less deep than I <sub>i</sub> , and the high migration barriers associated to the diffusion of
231	the defect (Ea~1.0 eV) highlight that this defect is only moderately active as a trap,
232	while I <sub>i</sub> can significantly trap both electrons and holes (see Supplementary Information
233	Figure S10) through the (+/0) and (0/-) transitions. Hole trapping/de-trapping at $I_i^{\ }$ is a
234	relatively fast process, while electron trapping at $I_i^+$ induces long living states due to the
235	strong associated geometrical relaxation decreasing the kinetics of electron
236	detrapping <sup>9,27</sup> . The promoter of possible material transformations must be a long-living
237	species, whose formation may trigger subsequent photo-chemical transformations
238	leading to both PLID and PLIE. The most natural candidates are thus the filled electron
239	traps with their associated µs lifetime.
240	Recombination of $I_i^-$ with $V_I^+$ was proposed as a mean of trap annihilation <sup>20</sup> ,
241	which restores the pristine material and decreases the concentration of traps, thus it can
2.42	

242 be associated to PLIE. Here we further disclose that long living electrons at trapped  $I_i^+$ 

243 defects may additionally mediate Frenkel defect annihilation.







247 *defect pair (shaded green and blue areas, respectively) and a distant*  $V_I^+$  *defect (shaded state of the state of* 

- 248 red area). The formation of the  $I_i^- V_I^+$  defects in the presence of an interacting  $I_i^+$  is
- endothermic by 0.55 eV. b) Electron trapped at  $I_i^+$  to form  $I_i^0/I_i^-$  defect pair (shaded
- 250 orange and blue areas, respectively). The arrows indicate the direction of  $I_i^0$  migration
- and  $I_i^- V_I^+$  annihilation. The formation of the  $I_i^- V_I^+$  defects in the presence of a

252 neutral  $I_i^0$  is endothermic by 0.86 eV. c) Dynamical evolution of the system after

electron trapping at  $I_i^+$ . A sequence of ion reorganization and migration events can be

visualized: 1) following electron trapping at  $I_i^+$ , the system rapidly reaches the

255 minimum energy structure of  $I_i^0$  (blue line) increasing the  $I_i^0/I_i^-$  distance (black line); 2)

256  $V_I^+$  migrates in the direction of  $I_i^-$  (red and magenta lines); 3)  $I_i^-$  further migrates in the

257 *direction of*  $V_I^+$  (green and magenta lines). d) Optimized structure of an unstable bulk  $I_2$ 

258 molecule (dark red shaded area), which migrates to the perovskite (001) surface in e) to

form a stable surface bound  $I_2$  molecule (dark red shaded area). Binding of an  $I_2$ 

260 molecule to the perovskite surface (bulk) is exothermic (endothermic) by 0.48 (0.40) eV.

261

Briefly, the formation of a  $I_i^--V_I^+$  Frenkel pair in the presence of a neighboring  $I_i^+$  is 262 263 endothermic by 0.55 eV since this process requires to break a Pb-I bond and displacing the  $I_i^--V_1^+$  defects away, Figure 3a. Upon electron trapping at  $I_i^+$ , which is preferentially 264 265 located next to  $I_i$ , the system is further destabilized by 0.31 eV, Figure 3b, adding a 266 significant driving force to restore the pristine material. The long-living nature of the 267 formed I<sub>i</sub><sup>0</sup>, which mirrors the long electron de-trapping time, may allow sufficient time for  $I_i^--V_I^+$  annihilation to effectively take place. Further insight on such process was 268 269 obtained by *ab initio* molecular dynamics. We start from the minimum energy geometry of  $I_i^--V_l^+$  Frenkel pair interacting with  $I_i^+$  (Figure 3a) and add one electron to the 270 271 system, following the dynamical evolution of this globally neutral system. A sequence 272 of ion reorganization and migration events can be visualized: 1) following electron trapping at I<sub>i</sub><sup>+</sup>, confirmed by spin localization at the defect site, the system rapidly 273 reaches the minimum energy structure of  $I_i^0$  (blue line in Figure 3c) increasing the  $I_i^0/I_i^-$ 274 275 distance (black line); 2)  $V_1^+$  migrates in the direction of  $I_i^-$  (red and magenta lines); 3)  $I_i^$ further migrates in the direction of  $V_{I}^{+}$  (green and magenta lines). The results are 276

- 277 consistent with electron trapping at  $I_i^+$  promoting  $I_i^- V_I^+$  annihilation, as inferred from
- 278 the two oppositely charged defects migrating towards each other twice within less than
- 279 10 ps. A simulation starting from the same structure but with no added electron at  $I_i^+$  did
- 280 not show any shortening of the  $I_i^- V_I^+$  distance, though the  $I_i^+ / I_i^-$  defects jointly
- 281 migrated in the investigated time, see Supporting Information Figure S11.
- 282 The light-induced PLIE process can be schematized as follows:

283 
$$I_i^+/I_i^--V_I^+ + 1e \rightarrow I_i^0/I_i^--V_I^+ \rightarrow I_i^0 + prist$$
 eq. 1

where *prist* represents the pristine material. Annihilation of the  $I_i^--V_I^+$  Frenkel pair is entropically disfavored, with a small energy barrier related to the migration of  $I_i^-$  and  $V_I^+$  (~0.1 eV)<sup>35</sup>. This reaction is thus favored at low temperature but it still requires a sufficient thermal energy to accomplish defect migration.

# 288 The detrapping reaction:

289  $I_i^0 \to I_i^+ + 1e$  eq. 2

instead has a significant activation energy  $(0.29 \text{ eV})^9$ , so the annihilation process of 290 291 eq.1, mediated by the high ion mobility of iodine defects, can effectively prevail over 292 the thermally activated detrapping of eq.2. Such PLIE mechanism is fully consistent 293 with the data of Figure 1b,d, showing a preferential PLIE at low temperature but no 294 PLIE if the temperature is too low to hamper defect migration. In the absence of other 295 (PLID) processes this PLIE mechanism is cumulative since it requires a long time after 296 de-trapping to restore the initial density of Frenkel defects due to the endothermic 297 nature of the process, with the possible associated entropic gain hindered at low 298 temperature. By definition, in a Frenkel defect an ion leaves its place in the lattice, 299 creating a vacancy, and it becomes an interstitial by lodging in a nearby location, thus 300 we expect to observe their annihilation happening even when only short-range 301 migration is allowed (*e.g.* at low temperature).

302	The PLID process is likely more complex than PLIE. We know that PLID is
303	favored by high illumination repetition rates. This is in turn associated with a larger
304	fraction of traps being filled at any time, and possibly to a higher effective temperature
305	due to heating of the sample. We also know from the wavelength dependence of PLID
306	that this process is more efficient when the photo-generation happens close to the
307	surface where a larger trap density can be envisaged. It is also amplified when ion
308	diffusion is enhanced, <i>i.e.</i> by the presence of gradient across dark/light regions.
309	A possible PLID mechanism could thus be reasonably associated to a
310	bimolecular reaction – boosted by increasing the encountering probability of the
311	reactants, <i>i.e.</i> by increasing their density and/or their mobility – occurring among the
312	filled, long living traps, in a film region close to the surface. Following the initiating
313	trap filling reaction of eq. 2, two filled traps could react to form an I <sub>2</sub> molecule:
314	$2 I_i^0 \rightarrow I_2$ eq. 3.
314	$2 I_i^0 \rightarrow I_2$ eq. 3.
314 315	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place
<ul><li>314</li><li>315</li><li>316</li></ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only
<ul><li>314</li><li>315</li><li>316</li><li>317</li></ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap
<ul> <li>314</li> <li>315</li> <li>316</li> <li>317</li> <li>318</li> </ul>	$2 I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap concentration in a small volume that increases the probability of a bimolecular
<ul> <li>314</li> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> </ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap concentration in a small volume that increases the probability of a bimolecular encounter, as realized by high irradiation intensity of short wavelength, as well as by
<ul> <li>314</li> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> <li>320</li> </ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap concentration in a small volume that increases the probability of a bimolecular encounter, as realized by high irradiation intensity of short wavelength, as well as by allowing for long-range $I_i^0$ migration. The direct recombination of two interacting $I_i^-/I_i^+$
<ul> <li>314</li> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> <li>320</li> <li>321</li> </ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2^-$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap concentration in a small volume that increases the probability of a bimolecular encounter, as realized by high irradiation intensity of short wavelength, as well as by allowing for long-range $I_i^0$ migration. The direct recombination of two interacting $I_i^-/I_i^+$ defects to give $I_2$ has instead a fairly high activation energy (0.33 eV, when calculated
<ul> <li>314</li> <li>315</li> <li>316</li> <li>317</li> <li>318</li> <li>319</li> <li>320</li> <li>321</li> <li>322</li> </ul>	2 $I_i^0 \rightarrow I_2$ eq. 3. This radical quenching reaction, involving two coordinated $I_2$ molecules, <sup>36</sup> takes place virtually with no energy barrier (Supplementary Information Figure S12) being it only limited by diffusion of the reactants. Such bimolecular reaction is favored by a high trap concentration in a small volume that increases the probability of a bimolecular encounter, as realized by high irradiation intensity of short wavelength, as well as by allowing for long-range $I_i^0$ migration. The direct recombination of two interacting $I_i / I_i^+$ defects to give $I_2$ has instead a fairly high activation energy (0.33 eV, when calculated among surface-adsorbed species, see Supporting Information Figure S13).

 $I_i^+/I_i^- + 1e \to I_i^0/I_i^- + 1h \to 2I_i^0 \to I_2$  eq. 4

326 The last reaction step leads to the same  $I_2$  product as eq. 3 but its reaction probability is 327 likely limited by the short living trapped hole at  $I_i^-$ .

- 328 A filled trap may also take a second electron to form  $I_i$ :
- 329  $I_i^0 + 1e \rightarrow I_i^-$  eq. 5.

330 the bielectronic reaction in eq. 5 is favored by high irradiation intensity. Formation of  $I_2$ 

is possibly favored over capture of a second electron, but both mechanisms can coexist.

332  $I_2$  loss into toluene solution following MAPbI<sub>3</sub> light irradiation was recently reported <sup>17</sup>,

333 consistent with eq.3 and 4.  $I_2$  can be trapped as a complex in the bulk (formally  $I_4^{2-}$ ,

Figure 3d) or it may disproportionate to reform the  $I_i^+/I_i^-$  pair <sup>35,37</sup>. Importantly, both

335 coordinated I<sub>2</sub> and the  $I_i^+/I_i^-$  pair tend to migrate to the surface, being stabilized by ~0.4

- 336 eV. A surface-coordinated I<sub>2</sub> molecule represents the final reaction product (Figure 3e).
- 337 Such  $I_2$  molecule can remain bound to the surface or to grain boundaries. Notably  $I_2$  can
- 338 act as an electron trap, as  $I_i^+$  does.

The iodine imbalance between surface and bulk can trigger a series of

340 compensating reactions that regenerate the starting equilibrium distribution of  $I_i^+/I_i^-$ 

341 defects to compensate their transformation to surface bound I<sub>2</sub>. This can be

- 342 accomplished by disrupting the pristine lattice as
- 343  $prist \to V_{Pb2} + V_{I} + I_{i}^{+}$  eq. 6

344 or through formation of Frenkel pairs, according to eq.1, here simplified as

345 
$$prist \rightarrow V_1^+ + I_i^-$$
 eq. 1'

346 or the net reaction:

347  $prist \to V_{Pb}^{2^-} + 2V_I^+ + I_i^- + I_i^+$  eq. 7.

348 Note that  $V_{Pb}^{2} + 2V_{I}^{+}$  corresponds to the loss of PbI<sub>2</sub>, which may segregate as a separate

349 phase, with consequent material degradation<sup>38</sup> and associated PLID. Alternatively,

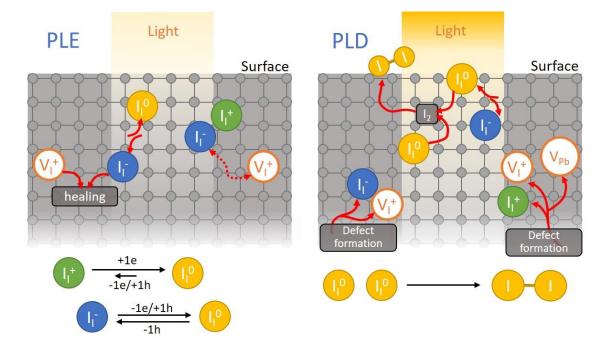
350 metallic Pb could nucleate on the material surfaces $^{39}$ .

351 The trigger to PLID is thus the surface (or grain boundary) stabilization of 352 coordinated I<sub>2</sub>. Treating the thin film with appropriate passivating agents and *blocking* undercoordinated surface Pb atoms is thus the key to avoid PLID<sup>40</sup>. Surface passivation 353 354 will result not only in an improvement of the absolute PL quantum yield of the 355 semiconductor thin film (surface-bound I<sub>i</sub> is destabilized when the MAPbI<sub>3</sub> surface is 356 capped by aliphatic ethers, see Supplementary Information Figure S14) but also in 357 hampering the PLID phenomenon, leaving room for PLIE to happen. 358 The key to block PLID and likely to significantly slow down perovskite 359 degradation is the passivation of surface sites to which I<sub>2</sub> can bind in its various forms. 360 These undercoordinated Pb surface sites, not directly involved in trapping/detrapping 361 events, act as a reservoir for I<sub>2</sub>, leading to iodine imbalance in the bulk and stimulating

362 the production of additional defects. The process continues until surface sites are

363 saturated, after which the material may start releasing  $I_2$  and possibly form a segregated

364 PbI<sub>2</sub> phase. Scheme 1 summarizes the PLIE and PLID mechanisms.



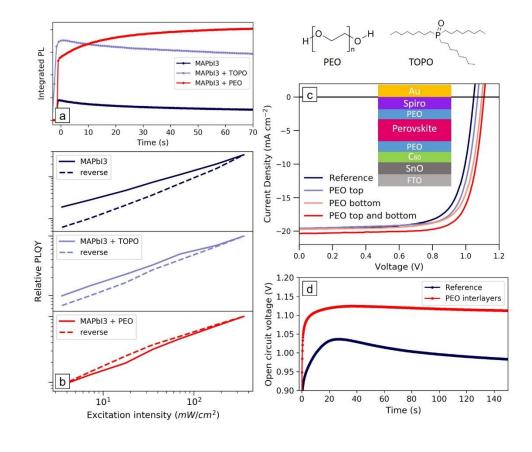


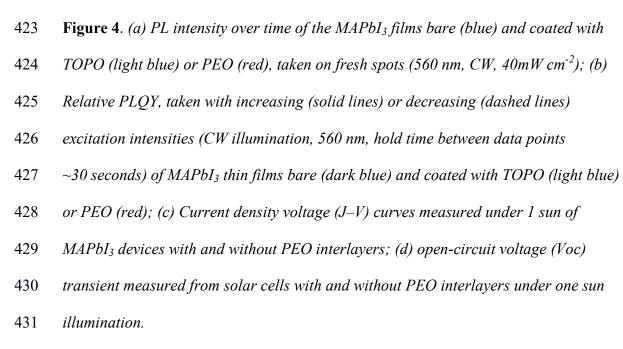
368 To check the importance of the surface in PLID, we compared a pristine 369 MAPbI<sub>3</sub> film with one coated with tri-n-octylphosphine oxide (TOPO) or polyethylene 370 oxide (PEO) (Figure 4a). TOPO has been applied for the passivation of perovskite films resulting in enhanced PL efficiencies and lifetimes.<sup>7</sup> PEO is a polymer containing polar 371 372 ether groups and hydroxyl terminations, representing a moisture barrier and a possible passivating agent<sup>41,42</sup>. In Figure 4a, by illuminating a fresh spot of the samples (560 nm, 373 CW excitation, fluence of  $\sim 0.1 \ \mu$ Jcm<sup>-2</sup>) and monitoring the integrated PL signal over a 374 375 few minutes we observed a slow quenching for the bare MAPbI<sub>3</sub> thin film and an initial 376 enhancement followed by a slower quenching for the TOPO passivated thin film. On 377 the other hand, in the presence of PEO we observe that the mechanism leading to PLID 378 is switched off even at room temperature (Figure 4b). Despite TOPO and PEO are both 379 characterized by the presence of oxygen atoms which interact with undercoordinated surface Pb atoms, (see Supplementary Information Figure S14) the increased PLIE 380 381 observed with PEO is suggestive of a more effective passivation by the latter, probably 382 because of the larger fraction of oxygen atoms against aliphatic carbon chains in PEO. 383 Figure 4b shows the relative PL quantum yield, calculated as the integrated PL intensity 384 normalized by the excitation intensity, of MAPbI<sub>3</sub> films. Each data point was taken after 385 a hold time of  $\sim$ 30 seconds. When measuring from low to high excitation intensities 386 (solid lines) and then in reverse order (dashed lines), we observe a hysteretic behavior 387 resulting from photoinduced trap formation, *i.e.* PLID and a concomitant PL intensity 388 reduction from bare perovskite thin films. By illuminating the film from the passivated 389 side, both the TOPO and PEO coated samples show a higher PL signal, indicating a 390 decrease in the density of native defects on the thin film surface. Thus, also in 391 agreement with the data shown in Figure 4a, the sample coated with TOPO shows a less 392 pronounced hysteretic behavior compared to the uncoated film, while the PEO

393 passivated thin film presents an opposite hysteretic behavior of the relative PL as a 394 function of excitation intensity, as a consequence of a dominant PLIE process. This 395 clearly shows that efficient surface passivation can reduce the density of defect states 396 and photo-stabilize the perovskite thin film. To connect our experimental and 397 computational model, in particular the central role of surface-stabilized I<sub>2</sub> in PLID, we 398 investigated the I<sub>2</sub>-releasing reaction in hexane solution from MAPbI<sub>3</sub> films considering three cases: i) the bare thin film; ii) MAPbI<sup>3</sup> capped by an inert polystyrene film; and 399 400 iii) a PEO-passivated film. In line with the results of Kim et al. prolonged irradiation of 401 the bare MAPbI<sub>3</sub> and polystyrene-capped thin films produced the same amount of  $I_2$ , 402 while a significant reduction in I<sub>2</sub> production was observed for the PEO-passivated thin 403 film (Supplementary Figures S18-S20).

404 The passivating PEO layer has a significant technological relevance as it allows 405 for the formation of high quality interlayer thin films which can be easily implemented 406 in perovskite solar cells. In Figure 4c we show the J-V characteristic of MAPbI<sub>3</sub> based solar cells. Here we add PEO interlayers between the perovskite active layer and the 407 408 selective charge extracting layers. The PEO layer is seen to improve the open circuit 409 voltage (V<sub>OC</sub>) when applied to either the electron- or hole-extracting interface, with the 410 best performance given by the device with PEO applied to both sides (see the devices 411 figure of merit in Table S1 and their statistics in Figure S15 of the SI). In Figure 4.d we 412 show the evolution of the  $V_{OC}$  of solar cells with and without the PEO interlayers under 413 one sun illumination (see Figure S17 of SI for monochromatic photo-excitation). The 414 devices were encapsulated in inert atmosphere. Despite the fact that the perovskite layer 415 in the reference device is already interfaced with materials which have, to some extent a passivating role, such as  $C_{60}^{43,44}$  we still see competing dynamics in the Voc which 416 417 mirror the PLID and PLIE shown in Figure 4.a. However, the relative contribution of

- 418 the PLID is drastically reduced in the PEO-treated device, resulting in a higher and
- 419 more stable Voc.





Most notably, the PEO-passivated device shows also significantly longer stability under
light soaking at the maximum power point, retaining more than 90% of its initial
efficiency for15h; while the bare MAPbI<sub>3</sub> device looses 35% of its initial efficiency
within the first 6h, see Supplementary Figure S21.

437 It is worth to mention that LEDs may operate at a relatively high density of carriers,

438 thus they may suffer more from the PLID mechanism. As we have demonstrated, PEO

interlayers are capable of passivating the perovskite surfaces minimising the formation

440 of degradation products (*i.e.* I<sub>2</sub>) without blocking the charge injection in the device.

441 Therefore we believe PEO could be beneficial to LED stability too.

442 In conclusion, by monitoring the evolution of PL intensity under systematic 443 control of the experimental parameters we identified two distinct processes behind the 444 instabilities observed in lead halide perovskites, excluding effects related to the 445 atmosphere. We demonstrate that such processes are simultaneously happening within 446 the thin films under photo-excitation, and that depending on the conditions, one can 447 overcome the other, conciliating the conflicting reports in literature and providing a 448 consistent understanding of photoinduced phenomena in this class of materials. Based 449 on simple yet comprehensive experimental evidence we are able to provide a clear 450 model, in which long-living trapped carriers – typical of halide photochemistry -451 mediate photo-induced ionic dynamics which can lead to a dominant PL enhancement 452 or decrease. The latter stems from a bimolecular reaction which due to the encounter of two  $I^0$  species to form  $I_2$ , thus it is favored in the presence of high trap density 453 454 concentration and/or high temperature. The stabilization of coordinated I<sub>2</sub> at the surface 455 (or grain boundary) is a fundamental factor for the efficiency loss. On such grounds, we 456 show that passivating the thin film surface prevents the detrimental process leading to 457 PL decrease, favoring the defect healing. This allows us to target the relevant defect

sites by passivating the semiconductor surface with PEO interlayers, improving deviceperformance and stability.

460

461	ACKNOLEDGEMENTS

- 462 This work has been funded by the European Union project PERT PV under grant
- 463 agreement n° 763977, and ERC project SOPHY under grant agreement N 771528
- 464 S.G.M. thanks the CNPq (Conselho Nacional de Desenvolvimento Científico e
- 465 Tecnológico Brasil) for the scholarship [206502/2014-1]. The authors thank Dr
- 466 Giuseppe Paternò for his support to set-up the transient Voc characterization.
- 467

### 468 AUTHOR CONTRIBUTIONS

- 469 S.G.M. performed the PL measurements, D.M. and E.M. performed the first-principle
- 470 calculations, A.R.P., J.M.B, M.G. and M.K. were responsible for the thin films
- 471 fabrication, M.K. fabricated the solar cell devices and M.K and A.J.B characterized the
- 472 solar cell. A.P., S.M, A.J.B., D.M. and F.D.A. analyzed the data. S.G.M, F.D.A. and
- 473 A.P. wrote the first draft of the manuscript and all authors contributed with the
- 474 discussions and finalized the manuscript. AP supervised the project.
- 475
- 476 COMPETING INTERESTS
- 477 The authors declare no competing interests.
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647	С	competing photo-induced trap formation and
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# Supplementary Information

670

# 671 Sample preparation

672 Lead(II) bromide (PbBr<sub>2</sub>, ≥98%), N,N-dimethylformamide (DMF, anhydrous, 99.8%), Chlorobenzene (anhydrous, 99.8%), and dimethyl sulfoxide (DMSO, 673 674 anhydrous, ≥99.9%) were purchased from Sigma-Aldrich; methylammonium bromide 675 (MABr) and methylammonium iodide (MAI) were purchased from Dyesol; and lead (II) 676 iodide (PbI<sub>2</sub>, 99.9985%, CAS No. 10101-63-0) was purchased from Alfa Aesar. All 677 chemicals were used without any further purification. Glass substrates were cleaned in 678 acetone and isopropyl alcohol (IPA) for 10 minutes by sonication. The cleaned glass 679 substrates were treated with Oxygen plasma for 10 minutes before any further 680 deposition.

681

MAPbBr3 thin films. These films could be fabricated by an adapted Nanocrystal-Pinning technique [Ref. Cho H. et al., Science (2015), 350-6265, 1222-5]. In this case, two steps of spin-coating speed were used (500 rpm for 7 seconds, 3000 rpm 90 seconds). After spin-speed acceleration, a solution of MABr and PbBr2 (molar ratio 1.05:1) in DMSO was spin-coated onto the clean glass substrate. After 60 seconds, the pinning occurred by dropping 300 µl of chlorobenzene on the spinning sample. The samples were then baked at 90°C for 10 minutes.

689

690 *MAPbI<sub>3</sub> thin films*. These films were fabricated by quenching a precursor solution 691 with an antisolvent during spin coating [M. Xiao et al., Angewandte Chemie vol. 126, 692 p10056 (2014)], in a nitrogen filled glovebox. A 1.45 M precursor solution of 693 PbI<sub>2</sub>:MAI:DMSO in a molar ratio of 1:1:1 was prepared in DMF. This solution was spin coated onto the glass substrate at 4000 rpm, with an acceleration of 4000 rpm/s, for
15 s. After 6 s toluene, an antisolvent to the precursor solution, was dropped onto
spinning sample by pipette. The samples were then annealed at 100°C for 10 minutes.

697

698 *MAPbI*<sup>3</sup> thin films with passivation.

699 tri-n-octylphosphine oxide (TOPO) or polyethylene oxide (PEO) were dissolved in 700 anhydrous chlorobenzene at concentration of 10 mg $\cdot$ ml<sup>-1</sup>. Solutions were sonicated for 701 10 minutes for complete dissolution before deposition on top of the perovskite film by 702 spin-coating at 4000 rpm.

703 **Device fabrication** 

704 Film fabrication: ITO on glass substrate was etched with 2M aqueous HCl solution and 705 zinc powder (Sigma Aldrich). The ITO substrates were sequentially washed with 2% 706 Hellamanex in deionized water, deionized water, iso-propanol, acetone, iso-propanol in 707 a sonication bath, followed by O<sub>2</sub> plasma cleaning for 10 min. A cleaned ITO substrate 708 was covered with a SnO<sub>2</sub> layer (~20 nm) by spin-coating of a diluted SnO<sub>2</sub> nanoparticle 709 solution (Alfa Aesar) and annealed at 180 °C for 1 h. On the SnO<sub>2</sub> layer, C<sub>60</sub> layer was deposited from a  $C_{60}$  solution (10 mg·ml<sup>-1</sup>) in 1,2-dichlorobenzene by spin-coating at 710 711 3000 rpm, followed by thermal annealing at 100 °C for 10 min. The perovskite layer 712 was fabricated through applying anti-solvent quenching method. For hole transport 713 layer, a spiro-MeOTAD solution was spin coated on the perovskite layer at 4000 rpm 714 for 30 s. Spiro-MeOTAD solution was prepared by dissolving 73 mg of spiro-MeOTAD 715 in 1 mL chlorobenzene (99.8%; Sigma–Aldrich), to which were added 28.8 µL of 4-tert-716 butylpyridine (96%, Sigma-Aldrich), 17.5 μL lithium 717 bis(trifluoromethanesulfonyl)imide (LiTFSI) solution (520 mg LiTSFI in 1 mL 718 acetonitrile, 99.8%, Sigma-Aldrich). This fabrication process was carried out under 719 controlled conditions in a glove-box atmosphere. Finally, 75 nm gold was thermally evaporated on top of the device at a pressure of  $1 \times 10^{-6}$  mbar to form the top-contact 720 721 electrode.

722

#### **Photovoltaic characterization:**

723 All devices were measured under simulated AM1.5 solar illumination using a class 724 AAA solar simulator (Oriel Sol3A, Newport). The illumination intensity was calibrated using an unfiltered certified Si reference diode (area =  $4 \text{ cm}^2$ , Newport) to be 100 725 mW/cm<sup>2</sup> (typical spectral mismatch factor of 1.01 for solar cells based on 726 727 CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>). The current density-voltage (J-V) characteristics were recorded with a 728 Keithley 2440. No light soaking or pre-biasing was applied before the J-V 729 measurements. The illuminated electrode area, defined with a holed black anodized aluminium mask, was  $0.0935 \text{ cm}^2$ . Devices were measured in the ambient atmosphere at 730  $23 \pm 2^{\circ}$ C and 40-60% relative humidity. The scan rates of J-V sweep were 0.2 V s<sup>-1</sup>. 731 732 The forward scan started from 0 V (the short circuit condition) to 1.4 V, while backward 733 scan from 1.4 V to 0 V. The stability of the current/power output with time was 734 recorded for 120 s. The EQE was measured with a home-built setup. EQE spectra were 735 recorded using the monochromated (Bentham) output from a tungsten halogen lamp 736 calibrated with a Newport UV-818 photodiode.

737

#### 738 **Transient open circuit voltage measurements**

739

740 The excitation source was a simulated AM1.5 solar illumination using a class AAA 741 solar simulator (Oriel Sol3A, Newport) or an unfocused beam of a 450 nm CW diode laser (Oxxius). The beam was expanded to match the active area of device,  $\sim 0.1 \text{ cm}^2$ , 742 and the excitation power density were around 100 mW cm<sup>-2</sup>. The devices were 743

encapsulated using epoxy and glass, and then were mounted perpendicular to the
excitation. Keythley 2401 was used to measure the transient open circuit voltage over a
duration of time, ~1500 s.

747

748

749

# 750 Modulation frequency dependent Photoluminescence

751 Excitation was provided with a continuous wave (CW) diode laser (Oxxius 752 laserboxx). The excitation wavelength was 405 nm for MAPbBr<sub>3</sub> films and 450 nm for 753 MAPbI<sub>3</sub>. Modulation was accomplished by a waveform generator connected directly to 754 the laser. Pulse widths were kept fixed to exclude the effects of varying illumination 755 times so we could probe only the dependence on the intervals in the dark. MAPbI<sub>3</sub> films 756 were illuminated with pulses of 200 ns at frequencies of 1 kHz, 3 kHz, 10 kHz, and 757 30 kHz. MAPbBr<sub>3</sub> films were illuminated with pulses of 10 µs and frequencies of 50 Hz, 500 Hz and 5 kHz. Excitation fluences used were around  $0.5 \,\mu$ Jcm<sup>-2</sup>, 758 corresponding to an excitation density of  $\sim 10^{16}$  cm<sup>-3</sup>. Samples were mounted inside a 759 760 vacuum chamber mounted on a translation stage that allowed fresh spots to be probed 761 between every curve by changing the sample height without damaging the collection 762 alignment. PL was collected in reflection mode and focused into a fiber coupled to a 763 spectrometer (Ocean Optics Maya Pro 2000).

764

#### 765 **Temperature dependent Photoluminescence**

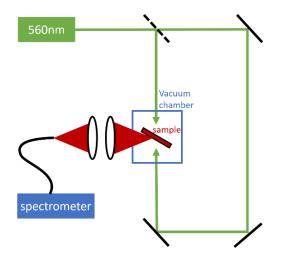
Excitation was provided with a CW diode laser (Oxxius laserboxx). The excitation wavelength was 405 nm for MAPbBr<sub>3</sub> films and 450 nm for MAPbI<sub>3</sub>. Excitation fluences used were around  $0.5 \,\mu$ Jcm<sup>-2</sup>, corresponding to an excitation density ~ $10^{16}$  cm<sup>-2</sup>

<sup>3</sup>. Films were mounted in a cryostat (Oxford Instruments) perpendicular to the excitation
line. The excitation beam was directed to the sample by a dichroic mirror and PL was
collected in reflection mode and focused into a fiber coupled to a spectrometer (Ocean
Optics Maya Pro 2000).

773

# 774 **Double sided illumination**

The beam of a 560 nm CW diode laser (Oxxius) was expanded and collimated with a telescope to a diameter of  $\sim$ 3 mm. A beam splitter was used to obtain the two excitation lines and attenuators were used to balance the intensities. Samples were mounted inside a vacuum chamber (pressure below 10<sup>-5</sup> mbar) and PL was collected at a right angle from the excitation line (Figure S1) and focused into a fiber coupled to a spectrometer (Ocean Optics Maya Pro 2000).



781

Figure S1. Schematics of the experimental setup used for double side illumination onthin films.

784

# 785 Photoluminescence on passivated samples

786

787 The excitation source was an unfocused beam of a 560 nm CW diode laser (Oxxius).

788 Samples were mounted inside a vacuum chamber (pressure below 10<sup>-5</sup> mbar) and PL

was collected in reflection mode at a right angle from the excitation line and focused into a fiber coupled to a spectrometer (Ocean Optics Maya Pro 2000). Integrated PL over time was measured on fresh spots of the film with fluence ~ $0.1 \,\mu$ Jcm<sup>-2</sup>.

For relative PLQY measurements, the integrated PL was measured at varyingexcitation intensities and plotted as:

Relative PLQY = 
$$\frac{I_{PL}}{I_{pump}}$$

794

795 Excitation wavelength dependence

The excitation source was a supercontinuum laser (SuperK Extreme, NKT Photonics), which is spectrally filtered by an acousto-optic modulator (SuperK Select, NKT Photonics). The selected output is directed to a home-built transmission microscope in a confocal configuration. The sample is placed on top of a piezoelectric translation stage. The PL transients (Figure 2a) were taken tuning the wavelength of the acousto-optic modulator output a nd monitoring the PL over time with a spectrometer (Ocean Optics Maya Pro 2000) coupled to the microscope for detection.

803

# 804 Simulation of PL variations over time

805

A system of rate equations based on the model proposed by Stranks et al.<sup>1</sup> was used to simulate the evolution of the populations of free electrons, free holes and trapped carriers. The model considers one single type of trap, assuming that in the presence of both hole and electron traps the more abundant type dominates the dynamics. In our case we consider the electron traps as dominant leading to the following system of equations:

$$\frac{dn_e}{dt} = G - \beta_{rad} n_e n_h - k_t n_e (N_t - n_t) - \gamma n_e n_h^2$$
$$\frac{dn_h}{dt} = G - \beta_{rad} n_e n_h - R_t n_h n_t - \gamma n_e n_h^2$$
$$\frac{dn_t}{dt} = k_t n_e (N_t - n_t) - R_t n_h n_t$$

814 where  $n_e$  is the density of free electrons,  $n_h$  is the density of free holes,  $n_t$  is the density 815 of trapped carriers, G is the photogeneration rate,  $\beta_{rad}$  is the radiative recombination 816 rate,  $\gamma$  is the Auger recombination rate,  $k_t$  is the trapping rate,  $N_t$  is the density of 817 available traps, and  $R_t$  is the rate of recombination of a trapped electrons with free 818 holes.

819 The PL intensity at a given time is

$$I_{PL} = \beta_{rad} n_e n_h$$

821

822 The parameters used in our simulations for modulated illumination were:

$$\begin{split} \beta_{rad} &= 5 \cdot 10^{-10} \text{ cm}^3/\text{s} \\ k_t &= 1 \cdot 10^{-10} \text{ cm}^3/\text{s} \\ R_t &= 1 \cdot 10^{-12} \text{ cm}^3/\text{s} \\ \gamma &= 1 \cdot 10^{-26} \text{ cm}^3/\text{s} \\ N_t &= 1 \cdot 10^{16} \text{ cm}^{-3} \end{split}$$

823

These values were chosen based on fits of experimental data, including the low rate for trapped carrier recombination, which is consistent with a fit of hole dynamics (Figure S2) to our previous results from transient absorption measurements<sup>2,3</sup>. 827 We obtain the evolution of the carrier populations in time switching the 828 generation rate on and off to simulate the modulated illumination. The PL intensity can 829 change over time because of pulse piling up and trap filling effects, but in the conditions 830 similar to the experiments performed in this work, these effects should reach a steady 831 state condition on a scale shorter than milliseconds. Several variations of the parameters 832 above were tested in order to rule out that longer timescales could result from different 833 combinations of values, but in all the possible scenarios we considered, stable PL is 834 established after no more than a second. Therefore we conclude that the PL instabilities 835 observed in the scale of seconds to minutes are not related to electronic effects.

836

## 837 **Computational details:**

838 All calculation have been carried out with Quantum Espresso<sup>1</sup> program package.

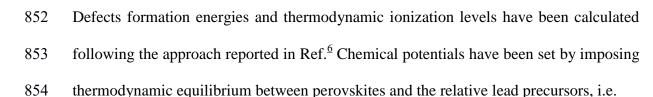
## 839 <u>Defect formation energy and ionization level calculation:</u>

840 DFT calculations have been performed in the tetragonal phases of  $MAPbI_3$  and

841 MAPbBr<sub>3</sub>. In all cases the cell parameters have been fixed to the experimental values,

842 i.e. a=b=8.849 Ang, c = 12.642 Å for MAPbI<sub>3</sub><sup>2</sup>; a=b=8.345, c = 11.802 Å for MAPbBr<sub>3</sub>.

B43 Defects structures have been calculated in the 2x2x1 supercells for both MAPbI<sub>3</sub> and B44 MAPbBr<sub>3</sub> by using the PBE functional<sup>3</sup> and ultrasoft pseudopotentials with a cutoff on b45 the wavefunctions of 40 Ryd (320 Ryd on the charge density) and 1x1x2 k-point grids b46 in the Brillouin zone (BZ). Defects calculations have been thus refined at the hybrid by using the HSE06 functional<sup>4</sup> ( $\alpha$ =0.43) by including spin-orbit corrections and dispersions interactions a posteriori within the DFT-D3 scheme.<sup>5</sup> Single point hybrid calculations have been performed at the calculated PBE structures by using norm conserving pseudopotentials and a cutoff energy on the wavefunctions of 40 Ryd and
1x1x2 k-points in the BZ.



855 
$$\mu(MAPbI_3) = \mu(MA) + \mu(Pb) + 3\mu(I)$$
,  $\mu(Pb) + 2\mu(I) = \mu(PbI_2)$ 

856 
$$\mu$$
(MAPbBr<sub>3</sub>)= $\mu$ (MA)+ $\mu$ (Pb) + 3 $\mu$ (Br),  $\mu$ (Pb) + 2 $\mu$ (Br) =  $\mu$ (PbBr<sub>2</sub>)

857 Halides medium conditions have been modelled by using intermediate chemical 858 potentials between halide-rich and halide-poor conditions. For halide rich conditions I 859 and Br chemical potentials have been fixed to the values of the respective gas molecules, i.e.  $\mu(I) = \frac{1}{2} \mu(I_2^{gas})$  and  $\mu(Br) = \frac{1}{2} \mu(Br_2^{gas})$ , while in halide poor conditions 860 861 the chemical potentials of lead has been set to the metallic bulk Pb. Defects formation 862 energies have been corrected by including potential alignment and Makov-Payne corrections<sup>2</sup> (ionic dielectric constants  $\varepsilon$ =24.0 and  $\varepsilon$ =20.0 for MAPbI3 and MAPbBr<sub>3</sub>, 863 864 respectively).

## 865 <u>2x2x2 Supercell and slabs calculation</u>

Geometry optimizations are carried out at Γ point using experimental cell parameters
along with plane-wave basis set cutoffs for the smooth part of the wave functions and
augmented electronic density expansions of 25 and 200Ry, respectively. Electrons-ions
interactions were described by ultrasoft pseudo-potentials with electrons from I 5s, 5p;
N, C 2s, 2p; O 2s 2p; H 1s; Pb, 6s, 6p, 5d; shells explicitly included in calculations. For
the slab simulation we take in to account both the 001 MAI- and PbI2-terminated

872 surface with a thickness of three and five Pb layers, respectively. A 10 Å of vacuum was
873 added along the c non periodic direction.

874 Car-Parrinello Molecular Dynamics

Car-Parrinello Molecular Dynamics<sup>8-9</sup> have been carried out using a 2x2x2 supercell 875 876 MAPbI<sub>3</sub> model generated by duplicating the 1x1x1 optimized structure using the 877 experimental cell parameters. Electrons-ions interactions were described by ultrasoft 878 pseudo-potentials with electrons from I 5s, 5p; N, C 2s, 2p; H 1s; Pb 6s, 6p, 5d; shells 879 explicitly included in calculations. Plane-wave basis set cutoffs for the smooth part of 880 the wave functions and augmented electronic density expansions of 25 and 200Ry, 881 respectively. A time step of 10 a. u., electronic mass of 1000 a.u. and masses equal to 882 5.0 a.m.u have been used for all atoms. The simulation has been carried out at 400 K for 883 a simulation time of 14 ps comprising the initial thermalization.

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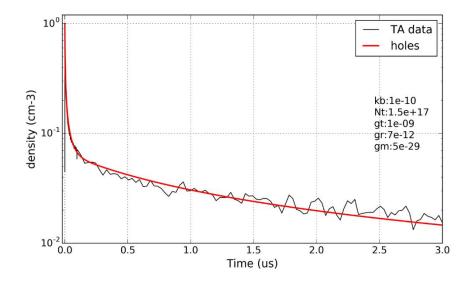


Figure S2. Transient Absorption at the band edge of a MAPbBr3 film (525nm) and a fit
of the hole dynamics resulting from the rate equation model described.

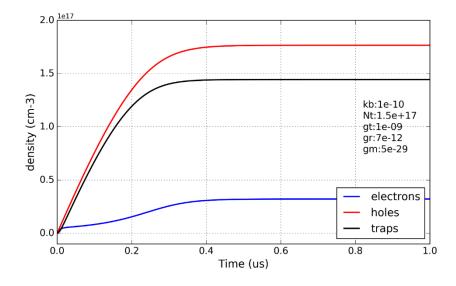




Figure S3. Simulation of the evolution of carrier populations in time under CWillumination until steady state conditions are reached.

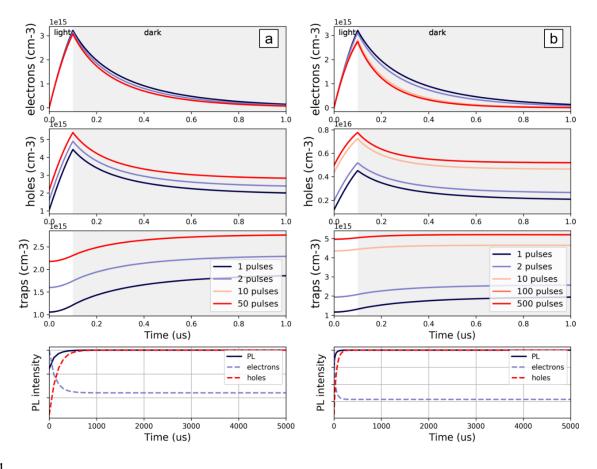
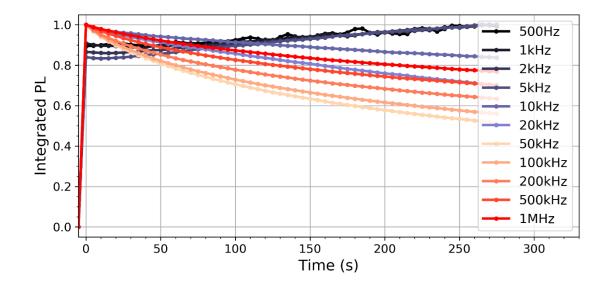




Figure S4. Simulation of the modulated illumination with pulses of 100ns andfrequencies of (a) 10 kHz and (b) 100 kHz.

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## 896 Supplementary figures

Figure S5. Normalized integrated PL over time of a MAPbBr<sub>3</sub> film obtained from a single spot using a 405 nm diode laser modulated with 200 ns pulses and increasing modulation frequency. Measurements were performed in vacuum ( $<10^{-5}$  mbar).

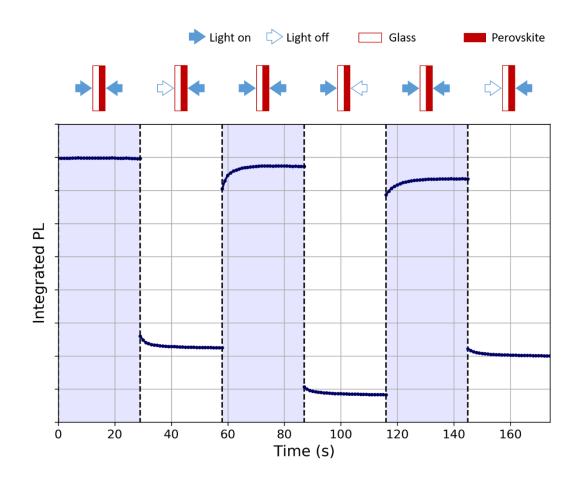
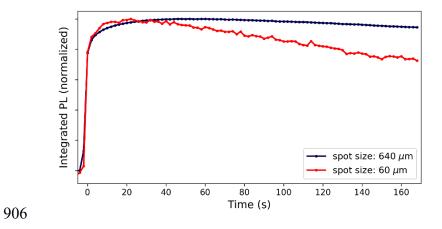
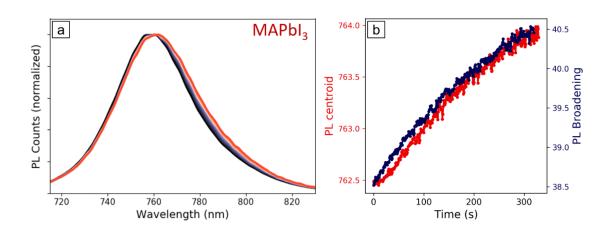


Figure S6. Integrated PL intensity over time of a MAPbI<sub>3</sub> film on glass. Excitation is
performed with symmetrical beams of equal intensity (CW illumination, 560 nm)
impinging on both the perovskite and glass side of the sample.



907 Figure S7. Normalized integrated PL over time of a MAPbBr<sub>3</sub> film obtained using a 908 450 nm CW diode laser focalized into 640  $\mu$ m or 60  $\mu$ m spot size, with fixed intensity 909 of 21 mW/cm<sup>2</sup>.

- 910
- 911





913 Figure S8. a) PL spectrum of a MAPbI<sub>3</sub> film in PLD conditions (100 kHz, 200 ns 914 pulses), from t=0 s (dark blue) to t=300 s (red) under illumination, and b) PL 915 broadening and shift of center of mass over time. When we monitor the spectrum of the 916 emission during the PL quenching process, we see a small but consistent transformation 917 to broader linewidths, consistent with a heating scenario. The PL position also slightly 918 red shifts, which is the opposite behavior from the usual temperature dependence on this 919 material [ref D'Innocenzo et Al, Nat Comm, 2014]. We suggest these spectral changes 920 result from the increase of traps in the film, increasing the disorder in the lattice and 921 density of subgap states.

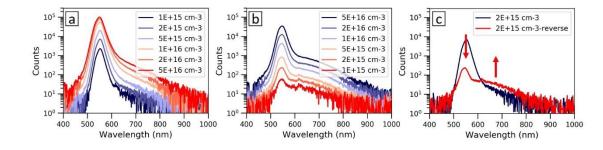




Figure S9. PL spectra of a MAPbBr<sub>3</sub> thin film with a) increasing and b) decreasing
excitation intensity, and c) comparison of the PL spectra at a single excitation intensity
before and after the intensity cycle.

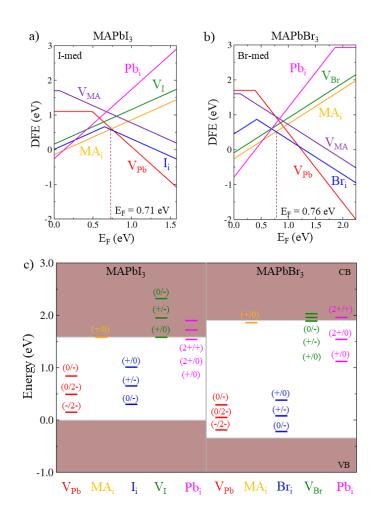


Figure S10. Defects formation energies of a) MAPbI<sub>3</sub> and b) MAPbBr<sub>3</sub> calculated in halide medium conditions by using the HSE06 functional ( $\alpha$ =0.43) by including spin orbit coupling and a posteriori dispersion corrections; c) Associated thermodynamic ionization levels.

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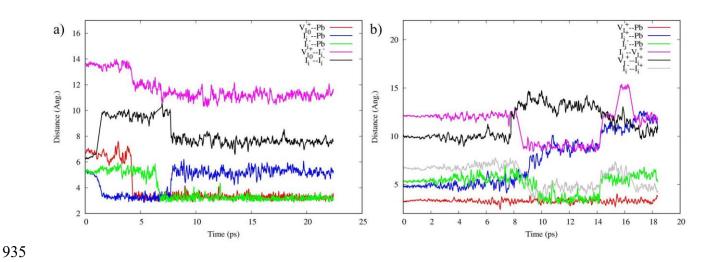
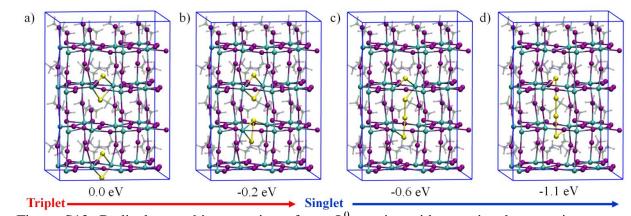


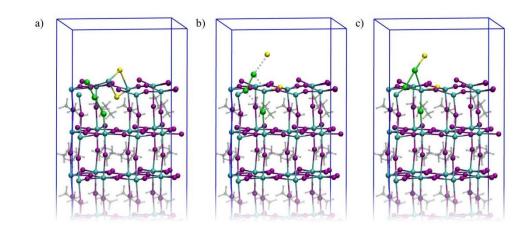
Figure S11. (a) Dynamical evolution of the system after electron trapping at  $I_i^+$ . A 936 937 sequence of ion reorganization and migration events can be visualized: 1) following electron trapping at  $I_i^+$ , the system rapidly reaches the minimum energy structure of  $I_i^0$ 938 (blue curve) increasing the  $I_i^0/I_i^-$  distance (black curve); 2)  $V_I^+$  migrates in the direction 939 of  $I_i^-$  (red and magenta lines); 3)  $I_i^-$  further migrates in the direction of  $V_1^+$  (green and 940 magenta lines). (b) Dynamical evolution of the system  $I_i^+/I_i^-$  in presence of a  $V_I^+$ . A 941 942 sequence of ion reorganization and migration events can be visualized. In particular the migration of  $I_i^+$  (blue) appears simultaneously with the migration of  $I_i^-$  (green), while 943

- 944 the  $V_I^+$  remain in its original position (red). The  $I_i^+/I_i^-$  migrates coupled and the defects
- separation is not found (gray) and it does not move towards to the  $V_{I}^{+}$  (black).



947 Triplet Singlet Singlet Figure S12. Radical quenching reaction of two  $I_i^0$  species with associated energetics. 949 The initial stage features a global triplet state corresponding to the two non interacting 950 radicals. Upon shortening the inter-radical distance, a singlet state is stabilized by the 951 electron pairing to form the I-I bond. The system spontaneously evolves towards the 952 formation of a coordinated  $I_2$  molecule.

953



- Figure S13. Direct  $I_i^-/I_i^+$  (a) recombination to move through the transition state (b)
- towards to the final I<sub>2</sub> product (c). This is calculated on the (001) PbI<sub>2</sub>-terminated

957 surface with I<sub>2</sub> adsorbed (c). The transition state is calculated 0.33 eV above the reagent.

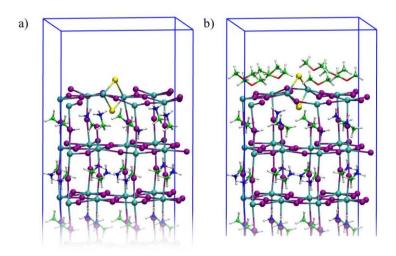
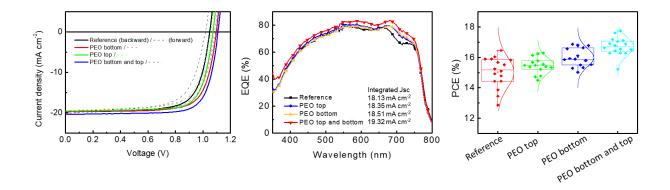




Figure S14.  $I_i^0$  defect at the PbI<sub>2</sub>-terminated surface bare (a) and with di-methyl ether (b). The formation energy of the  $I_i^0$  defect in the presence of the di-methyl ether capping layer is less favorable by 0.1 eV with respect the bare surface.

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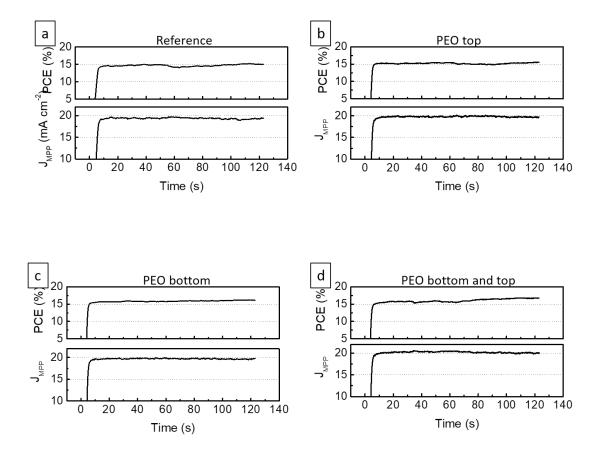
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Figure S15. (a) Forward scan (dash lines) and backward scan (solid lines) J-V curves, (b)
External quantum efficiency (EQE) spectra, and (c) Performance parameters (from 16 cells) of
the perovskite devices with and without PEO passivations.

	Scan direction	$J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}(V)$	PCE (%)	FF
Reference	Backward	19.72	1.049	15.05	0.73
	Forward	19.66	1.017	13.55	0.68
Bottom	Backward	19.70	1.097	16.14	0.75
	Forward	19.64	1.082	14.46	0.68
Тор	Backward	19.57	1.071	15.86	0.76
	Forward	19.51	1.054	14.47	0.70
Bottom and	Backward	20.35	1.109	17.23	0.76
top	Forward	20.29	1.092	15.50	0.70

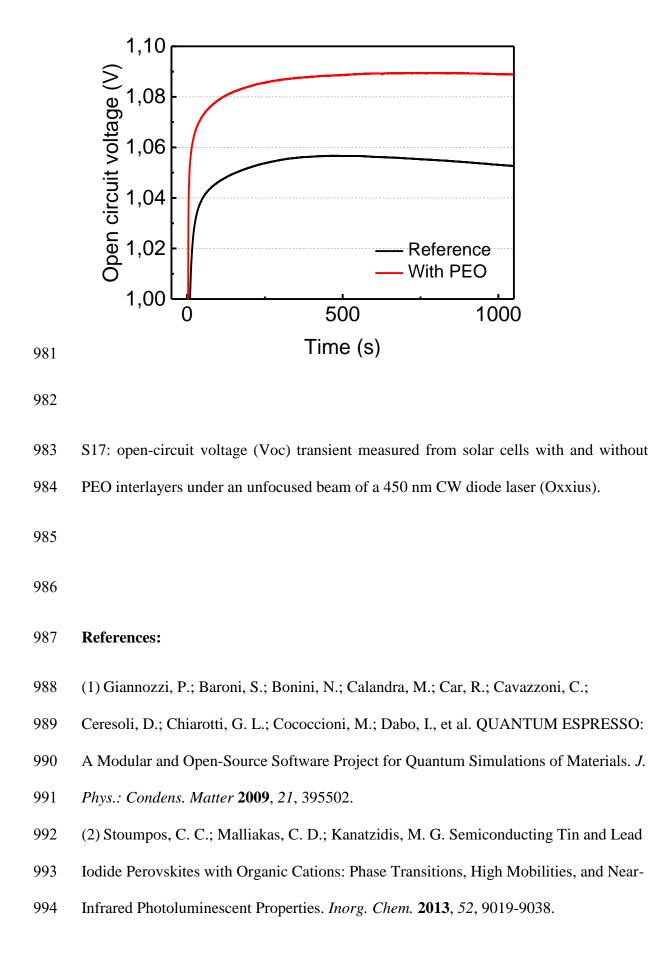
973 Table S1. Device parameters of the perovskite devices with and without PEO

974 passivations.





978 S16: time evolution of the maximum power point and with the photocurrent at maximum power point 



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