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1 Spatial-temporal variability of soil moisture: addressing the monitoring at

- 2 the catchment scale
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12 Abstract

13 Soil moisture plays a fundamental role in the mass and energy balance between the land surface and the 14 atmosphere, making its knowledge essential for several hydrological and climatic applications. The aim of this 15 study is to extend the current knowledge of soil moisture spatial-temporal variability at the catchment scale (up to 16 500 km²). The main implication is to provide guidelines to obtain soil moisture values representative of the mean 17 behaviour at the medium-sized river basin scale, which is useful for remote sensing validation analysis and rainfall-18 runoff modeling. To this end, 23 measurements campaigns were carried out during a time span of 14 months at 20 19 sites located within the Upper Chiascio River Basin, a catchment with a drainage area of about 460 km² in the 20 Umbria Region (central Italy). The data set allowed the analysis of both soil moisture temporal stability and its 21 dynamics. On the basis of statistical and temporal stability approaches, it was investigated how factors such as 22 climatic regime and geomorphology influence soil moisture behaviour. For the investigated area, the spatial 23 variability of soil moisture was higher in dry periods with respect to wet periods, mainly due to the rainfall pattern 24 characteristics during different periods of the year. Soil moisture values recorded during wet periods showed a 25 better correlation than those recorded during dry periods. The maximum number of required samples, to obtain 26 the mean areal soil moisture with an absolute error of 3% vol/vol, was found equal to 12. The temporal stability 27 analysis showed that during wet periods just one "optimal" measurement point can provide values of soil moisture 28 representative of the catchment-mean behaviour, while during dry periods the number of "optimal" measurement 29 points became equal to two. Therefore, at the adopted spatial scale the use of a single measurement point can lead 30 to significant errors. From the perspective of soil moisture dynamics, the decomposition of the spatial variance 31 showed that the contribution of the time-invariant component (temporal mean of each site) was predominant on 32 respect to the total spatial variance of absolute soil moisture data, for almost the whole observation period. Results provided guidance to optimize soil moisture sampling by performing targeted measurements at a few selected 33

34 points representative of the catchment-mean behaviour.

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37 1. Introduction

Soil moisture is of paramount importance for many hydrological processes (Brocca et al., 38 39 2017a). Its knowledge is relevant in several fields which include rainfall-runoff partitioning (Blöschl and Sivapalan, 1995; Brocca et al., 2010b; Koster et al., 2010; Mirus and Loague, 40 2013), landslide forecasting (Brocca et al., 2012a), soil nutrient cycling processes (Schjonning 41 et al., 2003), drought monitoring and agriculture (Crow et al., 2012; Champagne et al., 2015). 42 The spatiotemporal variability of soil moisture content raises many challenges to its definition 43 44 at various scales. Small-scale variations, due to geomorphological characteristics and soil properties, such as the saturated hydraulic conductivity, occur in the spatial range of a few tens 45 of meters and in the temporal range of a few days (Western et al., 2004). Large-scale variations 46 47 affect very extensive areas, such as whole basins (>100 km²), and are also caused by atmospheric forcings. 48

Practically, ground based measurements and remote sensing techniques can be used to 49 characterize at each scale the spatiotemporal variation of soil water content. Ground based 50 measurements such as time domain reflectometry, neutron probes, capacitance probes and 51 gravimetric analyses provide detailed information on the soil moisture values when careful 52 calibration of devices is available (Romano, 2014). However, these techniques are time 53 consuming, very expensive and provide information only in selected points. To overcome this 54 issue, the use of sensors on board of satellite platforms has been spreading over the past few 55 decades (Fang and Lakshmi, 2013; Brocca et al., 2017b). This technology allows to remotely 56 sense various meteorological data, including soil moisture, over large domain but is limited by 57 the low spatial resolution, ranging from 1 km (e.g., Sentinel-1, Bauer-Marschallinger et al., 58

59 2018) to 40 km (e.g., SMAP, Entekhabi et al., 2010) and by the inherent bias of the 60 measurement thus requiring an accurate on-site verification prior their use within model and 61 applications. For these reasons, traditional measurement methods are necessary and still widely 62 used. However, given the high costs, an effort is required to identify soil moisture sampling 63 optimization schemes for reducing the number of measurements as few as possible in 64 accordance with the desired accuracy and the site characteristics.

Several authors investigated the possibility to optimize the sampling scheme through specific 65 soil moisture field campaigns in experimental areas characterized by dimension up to few 66 square kilometers by using the statistical analysis (Bell et al., 1980; Famiglietti et al., 1999; 67 Brocca et al., 2007; Wang et al., 2008), the temporal stability analysis (Vachaud et al., 1985; 68 69 Grayson and Western, 1998; Martinez-Fernandez and Ceballos, 2005; Brocca et al., 2009; Zhou et al., 2013), or both (Jacobs et al., 2004; Choi and Jacobs, 2007; Brocca et al., 2010a; Hu et 70 al., 2010; Brocca et al., 2012b; Baroni et al., 2013; Liao et al. 2017; Lai et al., 2017; Lai et al., 71 2018). Recently, Mittelbach and Seneviratne (2012), considering a very large scale (the entire 72 Switzerland area), showed that the spatial variability of soil moisture is predominantly 73 determined by a time-invariant component and that statistical and temporal stability analysis 74 can lead to different results by considering temporal anomalies rather than absolute soil 75 76 moisture values. Mittelbach and Seneviratne (2012) concluded their analysis encouraging further studies at different scale to investigate the spatio-temporal characteristics of temporal 77 soil moisture anomalies in addition to assessments of those of absolute soil moisture. 78

All these studies highlighted that soil moisture spatial variability increases with the extension of the investigated area and that soil moisture patterns show a significant temporal stability, thus making just one optimal measurement point able to represent the areal mean behaviour. However, at the catchment scale, for large areas, especially during seasons with strong

propensity for the development of convective rainfall systems, as stated in terms of optimal
sampling scheme for the small scale could fail.

Therefore, the main objective of this paper, aimed at the determination of an optimal soil 85 moisture sampling scheme, is to investigate the soil water content behaviour at a scale in which 86 the rainfall spatial variability may play an important role. For this purpose, the analysis carried 87 out in this paper is based on a long measurement period which has been divided into wet and 88 dry sub-periods in order to explore the influence of convective and frontal rainfall systems on 89 soil moisture variability. At the same spatial scale, on the basis of the methodology proposed 90 by Mittelbach and Seneviratne (2012), the secondary objective of this paper is to evaluate the 91 roles of the time-invariant contribution of the temporal anomalies in the determination of the 92 93 spatial variability of soil moisture.

94 2. Materials and Methods

95 2.1 Study area

The soil moisture measurements were carried out in 20 experimental sites located in the Upper Chiascio River Basin, which is an inland area in the Umbria Region with a drainage area of approximately 460 km² (Fig. 1). The basin was mainly characterized by an Apennine climate with an altitude between 320 and 1550 m a.s.l. and a mean slope of 24%. The mean annual temperature was 13.0 °C and the mean annual precipitation was 1050 mm, generally with the highest monthly values recorded in the autumn and winter seasons.

102

¹⁰³ insert here Fig. 1

The selection of the 20 experimental sites for the monitoring of soil moisture was based on the necessity to have heterogeneity in terms of land use, topography, texture and vegetation cover. With this choice (site number and position) we tried to represent the entire catchment considering also the necessity to conduct each measurement campaign in the same day.

As shown in Table 1, the distribution of soil texture classes of the measurement points was 110 fairly uniform with most of the sites located in silty clay loam and clay loam (respectively 30% 111 and 35% of the total) soils. The terrain of the experimental sites was mostly flat, with 70% of 112 113 the measurement points placed in flat areas and 30% in hilly areas. With regard to land use, the area where the experimental sites are located was predominantly cultivated, with small wooded 114 areas and semi-natural environments. The sampling scheme adopted was designed to have a 115 116 number of measurement points and measurement campaigns that can catch the soil moisture spatial and temporal variability. In fact, experimental sites were located on an extended area 117 and the measurement campaigns were prolonged to capture the alternation between dry and wet 118 periods. The 23 monitoring campaigns covered a time span ranging from March 2014 to May 119 2015 and were distanced between them for about two weeks each. During each field campaign, 120 four measures were carried out at each of the 20 monitored sites and the mean value was 121 considered as the reference value to be stored in the database. The soil moisture was measured 122 through a portable unit using two wire connector-type Time Domain Reflectometry probes 123 124 (TDR) of the Soil Moisture Equipment Corporation - TRASE® TDR, which provides a soil moisture measurement representative for a soil layer depth of 15 cm. To obtain the volumetric 125 soil moisture once the dielectric constant is measured, the standard calibration curve was used 126 127 (Skaling, 1992). The equipment has a quoted error within $\pm 2\%$ vol/vol. Except for the texture classes given in Table 1, a detailed characterization of the study soils is delayed for future 128 developments, which could also highlight further aspects of interest. 129

131 insert here Table 1

132

2.3 Rainfall data 133

The rainfall pattern that affected the study basin during the measurement period was analysed in order to separate dry from wet periods. The daily rainfall data recorded by 14 rain gauges located within the area of interest was collected and spatially averaged by using the Thiessen Polygon method.

138

139 2.4 Statistical analysis

The main statistical features of the soil moisture data set were determined and analysed in termsof spatial and temporal variability.

Let us denote θ_{ij} the soil moisture measured at site i (i = 1,...,N) during the sampling day j (j = 1,...,M), with N = 20 and M = 23; the spatial mean referred to each sampling day, $\overline{\theta}_j$, is given by:

145
$$\overline{\theta}_{j} = \frac{1}{N} \sum_{i=1}^{N} \theta_{ij}$$
(1)

in a similar way, the temporal mean for each measurement point, $\overline{\theta}_i$, is calculated by:

147
$$\overline{\theta}_{i} = \frac{1}{M} \sum_{j=1}^{M} \theta_{ij}$$
(2)

148 The coefficient of variation for each sampling day, CV_i, is obtained from the relation:

149
$$CV_j = \frac{\sigma_j}{\overline{\theta}_j} = \frac{\sqrt{\frac{1}{N-1}\sum_{i=1}^{N} (\theta_{ij} - \overline{\theta}_j)^2}}{\overline{\theta}_j}$$
 (3)

where σ_j is the "spatial" standard deviation. For each site, the coefficient of variation in time, CV_i, and the temporal standard deviation, σ_i , can be defined analogously.

The number of required samples for estimating the mean value within a specific absolute error, NRS, can be obtained from the knowledge of σ_j through the following implicit relation (Wang et al., 2008):

155 NRS =
$$t_{1-\frac{\alpha}{2},NRS}^2 \left(\frac{\sigma_j^2}{AE^2}\right)$$
 (4)

where $t_{1-\frac{\alpha}{2},NRS-1}^2$ is the value of the Student's t-distribution at the confidence level 1- $\alpha/2$, NRS is the number of the degrees of freedom and AE indicates the absolute error considered, expressed in volumetric soil moisture (% vol/vol).

159

160 2.5 Temporal stability

The temporal stability analysis, introduced by Vauchad et al. (1985), allows to identify the 161 measurement points where the observed values of soil moisture are representative of the mean 162 soil moisture of the entire monitored area. This is extremely important because it permits to 163 install a small number of probes in a few selected points for retrieving the average soil moisture 164 over a large area. Furthermore, the knowledge of the temporal persistence of soil moisture 165 patterns provides support in determining the frequency of measurements under different 166 wetness conditions. The temporal stability analysis is carried out using the relative differences 167 method, which is described below. Considering the spatial mean for each sampling day 168 previously introduced, the relative difference, δ_{ij} , referring to site i and sampling day j is 169 calculated by: 170

171
$$\delta_{ij} = \frac{(\theta_{ij} - \overline{\theta}_j)}{\overline{\theta}_j}$$
 (5)

For each measurement point i, the mean, $\overline{\delta}_i$, and the standard deviation, $\sigma(\delta_i)$, of the relative differences can be obtained by:

174
$$\overline{\delta}_{i} = \frac{1}{M} \sum_{j=1}^{M} \delta_{ij}$$
(6)

175
$$\sigma(\delta_{i}) = \sqrt{\frac{1}{M-1} \sum_{j=1}^{M} (\delta_{ij} - \overline{\delta}_{i})^{2}}$$
(7)

The $\overline{\delta}_i$ quantifies how much the soil moisture recorded at a sampling point departs from the mean spatial value during the measurement period; the $\sigma(\delta_i)$ is an index of the temporal variability. The "representative" sites of the mean value in time are characterized by lower values of $|\overline{\delta}_i|$ and $\sigma(\delta_i)$.

Jacobs et al. (2004) defined a single metric to identify the best sampling point, the index of time stability, ITS, that combines the $\overline{\delta}_i$ and its $\sigma(\delta_i)$. It can be calculated, for each site, as follows:

182
$$ITS_i = \left[\overline{\delta}_i^2 + \sigma(\delta_i)^2\right]^{1/2}$$
(8)

It is noteworthy that originally in Jacobs et al. (2004) this index was called root mean square error (RMSE). In this study, the wording ITS (Zhao et al., 2010; Penna et al., 2013) is employed instead of RMSE in order to disambiguate the index of time stability from the common definition of the RMSE. According to this method, "optimal" measurement points are characterized by low values of the ITS; the main advantage of the ITS approach is that it allows to identify representative sampling sites by considering just one parameter.

189

190 2.6 Decomposition of soil moisture spatial variance

The soil moisture dynamics were studied on the basis of the approach introduced by Mittelbach
and Seneviratne (2012). According to this method, the spatial variance of soil moisture data can
be decomposed in the following three components:

194
$$\sigma^{2}(\theta_{ij}) = \sigma^{2}(\bar{\theta}_{i}) + \sigma^{2}(A_{ij}) + 2cov(\bar{\theta}_{i}, A_{ij})$$
(9)

where $\sigma^2(\bar{\theta}_i)$ is the spatial variance of the temporal mean, $\sigma^2(A_{ij})$ is the spatial variance of the temporal anomalies, A_{ij} , which quantify how much each observed value deviates from the temporal mean and can be calculated as:

$$198 \quad A_{ij} = \theta_{ij} - \bar{\theta}_i \tag{10}$$

199 The third component of the right side in Eq. (8) is the spatial covariance between the temporal 200 mean soil moisture of a site and the temporal anomaly.

201

202 2.7 Data processing

The observed data were analysed both in their completeness and by considering partial sets 203 based on temporal and spatial criteria. Specifically, the values of soil moisture recorded during 204 wet and dry periods were compared, as well as those observed in flat and hilly areas. The 205 separation between dry and wet periods was determined by analysing the rainfall measurements 206 occurred in the experimental area in the measurement period. For each day in which a 207 measurement campaign was carried out, it was calculated the mean of the daily rainfall recorded 208 by 14 rain gauges installed thereabout the experimental sites. This operation was repeated for 209 the five days previous each measurement campaign; the spatial averages were then summed 210 obtaining the values of API5 referred to each sampling day. The periods in which these values 211 were found higher than a mean threshold value were classified as "wet", otherwise as "dry". 212

213

214 *3. Results*

215

216 *3.1 Statistical analysis*

The time series of soil moisture values observed at each site, their spatial mean and the average rainfall over the study area during the measurement period are shown in Fig. 2; the spatial mean soil moisture responds to the precipitation input with sudden increments after significant events and slow decrements in the absence of precipitation. Two wet and two dry periods have been identified in the time interval of interest.

222

insert here Fig. 2 223

224

The spatial mean, the spatial standard deviation and the coefficient of variation of the soil 225 moisture obtained during each measurement campaign are contained in Table 2. It also shows 226 the associated value of API5 (the average value considering 14 rain gauges) and its coefficient 227 of variation, CV API5. The behaviour of the CV API5 values reported in Table 2 evidences the 228 different rainfall patterns during the various periods of the year. In presence of prevailing 229 convective systems, mainly observed during dry periods, the average CV API5 is equal to 1.03, 230 while with prevailing frontal systems, typically observed during wet periods, this value become 231 0.63. 232

233

235

As expected, for both soil moisture and API5, the values of the coefficient of variation of the soil moisture obtained during each measurement campaign were lower during the wet periods. In fact, during the dry season, the average CV_j of soil moisture was equal to 0.21, while for the wet season it was equal to 0.16. Globally, i.e. by considering the entire data set, the average value of CV_j was equal to 0.19. This is an index of the low variability of soil moisture field during the wet periods, when the investigated area is interested by spatially uniform rainfall

²³⁴ *insert here Table 2*

systems; during the dry period, instead, the soil moisture field is less uniform, as a response to
isolated convective rainfall systems, that determine significant spatial differences in the soil
moisture values.

The decreasing trend between CV_j and $\overline{\theta}_j$ is shown in Fig. 3. This behaviour indicates a lower variability of absolute soil moisture under increasing wetness conditions and it is consistent with the behaviour observed in most of the previous analogous studies (e.g., Bell et al., 1980; Famiglietti et al., 1999, 2008; Brocca et al., 2010a; Brocca et al., 2012b) conducted in smaller areas.

250

251 insert here Fig. 3

252

The assumption that the relationship between CV_i and $\overline{\theta}_i$ is represented by an exponential law 253 allows to establish the maximum NRS for estimating the mean soil moisture value with a 254 specific absolute error (AE) as a function of the average wetness conditions. By using Eq. (4), 255 the NRS values were calculated for an AE equal to 3% and 4% (see Fig. 4). By assuming the 256 relation between CV_i and $\overline{\theta}_i$ as exponential, Eq. 4 provides NRS as a function of the mean soil 257 moisture within a certain level of confidence. For instance, by considering a confidence interval 258 of 95%, to obtain the average soil moisture with an AE of 3%, a maximum NRS of 12 was 259 required. This value was found for a mean soil moisture of ~30% vol/vol and was the maximum 260 of the curve shown in Fig. 4, obtained by fitting the values calculated with Eq. 4 by considering 261 the entire set of soil moisture measurements. 262

263

²⁶⁴ *insert here Fig. 4*

Brocca et al. (2012b), on the basis of soil moisture data recorded during a time span of about one year over two areas with a smaller extension (178 and 242 km²) but comparable to those of interest in this study, found a maximum NRS value up to 3 (AE=4%). Obviously, with the increase of the AE, the NRS decreases, reaching the value of 7 for absolute an error of 4%. This method allows to plan a reliable in situ monitoring with respect to a fixed accuracy, also at a catchment spatial scale.

272

273 *3.2 Temporal stability analysis*

In order to check out which sites are the most suitable to obtain the benchmark soil moisture, 274 i.e. the areal mean calculated considering all the 20 sites, the relative differences method was 275 applied. For this purpose, the values of $\overline{\delta}_i$, $\sigma(\delta_i)$ and ITS were considered and compared. The 276 application of the ITS method to values of $\overline{\delta}_i$ and $\sigma(\delta_i)$ that vary in ranges quite different from 277 each other could provide unreliable results if the aim is to identify a measurement point 278 temporally stable and representative of the mean soil moisture for the entire study area. Without 279 any standardisation, it could happen that an experimental site shows a low value of ITS because 280 of a low value of $\sigma(\delta_i)$ but a relatively high value of $\overline{\delta}_i$, thus making that point temporally stable 281 but distant from the areal mean soil moisture. In this case, the recorded values should be scaled 282 in order to obtain the areal mean. Because of this, the identification of "optimal" measurement 283 point was here addressed by comparing temporal stability analysis with correlation analysis, 284 always keeping in mind that the final aim is to identify temporally stable sites that could also 285 provide a soil moisture value representative of the catchment-mean behaviour. This procedure 286 was applied for the entire data set and considering the partition between wet and dry periods, 287 in order to highlight the influence of climate conditions on soil moisture variability. 288 Distinguishing between values observed in flat areas from those in hilly areas, it was also 289

290 possible to evaluate the geomorphological characteristics that experimental sites should have291 to be considered as optimal measurement sites.

The most representative site of the entire study basin, in terms of mean soil moisture, was the 292 number 8 of Fig. 1. Fig. 5a shows the good determination coefficient (R^2 equal to 0.837) 293 between the values found at site 8 and the areal mean soil moisture. Fig. 6a shows the rank 294 ordered mean relative difference, with the corresponding standard deviation, for each 295 experimental site; on the same chart, also the ITS associated to each measurement point is 296 shown. Site 8 showed good characteristics in terms of temporal stability as $|\overline{\delta}_i|$ was close to 297 zero and $\sigma(\delta_i)$, represented by the vertical bar, was very low. The ITS values were minimal for 298 sites 8 and 1, but the latter showed a significant value of $|\overline{\delta}_i|$. 299

By considering the entire data set, the values of $\sigma(\delta_i)$ varied between a minimum and a maximum of 7.6% and 19.7% (in absolute value), respectively. In particular, for the site number 8, values of $|\bar{\delta}_i| = 0.003$, $\sigma(\delta_i) = \pm 9.7\%$ and ITS = 9% were found.

303

304 insert here Fig. 5

305 insert here Fig. 6

306

The same analyses were also carried out separately during wet and dry periods, and results are shown in Fig.s 5b-c and 6b-c. In the dry periods, the site where the soil moisture values were closer to the average of the study basin was the number 16, with R² equal to 0.725 (Fig. 5b), which also showed good characteristics in terms of temporal stability, i.e., low values of $|\bar{\delta}_i|$, $\sigma(\delta_i)$ and ITS, even if these values are worse than those relative to sites 8 and 1. Conversely, during the wet periods, the site 8 was the optimal one, with R² equal to 0.857 (Fig. 5c) and the lowest values of $|\bar{\delta}_i|$, $\sigma(\delta_i)$ and ITS. While there is no doubt that during the wet periods the site

8 was the "optimal" one, for the dry periods further evaluations are needed; together with site 314 8, site 16 could be chosen as "optimal" for this period because it showed the best determination 315 coefficient with the spatial mean and consequently a $|\bar{\delta}_i|$ closer to zero. For this site the ITS 316 wasn't the lowest one because of a $\sigma(\delta_i)$ higher than other sites (i.e., site 1). This is probably 317 318 due to a few values recorded during the transition periods, when the catchment is not yet in uniform wetness conditions. It can be observed that both sites 8 and 16 were located in flat 319 areas. Also if not statistically significant, this interesting indication could be the object of future 320 developments. 321

In the spatial correlation triangle shown in Fig. 7, the generic box identified by the i-th line and 322 j-th column expresses the correlation between the values of soil moisture measured during the 323 i-th campaign and those measured in the j-th campaign. Higher correlations are represented 324 with darker cells. It can be seen that the values of soil moisture measured during campaigns 325 326 carried out in wet periods were highly correlated each other, while lower values were obtained between campaigns carried out during dry periods. Relatively high correlations were also 327 observed between measurement campaigns belonging to wet periods distant in time (about 5 328 months). The spatial correlation between measurements carried out during wet periods reached 329 values of 0.94 and always remained larger than 0.71. Another aspect of interest was that, in 330 accordance with previous studies (Mohanty and Skaggs, 2001; Cosh et al., 2004; Martinez-331 Fernandez and Ceballos, 2005; Brocca et al., 2012b), in most cases measurements campaigns 332 333 during the transition periods were those that show the lower correlation values. Finally, campaigns taken during different dry seasons were occasionally negatively correlated with each 334 other. These results provided useful information for optimize a soil moisture monitoring. For 335 instance, with the objective to validate soil moisture estimation from remote sensing, transition 336 337 periods should be avoided or, alternatively, an adequate number of sampling points should be adopted. 338

340 insert here Fig. 7

341

The same analysis was carried out with data separated by site geomorphology. Measurements in flat sites provided values of soil moisture positively correlated with each other over time and significantly higher than those observed in hilly sites, where the correlation was high solely between adjacent measurement campaigns (see Fig. 8). During the wet periods, there was an increase in the spatial correlation between the average soil moisture over hilly areas and the areal mean value; the same happened with the average water content over flat areas.

348

349 insert here Fig. 8

350

351 *3.3 Decomposition of soil moisture spatial variance*

The analyses previously described, aimed to identify the most representative sites of the mean-352 catchment soil moisture behaviour, were carried out in terms of absolute values of soil moisture. 353 However, some studies (e.g., Mittelbach and Seneviratne, 2012; Brocca et al., 2014) suggested 354 that the temporal anomalies (the absolute soil moisture minus the seasonal mean) can show a 355 different behaviour with respect to the absolute values. On the basis of this new perspective, 356 Fig. 9 shows the time evolution of the terms that contribute to the determination of spatial 357 variance of soil moisture according to Equation 9. In most cases the dominant contribution was 358 the one related to the temporal mean. The influence of temporal anomalies was higher during 359 dry periods and in some cases larger than that related to the temporal mean. These results were 360 in line with what previously mentioned about the lower stability of soil moisture values 361 observed during the dry season. During the wet periods, the contribution of the covariance was 362 often close to zero, while the time-invariant term was dominant. 363

364
365 *insert here Fig. 9*366
367

368 4. Discussion

369 4.1 Statistical and temporal stability analyses

The combination of statistical and temporal stability analysis allowed us to highlight that, also 370 at the large scale (up to 500 km²), soil moisture field showed temporal stability properties. In 371 372 fact, considering the whole data set, soil moisture measurements in site 8 represented the areal mean with R² equal to 0.837 and RMSE equal to 2.4% vol/vol. During the wet periods, the 373 performances of the same experimental site rose, with a higher determination coefficient and a 374 smaller root mean square error (R² equal to 0.857 and RMSE equal to 1.2% vol/vol). During 375 the dry periods, there was a loss in the accuracy of the estimation. The mean of the values 376 recorded in the "optimal" measurement points (sites 8 and 16) for this period was able to 377 reproduce the catchment-mean behaviour with R^2 equal to 0.846 and RMSE equal to 1.6% 378 vol/vol. Considering a third experimental site during the dry periods, no significant advantage 379 380 was gained. In fact, involving also the measurements detected in site 1, that showed good temporal stability properties, the areal mean was reproduced without an increase in R² and with 381 a restrained decrease in the RMSE, reaching the value of 1.2% vol/vol. These results allow us 382 to affirm that a single "optimal" measurement point should be enough during the wet periods, 383 while during the dry periods a couple of selected sites could be necessary. In order to optimize 384 385 the soil moisture monitoring over the investigated area, not only the number and the location of "optimal" measurement points, but also the frequency of sampling, is of paramount. The lower 386

variability of soil moisture during the wet periods suggests that during wet seasons the sampling can be addressed less frequently than in dry and transition (between dry to wet and vice versa) periods, when the lower temporal persistence of the soil moisture field makes necessary to sample more frequently. This result is in accordance with previous studies (Zhao et al., 2010). The lower correlation obtained during the dry periods and thus the "lower" temporal stability was also confirmed by $\sigma(\delta_i)$ observed in the two periods: it ranged between 3.6% and 12.6% during the wet periods and between 8.1% and 23% during the dry ones.

At the scale considered in this paper, climatic factors address the soil moisture behaviour in the 394 395 same way for different morphological conditions. In fact, soil moisture values recorded in flat sites were more correlated with the areal mean than those found in hilly areas, both for the dry 396 and wet seasons. Although the different number of flat and hilly sites may influence this result, 397 it is equally true that a drainage slower than in the inclined slopes associated with the absence 398 399 of horizontal fluxes may explain this phenomenon, making this morphologic feature relevant for the identification of optimal measurement points, especially at the catchment scale 400 considered in this paper, characterized by high possibility to find a variable geomorphology. 401

Finally, we remark that the magnitude of CV_i values was in agreement with results obtained in 402 previous studies characterized by similar conditions (Famiglietti et al., 1999; Western and 403 Blöschl, 1999). More specifically, a comparison with studies conducted in central Italy (Brocca 404 et al., 2007, 2009, 2010a, 2012b) showed how the average value of CV_i increases with the size 405 of the investigated area, assuming values equal to: (i) 0.06-0.08 at local scale (1-500 m²), (ii) 406 0.10 at small plot scale (501-5000 m²), (iii) \approx 0.15 at plot scale (5001-100,000 m²) and (iv) \approx 407 0.20 at small catchment scale (50-240 km²). In this work, the experimental area was larger than 408 those examined in the above-mentioned studies, and the heterogeneity of topography and land 409 use was more significant. Therefore, we expect to find a higher value of CV_i that however is 410 consistent (~0.20) with the values observed for areas equal to 240 km². Consequently, at least 411

in central Italy, it might be assumed that the average value of CV_j equal to ~0.20 represents an upper limit of the expected spatial variability of soil moisture observations. This result is very important when a distributed rainfall-runoff model has to be used.

415

416 *4.2 Decomposition of soil moisture spatial variance*

Considering the entire measurement period, in accordance with Mittlebach and Seneviratne 417 (2012) and Brocca et al. (2014), the component due to the temporal mean provided the highest 418 contribution (61%) to the total variance, also if the spatial variability of temporal anomalies can 419 never be neglected. During the wet periods the landscape and soil characteristics such as texture 420 and land cover exert a large influence on the soil moisture spatial distribution, larger than the 421 422 contribute related to the climatic factors which mainly impact the anomaly term. This can be also associated to the type of precipitation systems that affect the Mediterranean area which are 423 synoptic during the winter season and more convective all through the summer. During the 424 transition periods, the gap between the contribution of the temporal mean and of the anomalies 425 decreased in favour of the latter as also demonstrated in a recent study (see i.e. Gao et al., 2015) 426 and the climatic factors become significant likely due the alternation of warm and cold days 427 determined by weather variability. During the summer months (June, July and August) the 428 contributions of temporal mean and anomalies reached the maximum annual values with first 429 still dominating the second but less than during the wet season. This result reinforces what 430 previously mentioned about the analysis of the correlation between the measurement campaigns 431 in relation to the lower reliability of soil moisture surveys carried out during the dry and 432 especially transient periods. Moreover, another important aspect to be considered for the 433 analysis of the time-variant and time-invariant component is the spatial scale. Results depends 434 on the spatial heterogeneities of time-invariant components such as soil texture and vegetation 435 that are expected to be less variable at smaller spatial scale. In this study, we have performed 436

the analysis at basin scale, 500 km², that is much smaller than the region investigated in
Mittlebach and Seneviratne (2012), 31500 km², and much larger than those considered in Gao
et al. (2015), 0.6 km². As expected, we have obtained that the time-invariant component is less
(more) important than in Mittlebach and Seneviratne (2012) (Gao et al., 2015), with results
similar to those found in Brocca et al. (2014) who analysed different networks at different
spatial scales.

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444 5. Conclusions

Soil moisture measurements carried out in 20 experimental sites in the Upper Chiascio River Basin for a period longer than one year have been used to investigate the soil moisture behaviour at a spatial scale (~500 km²) in which the rainfall spatial variability may play an important role. Based on results obtained from statistical and temporal stability analyses, as well as from the decomposition of the soil moisture spatial variance, the following conclusions can be drawn:

- The maximum number of required samples (NRS), considering an absolute error (AE)
 of 3% vol/vol and intermediate wetness conditions, is equal to 12.
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 2. Soil moisture exhibits greater variability during dry and transition periods. In fact, the
 453 average coefficient of variation for the dry season is equal to 0.21, while for the wet one
 454 it is equal to 0.16.
- 455 3. Also for areas up to 500 km², the soil moisture field exhibits temporal stability. More 456 specifically, during wet periods, one "optimal" measurement site allows estimating the 457 areal mean value with a good agreement ($R^2 = 0.857$ and RMSE = 1.2% vol/vol), while 458 during dry periods a couple of representative sites becomes necessary. In this way, the 459 catchment-mean pattern is reproduced with $R^2 = 0.846$ and RMSE = 1.6% vol/vol. The 460 most representative sites are located over flat areas. This last result, distinctive of the

- 461 large scale adopted in this paper, is mainly due to the rainfall pattern characteristics462 during different periods of the year.
- 4. The total spatial variance of absolute soil moisture data is predominantly determined by
 the time-invariant component, due to the temporal mean of each site. However, during
 the summer season and the transition periods, the gap between the contribution of the
 temporal mean and of the anomalies significantly decreases.

468 These results represent a useful support to optimize any soil moisture sampling over areas with

dimension up to 500 km². Further analyses, aimed to investigate deeper layers or to assess the

470 effects of different land uses and soil properties on the spatiotemporal variability of soil

471 moisture in the study basin, are still needed.

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597 List of Tables

Table 1 Soil texture (according to the USDA classification), land use, terrain and altitude of the 20
 selected measurement points (a.s.l.: above sea level).

Table 2 Statistic parameters (mean, $\overline{\theta}_j$, standard deviation, σ_j , and coefficient of variation, $CV_j(\theta)$) of 603 the observed soil moisture and average values of API5 calculated for each sampling day, considering 14 604 rain gauges, with the corresponding coefficient of variation, CV API5.

609 Figure captions

610611 Fig. 1 Chiascio River Basin: location of the experimental sites for soil moisture monitoring.

Fig. 2 Time series of soil moisture observed on each experimental site with the mean rainfall precipitated
during the entire measurement period. The bold line indicates the time series of the spatial mean of soil
moisture referring to the whole area under examination.

Fig. 3 Decreasing trend of the coefficient of variation as the average soil moisture increases. The chart also reports the exponential interpolating law.

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Fig. 4 Number of soil moisture samples required (NRS) to capture the catchment-mean soil moisture considering a confidence interval of 95% for various absolute errors (AE). The fitting was made in accordance with Eq. 4 considering the soil moisture dataset and varying the AE.

Fig. 5 Comparison of the areal mean soil moisture versus the soil moisture observed at the most representative sites (the numbers 8 and 16 of Fig. 1) considering: a) the entire data set, b) the dry periods and c) the wet periods.

Fig. 6 Rank ordered mean relative difference for a) the entire data set, b) dry periods, c) wet periods.
Labels indicate measurement sites (see also Fig. 1) and the vertical bars indicate standard deviation.

Fig. 7 Correlation matrix of the observed soil moisture values during the measurement campaigns.

Fig. 8 Correlation matrices of the observed soil moisture values in a) flat sides and b) hilly sites.

Fig. 9 Time series of contributions determining spatial variance of soil moisture and their sum for the entire reference period, in accordance with equation (9), expressed as a percentage of the total. The green is the contribution of temporal mean, the red that related to temporal anomalies, that is timedependent, such as the contribution of covariance between the previous two (blue). The black represents

- 639 the total spatial variance.
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- 641

Table 1 Soil texture (according to the USDA classification), land use, terrain and altitude of the 20
 selected measurement points (a.s.l.: above sea level).

Site	Soil texture	Land use	Terrain	Altitude (m a.s.l.)
1	Silty clay loam	Agricultural	Flat	380
2	Silty clay loam	Agricultural	Flat	405
3	Silty clay loam	Agricultural	Flat	436
4	Silty clay loam	Agricultural	Flat	457
5	Loam	Agricultural	Flat	452
6	Clay loam	Agricultural	Flat	428
7	Loam	Agricultural	Flat	436
8	Silt loam	Agricultural	Flat	396
9	Clay loam	Forest and semi-natural	Hilly	453
10	Clay	Forest and semi-natural	Hilly	380
11	Silt loam	Forest and semi-natural	Hilly	408
12	Silty clay loam	Agricultural	Flat	400
13	Silt loam	Forest and semi-natural	Flat	409
14	Clay loam	Agricultural	Flat	497
15	Clay loam	Agricultural	Flat	431
16	Clay loam	Artificial surfaces	Flat	459
17	Silty clay loam	Agricultural	Flat	422
18	Clay loam	Agricultural	Hilly	553
19	Loam	Agricultural	Hilly	575
20	Clay loam	Forest and semi-natural	Hilly	624

Table 2 Statistic parameters (mean, $\overline{\theta}_j$, standard deviation, σ_j , and coefficient of variation, $CV_j(\theta)$) of 651 the observed soil moisture and average values of API5 calculated for each sampling day, considering 14 652 rain gauges, with the corresponding coefficient of variation, CV API5.

	Date - (progressive number)	$ar{ heta_j}$ (%)	σ _j (%)	CV _j (ϑ)	API5 [mm]	CV API5
Wet	26/03/14 - (1)	33.09	5.44	0.16	20.60	0.48
	14/04/14 - (2)	32.84	5.26	0.16	15.61	0.57
	08/05/14 - (3)	32.71	4.96	0.15	21.96	0.26
Dry	04/06/14 - (4)	26.92	6.20	0.23	10.23	0.70
	20/06/14 - (5)	25.84	5.25	0.20	37.28	1.63
	02/07/14 - (6)	25.07	4.20	0.17	14.14	0.19
	06/08/14 - (7)	30.34	3.95	0.13	11.58	0.77
	20/08/14 - (8)	20.65	5.49	0.27	0.04	1.61
	09/09/14 - (9)	24.15	5.53	0.23	0.15	1.08
	24/09/14 - (10)	25.81	3.89	0.15	5.12	0.91
	09/10/14 - (11)	21.16	5.46	0.26	0.08	1.11
	23/10/14 - (12)	24.72	4.75	0.19	3.33	0.53
	04/11/14 - (13)	25.09	5.43	0.22	0.04	1.43
Wet	21/11/14 - (14)	33.94	5.28	0.16	83.48	0.22
	05/12/14 - (15)	36.42	5.02	0.14	29.98	0.26
	18/12/14 - (16)	37.06	4.83	0.13	12.17	0.37
	28/01/15 - (17)	36.29	5.78	0.16	9.16	0.77
	11/02/15 - (18)	35.78	5.08	0.14	17.23	0.36
	10/03/15 - (19)	36.67	6.80	0.19	25.72	0.43
	24/03/15 - (20)	35.11	6.84	0.19	1.82	2.57
Dry	13/04/15 - (21)	25.71	5.56	0.22	0.00	-
	08/05/15 - (22)	21.05	5.79	0.27	0.00	2.09
	29/05/15 - (23)	29.12	4.84	0.17	8.67	0.38





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711 Fig. 8 Correlation matrix of the observed soil moisture values in flat (under the diagonal) and hilly

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