Elsevier Editorial System(tm) for

Geomorphology

Manuscript Draft

Manuscript Number: GEOMOR-6067R2

Title: The role of topography in the scaling distribution of landslide areas: A cellular automata modeling approach

Article Type: Research Paper

Keywords: Landslide area; Topography; Cellular automata; Scaling

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Abstract: Power law scaling has been widely observed in the frequency distribution of landslide sizes. The exponent of the power-law characterizes the probability of landslide magnitudes and it thus represents an important parameter for hazard assessment. The reason for the universal scaling behavior of landslides is still debated and the role of topography has been explored in terms of possible explanation for this type of behavior. We built a simple cellular automata model to investigate this issue, as well as the relationships between the scaling properties of landslide areas and the changes suffered by the topographic surface affected by landslides. The dynamics of the model is controlled by a temporal rate of weakening, which drives the system to instability, and by topography, which defines both the quantity of the displaced mass and the direction of the movement. Results show that the model is capable of reproducing the scaling behavior of real landslide areas and suggest that topography is a good candidate to explain their scale-invariance. In the model, the values of the scaling exponents depend on how fast the system is driven to instability; they are less sensitive to the duration of the driving rate, thus suggesting that the probability of landslide areas could depend on the intensity of the triggering mechanism rather than on its duration, and on the topographic setting of the area. Topography preserves the information concerning the statistical distribution of areas of landslides caused by a driving mechanism of given intensity and duration.

GREEN: Reviewer RED: Authors

The authors would like to thank the Reviewer for the positive opinion expressed on the paper, and once again for helping us to catch the mistake that we made with the data analysis in the first version of the paper. Below we address all the points raised by the Reviewer in his second revision. To summarize, we implemented in the paper all of his suggestions at points 2 and 3, and in part, those at point 1. Please see below for more details.

The authors performed a thorough revision of their manuscript. In my first review I mainly criticized a mistake in the data analysis with the consequence that fixing it will bring the main result (D) far away form landslides in nature. As I hoped and already mentioned, changing the parameter values has brought the D value closer to nature again. After doing so, the D values obtained in this study are still somewhat at the edge of the D values obtained in nature. In this sense it is somewhat difficult to believe that the model really captures the statistical properties of landslides well, but on the other hand the approach is indeed promising, and the discussion given in the revised version is appropriate. I would therefore recommend publication of the manuscript, but would suggest to address the following points before publication:

(1) Several diagrams contain results leading to D values which are far off from the ``realistic" range. I would suggest to remove those simulations where the D value is really too large in order to reduce the number of (sub)figures a bit.

We see that this request is quite justified and because of this – when we started working on the revision of the paper – we changed both Fig. 4 and Fig. 7 by removing all the subfigures which show values of D outside of the range observed for real landslides. However, when we went through the text to change it accordingly, we realized that the removal of those subfigures in Fig. 4 would result in either a loss of clarity for the reader (if describing results without any reference to the figure) or a loss of information (if removing the text which can no longer find any correspondence with the figure). In order to better explain this issue, we show below how the portion of text related to Fig. 4 (extracted from Section 3) would look like by reducing the number of subfigures in Fig. 4. The potential changes are marked, and in the appended comments we explain the reasons for our concern, which finally drove us to the choice of leaving all the subfigures in Fig. 4.

#### From Section 3:

"For each w and  $t_w$  tested the complementary of the cumulative frequency distribution of landslide areas obtained from the model for each w and  $t_w$  tested, along with and their scaling properties were investigated., are shown in Fig. 4.

Overall, landslide areas increase with increasing w and vary from  $2 \times 10^3$  to  $2 \times 10^7$  m<sup>2</sup>, which are values comparable with the range observed for real landslide areas (Pelletier et al., 1997; Guthrie and Evans, 2004; Malamud et al., 2004), although the highest order of magnitude represented in most real datasets is of  $10^6$  m<sup>2</sup>, while landslides obtained from the model reach  $10^7$  m<sup>2</sup>. Such large landslides are not often present in landslide inventories, since they require particular conditions in order to occur, that is, very high slope gradients like those observed in deeply incised river valley, and high-intensity rainfall events (Korup et al., 2007). Moreover, particular structural settings may favor the instability of large slope portions. In terms of slope gradients and rainfall intensity, these conditions match those of the system modeled. Indeed, the river valleys are up to  $70^\circ$  steep, and landslide areas with a magnitude of  $10^7$  m<sup>2</sup> are obtained when the highest values for the rate of weakening are applied (w=2.5 and w=2.75), which according to the interpretation given in Section 2.2, correspond to the highest intensities of the triggering event. Moreover, as explained above, coalescent landslides are identified in the model as a single landslide, thus leading to larger areas.

The graphs in Fig. 4 show that the right tails of the frequency distributions of landslide areas <u>obtained from</u> the model always follow a power law trend (R > 0.99) (Eq.3).

# $N \propto A^{-(D-1)} \quad (3)$

In Eq. 3, *N* is the number of landslides with area greater than or equal to *A*, and *D* is the scaling exponent. The scaling exponents *D* range from 2.67 to 5.75, with uncertainty intervals at the 95% confidence level between 0.07 and 0.19. Overall, scaling behavior is observed in ranges of landslide areas from 0.6 orders of magnitude (Figs.4d and 4f: series obtained at 1,000 model steps) to 2 orders of magnitude (Figs.4b and 4e: series obtained at 2,000 and 1,000 model steps, respectively).

The graphs in Fig. 4 show the results obtained for *w* between-2 and 2.75, which, as it will be shown later in this section, are the *w*-values that lead to realistic *D*-exponents.



Comment [Authors1]:

**Fig. 4** Complementary of the cumulative frequency distributions (*CFDs*) of landslide areas ( $A_i$  in  $m^2$ ) obtained with a) w = 0.52, b) w = 42.25, c) w = 42.5, d) w = 2.75, e) w = 2.25, f) w = 2.5, g) w = 2.75, for different time spans (1,000 model steps in red, 2,000 in green, and 5,000 in black). The dotted lines indicate the portions of the *CFDs* taken in consideration for the identification of the power law (dotted lines). For each power law the respective scaling exponent *D* is shown.

A flattening of the frequency distributions is observed when landslide areas are lower than  $10^4 \text{ m}^2$  (Fig.4a) and 4b), thus indicating that small landslides are less frequent than predicted by the power law. A deviation from the power law at the smallest landslide sizes is also recognized in the CFDs obtained from real datasets. However, in the real world small landslides show a specific statistical behavior that is not observed in our CFDs: when non-cumulative frequency distributions are used, the interval corresponding to the smallest landslide areas is characterized by an opposite trend, with positive slope, followed by a rollover above which landslide areas start following the power law (Guzzetti et al., 2002; Guthrie and Evans, 2004; Malamud et al., 2004). Such a rollover is not present in the outcomes of this model: non-cumulative frequency distributions calculated for the same landslide data series for which the cumulative distributions are shown ir Fig. 4a and 4b, exhibit a flattening rather than a rollover for the smallest sizes of landslide areas. As explained in Section 1, the rollover in real landslide inventories may be associated with a range of explanations, such as an underestimation of small landslides (Stark and Hovious, 2001; Brardinoni and Church, 2004), and the physics of processes controlling the occurrence of small landslides (Stark and Guzzetti, 2009, Milledge et al., 2014). In this regard, our model does not consider the physical parameters and processes invoked to explain the frequency distribution of small landslides, and it cannot be affected by the resolution of the data sources of the landslide inventory either. This could explain why the CFDs obtained do not exhibit a rollover. In our model, the only variable affecting landslide areas is the topography. Thus, the flattening that we observe for these series at the smallest landslide areas is expected to be related to the constraints represented by the topographic surface.

The first part of the frequency distributions obtained with w from 2 to 2.75 (Figs. from 4d to 4g) exhibits a behavior that it is not the same with the one from real landslide inventories. In particular, although the smallest sizes of these series are in a range at which scaling behavior is observed in nature, in this part of the *CFD* the number of the modeled landslides is higher than that predicted by the power law. The difference can again-be related to the fact that the only constraint to model dynamics is represented by topography: as we deduced from Fig. 3, topographic adjustments occur in response to the large landslides caused by high rates of weakening, thus leading to a high number of slope failures with smaller area.

While model choices affect the first part of the area-frequency distributions, results indicate that the model is capable of reproducing the scaling properties of real landslides. The values of D were compared to those observed for real landslide inventories by taking as a reference the work by Van Den Eeckhaut et al. (2007)..."

#### Last rows of Section 4:

"These outcomes also suggest that the fact that the model does not accurately represent the first part of the frequency distribution of real landslides (Section 3) is not due to the scale of analysis but rather, as hypothesized in the previous section, due to the choice of topography as the main way of describing the spatial variability of the system."

Because of the reasons explained above, we finally decided to leave Fig. 4 and the text in Section 3 as they were in the first review. However, in order to better point out that some *w*-values lead to unrealistic *D*-exponents, we reiterated this concept through the paper, where appropriate. In the file 'Liucci et al.\_changes marked', the Reviewer can see – highlighted in yellow – the parts of text where this concept was already present, and – highlighted in green – the parts where it has been repeated.

As for Fig. 7, we followed Reviewer's suggestion and removed the two subfigures where the *D*-values where too high. The text still explains that we compared results for two rates of weakening, since we think that this makes the results of the comparison stronger. We hope that the Reviewer agrees with the decisions made.

#### Comment [Authors4]:

The flattening that we are referring to, car be observed in subfigures 4a and 4b, which have been removed in the updated figure. The parts of this paragraph highlighted in yellow are those where we explicitly refer to those graphs, and that allow us to compare the behavior of small landslides i real inventories and in our model. In the attempt of adapting this paragraph to the new figure, we would thus have two options:

 - 1) leave it as it is, only removing the references to Figs. 4a and 4b, that is, to provide the information highlighted in yellow and the related discussion without the possibility for the reader to find any correspondence in the figure,

 2) to remove this whole paragraph from the text (as shown) and no longer address the issue related to the frequency distribution of small landslides.
 We think that none of them would be a

good choice. - If we apply option 1, we are concerned

that the reader would get lost in the text and not understand what we are speaking about.

- If we apply option 2, the removal of the paragraph would imply that we would no longer make any consideration about the behavior of small landslide sizes, while we think that a paper that deals with landslide area frequency statistic should mention this aspect of the distribution, which has been widely depicted and debated in literature. Also, one of the main clarifications asked by the other Reviewer in the first review was about the reasons why our model does not reproduce well this part of the distribution, and if we remove this part we will no longer provide any explanation regarding that.

#### Comment [Authors5]:

The considerations above also apply to the last rows of Section 4, which should be either left as they are without having shown – in Section 3 – any graphical information, or removed from the text, although they proved to the other Reviewer (and more in general, they prove to the reader) that the resolution does not affect the statistical behavior of small landslides in the model. (2) The second part of the paper (from Fig. 8 on) discusses results of the model in great detail. Taking into account that we cannot be completely sure about the relationship of the model for real landslides, the authors might think about tightening this part a bit and reducing the overall length of the paper.

We followed the Reviewer's suggestion and removed some sentences from the manuscript (from Section 5 to Section 7), which either provided too detailed information about marginal aspects of results or stressed the possibility of a link between the model and reality.

(3) The log scales in the diagrams are not consistent. Some diagrams use axes with  $10^{...}$  (what I would prefer for clarity), while others use labels such as log(A) and number like 5, 6, ... Maybe the authors could use a uniform style for this.

Thank you for helping us notice this. We uniformed the log scales of the diagrams by converting the axes in Fig. 7 in the format of  $10^{4}$ ...

I think the authors can establish at least some of these suggestions, and I will be happy to recommend publication of this interesting and in general well written paper then.

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# Highlights

- A Cellular Automata model for the study of landslide scaling behavior is proposed.
- The rate of weakening of the system affects landslide area frequency distribution.
- Topography is a good candidate to explain the scaling behavior of landslide areas.
- Topography conserves information about the probability of landslide magnitudes.

# The role of topography in the scaling distribution of landslide areas: A cellular automata modeling approach

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#### 23 Abstract

24 Power law scaling has been widely observed in the frequency distribution of landslide sizes. The exponent of the power-law characterizes the probability of landslide magnitudes and it thus represents an important 25 26 parameter for hazard assessment. The reason for the universal scaling behavior of landslides is still debated and the role of topography has been explored in terms of possible explanation for this type of behavior. We 27 28 built a simple cellular automata model to investigate this issue, as well as the relationships between the scaling properties of landslide areas and the changes suffered by the topographic surface affected by 29 30 landslides. The dynamics of the model is controlled by a temporal rate of weakening, which drives the 31 system to instability, and by topography, which defines both the quantity of the displaced mass and the 32 direction of the movement. Results show that the model is capable of reproducing the scaling behavior of 33 real landslide areas and suggest that topography is a good candidate to explain their scale-invariance. In the model, the values of the scaling exponents depend on how fast the system is driven to instability; they are 34 35 less sensitive to the duration of the driving rate, thus suggesting that the probability of landslide areas could 36 depend on the intensity of the triggering mechanism rather than on its duration, and on the topographic 37 setting of the area. Topography preserves the information concerning the statistical distribution of areas of 38 landslides caused by a driving mechanism of given intensity and duration.

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#### 41 1. Introduction

42 Landslide occurrence is controlled by the interaction of many factors, such as geology, topography, 43 hydrology, land use and climate. These factors affect both the proneness to slope failures and the type and 44 magnitude of landslides. However, regardless of the local characteristics, it has been widely shown that 45 landslide patterns (Goltz, 1996; Liucci et al., 2015) and the frequency distribution of landslide areas and 46 volumes exhibit scaling properties (Malamud and Turcotte, 1999; Stark and Hovius, 2001; Guzzetti et al., 47 2002, Martin et al. 2002; Brardinoni and Church, 2004; Guzzetti et al., 2005; Korup, 2005; Brunetti et al., 48 2009). In particular, landslide sizes follow a power law with negative scaling exponent, which can also be

Keywords: Landslide area; Topography; Cellular automata; Scaling

similar for landslides triggered by different mechanisms (Pelletier et al., 1997; Malamud et al., 2004;
Hergarten, 2013). This trend is found from medium to large landslide sizes, while an opposite trend is
identified at smaller sizes. Several models have been built to investigate this behavior and hypotheses have
been discussed that the scaling properties of landslides could arise in Self-Organized Critical dynamics
(Malamud and Turcotte, 1999; Hergarten, 2003, 2013).

According to the work by Van Den Eeckhaut et al. (2007), who reviewed the values of the scaling exponent observed for about thirty landslide datasets around the world, the exponent of the non-cumulative frequency distribution of landslide areas ranges between 1.42 and 3.36.

57 Compared to regolith landslides, rockfalls exhibit, on average, smaller scaling exponents (Malamud et al. 58 2004, Brunetti et al., 2009), and this could depend on the physics of processes leading to rockfalls, which are 59 different from those responsible for regolith landslides (Malamud et al., 2004). The comparison between the 60 scaling behavior of these two types of mass movement commonly takes into account the mobilized volumes. 61 The understanding of the factors controlling this power law decay and the value of the scaling exponent is of much interest, since it would provide valuable information concerning the probability of occurrence of 62 landslides of different magnitudes. Several studies suggested possible explanations for the characteristic 63 64 shape of the landslide frequency distribution and for the factors responsible for landslide sizes. Katz and 65 Aharonov (2006) induced landslides in a vibrating box of cohesive sands through the application of both 66 horizontal and vertical acceleration. The analysis of the frequency-size distribution of the generated 67 landslides showed that the power law behavior observed for medium to large sizes is due to the strength 68 heterogeneity of the material caused by the fracture systems that form in response to the acceleration applied. 69 Lehmann and Or (2012) used a hydromechanical physically based hillslope model inspired by concepts of 70 Self-Organized Criticality (SOC) (Bak et al., 1988), to study the frequency distribution of rainfall-induced 71 shallow landslide volumes. They observed that root reinforced soils and high slope angles lead to smaller 72 values of the scaling exponent of landslide volumes, while soil textural class and rain intensity have less of 73 an impact on its value. Conversely, the work by Alvioli et al. (2014) showed that the shape of the frequency distribution for medium to large landslides changes with rainfall intensity and rainfall duration, for given 74 75 geotechnical parameters. Frattini and Crosta (2013) observed that topography exhibits power law scaling 76 with a rollover at smaller scales, similarly to what was observed for landslide size-frequency distributions,

77 and that the scaling exponent of the frequency distribution of areas of patches (triangular units used to tile 78 the topographic surface) increases with the slope gradient of relief. This indicates that topography is 79 characterized by a low number of large areas with high slopes. They conclude that the low number of large patches with a slope gradient high enough to have slope failure causes an increase of the scaling exponent of 80 the frequency distribution of landslides compared to the case of unlimited availability of high-slope patches. 81 However, the investigation of synthetic landslide inventories showed that the main factor controlling the 82 83 scaling exponent of landslide sizes is the variation of the geotechnical properties with depth. Katz et al. 84 (2014) investigated the possible factors controlling the size and geometry of an individual landslide through 85 the use of a numerical model. They hypothesized that the size of small landslides is controlled by the amount 86 of material disintegrated by pre-sliding rupture processes, which in turn is controlled by the peak strength of 87 the material and by the slope angle, while the size of medium to large landslides is not necessarily related to 88 material disintegration and is mainly affected by the preexisting discontinuity setting. Milledge et al. (2014) 89 proposed a slope stability model to predict the size of shallow landslides. They suggested that the low number of small landslides observed in real inventories and their size depend on the so called 'critical area', 90 91 defined as the minimum area necessary to overcome resistive forces like friction and (when present) 92 cohesion and thus to become prone to failure. The critical area is controlled by the critical failure depth, 93 which is the depth at which the critical area is minimized, and in both cohesion and cohesionless soils it is 94 affected by the position of the water table, which thus indirectly controls landslide sizes. They also found 95 that the critical area closely corresponds to the peak of the frequency distribution of landslide areas on the 96 reference site. This peak delimitates the rollover that marks the transition from the part of the frequency 97 distribution corresponding to small landslide areas and characterized by positive slope, to the part 98 corresponding to the medium to large landslide areas, which follows a power law with negative exponent 99 (Guzzetti et al., 2002; Guthrie and Evans, 2004; Malamud et al., 2004). There is a wide debate about the 100 reasons for the rollover. A possible explanation is an underestimation of small landslides because of the 101 resolution of the original data sources used to build the dataset (Stark and Hovious, 2001; Brardinoni and 102 Church, 2004). For example, raster data with a certain spatial resolution do not allow us to identify landslides 103 with areas lower than the resolution of cells. Moreover, erosional processes quickly remove the fingerprint of 104 small landslides (Guzzetti et al., 2002) - the level of conservativeness of landforms increases with their size.

105 Another possible explanation for the low number of small landslides concerns the geomechanical properties 106 of soil and their relative importance in the rupture mechanism, which depends on the scale at with the 107 process occurs (Stark and Guzzetti, 2009). Another category of models widely applied to the study of the 108 dynamics of such natural phenomena is that of cellular automata (CA) models. A cellular automaton is a 109 discrete numerical model, in which the studied system is discretized in cells. Each cell is characterized by a state representing one or more physical properties. The states of cells are evaluated and updated at discrete 110 111 time steps according to rules that concern the states of the neighboring cells. One can then study the overall 112 behavior of the system in space and time as an effect of local interactions. One of the strengths of these 113 models stems from their capability of reproducing the complexity of real world patterns by using a small 114 number of input parameters and by reducing processes to simple rules, capable of fruitfully describing their 115 dynamics. Although in reality the dynamics are quite more complex and the factors involved are many, in 116 CA models complex patterns emerge from simple rules (Wolfram, 2002); that is, they manifest emergent 117 behavior (Bonabeau et al., 1995) just like complex natural systems do.

Two pivotal CA models are the Bak-Tang-Wiesenfeld model (Bak et al., 1988) and the Olami-Feder-118 119 Christensen model (Olami et al., 1992). The former, known as 'sandpile model', describes the behavior of a 120 system subject to constant input that drives the system to instability: the equivalent of adding grains to a sand 121 pile causes local instabilities that may propagate throughout the system, in a chain reaction, as a function of 122 local states, producing scale invariant features both in space and in time. Constant input is thus leading to 123 outputs in a wide range of sizes, corresponding to a distribution governed by a power law. The second one 124 belongs to the group of CA spring-block models and it was built to study earthquake dynamics. In this 125 model, cells represent blocks connected with each other through springs. In its theoretical formulation, 126 blocks are also connected to a rigid driver plate, slowly moving, thus increasing the forces acting on the 127 blocks until one (or some of them) exceeds the static friction and becomes unstable. When the block 128 becomes unstable it is displaced, possibly initiating a chain-reaction involving neighboring cells. The OFC 129 model is considered as a paradigm for non-conservative SOC because it involves dissipation: the potential energy gradually accumulated in the springs is partially transferred to the driver plate, while a part of it is 130 131 lost from the system.

Like other phenomena, landslides seem suitable to be treated as avalanche processes. For slides occurring on slopes of overconsolidated clay and clay shales, the development of a sliding surface follows a mechanism of progressive slope failures (Bjerrum, 1967): the instability starts in a small region and destabilizes the neighborhood, thus allowing the instability to propagate. Moreover, the behavior of CA models can be thought of as a self-similar inverse cascade (Turcotte et al., 2002), and this idea can be fruitfully applied to landslides by considering the cascade as a coalescence of metastable regions: small failures coalesce to form a large failure plane.

Attempts have been made to apply the sandpile model (Bak et al., 1988) and the OFC model (Olami et al., 139 140 1992) to landslides, but results showed that none of them works on a quantitative level if the surface gradient 141 is the only parameter used to describe the state of cells in the model (Hergarten, 2003). Hergarten and 142 Neugebauer (2000) presented a new type of model, which introduces a second variable to the one describing 143 the state of cells. The second variable represents a time-dependent weakening, and when the model is applied 144 to landslides it consists of a temporal decrease of the stability slope threshold of each site. The rate of weakening can be introduced in different ways in the stability criterion, for example as a sum approach or as 145 146 a product approach. When the product approach is used, the model shows SOC behavior and the scaling 147 exponent observed is in agreement with values observed for real landslides. Thus, when a second variable is 148 introduced to describe slope stability, results improve.

149 The idea of a two-variable model was also applied by Piegari et al. (2006, 2009). Their model uses the 150 inverse of a factor of safety as a dynamic variable describing the state of cells, while a second parameter 151 drives the system to instability, which in practice is equivalent to the time-dependent weakening of 152 Hergarten and Neugebauer (2000). In their model, the instability of cells is partly lost from the system, 153 which means that unlike previous landslide models the system is non-conservative, in analogy with the nonconservative case of the OFC model. A good correspondence with real frequency-size distributions is 154 155 obtained when a specific level of conservation and driving rate are used, and after spatially scaling the 156 model. They conclude that the frequency-size distribution of landslides is controlled by the rate of approaching instability more than by the triggering mechanism. Hergarten (2013) points out that the 157 158 introduction of a degree of dissipation represents a tuning parameter for the model, whose value cannot be 159 conceptually interpreted based on physical arguments.

160 Both the CA by Hergarten and Neugebauer (2000) and by Piegari et al. (2006, 2009) describe landslides on 161 an individual slope. However, as shown by Frattini and Crosta (2013), topography is a key factor affecting 162 landslide sizes. The important role of topography in slope failure occurrence is also highlighted by landslide 163 susceptibility analyses, which find the slope gradient to be a predominant factor in causing the instability of 164 an area (Lee and Min, 2001; Ayalew and Yamagishy, 2005). More generally, the setting of the topographic 165 surface plays a major role in all the geomorphological processes acting on the landscape. Topography is not 166 a static property of an area. A topographic surface changes as a consequence of the processes acting on it and 167 in turn it affects the dynamics of most of these processes. A large number of landscape evolution models aim 168 to describe these mutual interactions (a recent review of these models is given by Chen et al., 2014), and the 169 factors mainly considered are the tectonic uplift, the fluvial erosion, and the gravitational processes. 170 Topography also implicitly contains information concerning the lithology and the structural aspects of the area, since the geological properties constrain the resulting landforms (Taramelli and Melelli, 2009; Melelli 171 172 et al., 2014). Consequently, the variability of the topographic surface also reflects the variability of many other parameters and it can thus be considered representative of the specificities of an area. 173

The changes that the topographic surface incurs over time could play a key role in the explanation for the statistics of landslide sizes (Hergarten, 2013). This paper focuses on this specific aspect of landslide dynamics, in order to contribute to the understanding of the scaling properties observed for medium to large landslides. In particular, we explore the possible relationships between landslide scaling properties and the changes in topography, which to the authors' knowledge, represents a new contribution to the existing literature on this topic.

180 To this purpose, we use a cellular automata (CA) model. In the model, we consider the gravitational process 181 as the only mechanism shaping the landscape, and the topographic surface as the only parameter defining the variability in the initial conditions. Given that the model does not take into account the subsoil and structural 182 183 geology, it refers to shallow landslides involving the regolith layer of the slope, and triggered by moisture 184 increase. Its basic structure is similar to the one proposed by Hergarten and Neugebauer (2000), which is also used in the non-conservative CA model by Piegari et al. (2006, 2009). The model dynamics is driven by two 185 186 variables: a temporal rate of weakening and a variable describing the state of cells. However, the 187 fundamental difference between the model proposed here and those models consists of the predominant role

of topography in the evolution of the system and in landslide dynamics, since topography is decisive for both
the displaced mass and the instability direction. Moreover, conversely to the model by Piegari et al. (2006,
2009), this model is based on the transfer of mass and thus it is conservative.

191 The steps involved in this work consisted in: *i*) building the CA model (described in Section 2); *ii*) 192 investigating the frequency distribution of landslide areas resulting from the implementation of the model 193 starting from a topographic surface (Section 3 and 4); *iii*) qualitatively and quantitatively investigating the 194 changes undergone by the topographic surface (Sections 5); *iv*) exploring the possible relationships between 195 the scaling behavior of landslide areas and the changes in topography (Section 6). Section 7 discusses the 196 results and their implications in terms of landslide dynamics, the limitations of this study, and possible future 197 developments.

#### 198 2. A cellular automata model for landslides

#### 199 2.1. <u>Structure of the model</u>

200 The cellular automata model presented in this study was designed and written by the authors using the 201 Matlab® software. It consists of a square lattice of square cells. Each cell is characterized by an altitude 202 value, which can change during the evolution of the model through local interactions between neighboring 203 cells. The initial state of the system is represented by the altitude values acquired from the Digital Elevation 204 Model (DEM) of a real area. The lattice has a size of  $320 \times 320$  cells, while the original DEM corresponds to 205 an area located in the Umbria region (central Italy) and has a cell size of 25x25m. The area represents a 206 mountainous morphology characterized by steep river valleys with slopes up to about 68° and flat surfaces at 207 the top of the slopes. Overall, the area exhibits low drainage density and wide interfluve areas. The 208 maximum altitude is of 1,412 m a.s.l (Fig. 1). We would like to specify the fact that it is not our objective to 209 study landslide phenomena in this specific area. Rather, we use a real DEM in order to represent the natural 210 variability of topographic surfaces, which has been shown to possess self-affine statistics over a wide range of scales (Turcotte, 1997). The advantage of using a real topography instead of a synthetic self-affine surface 211 is that the latter typically lacks some important features of the earth's surface, such as river valleys and 212 morphological shapes resulting from a variety of processes, including tectonics (Hergarten, 2013). Moreover, 213 214 real topographic surfaces exhibit deviations from scale invariance (Evans and McClean, 1995).



Fig. 1 DEM of the area used as initial topographic surface in the CA model. The black line indicates the cross-section of
 profiles shown in Fig. 9.

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219 The stability criterion for the cells is based on the local slope angle. The slope angle  $\beta_c$  of each cell c is 220 defined as the maximum slope gradient between the cell and its eight Moore neighboring cells (Wolfram and 221 Packard, 1985). The slope threshold is defined as the slope angle above which cells are unstable. The model 222 starts from stable initial conditions; that is, the initial threshold  $\alpha_0$  for all the cells is higher than the 223 maximum  $\beta_c$  of the area. Then, at each step the threshold decreases by a quantity w, driving the system 224 towards instability. In analogy with the real world, the decrease of the stability threshold can be thought of as 225 representative of the weakening of soil caused by triggering events such as rainfall and snowmelt, which 226 produce a decrease of the resistive forces of soil until one or more slope failures occur. If the slope threshold 227 of a cell at a given time t has a value lower than or equal to  $\alpha_{min}$ , the decrease is no longer applied. The value 228 used for  $\alpha_{min}$  is 5°, which implies that a quasi-flat area is always stable. A cell *c* is unstable when  $\beta_c$  is higher 229 than the slope threshold  $\alpha_c$ . When the cell c is unstable, its altitude  $e_c$  decreases by a quantity  $\Delta e_c$ . The value 230 of  $\Delta e_c$  is evaluated as the amount of altitude that c must lose so that  $\beta_c$  after perturbation becomes equal to  $\alpha_c$ , 231 that is, the quantity necessary to bring cell c back to a metastable state. The quantity  $\Delta e_c$  is discharged to the 232  $n_i$  neighboring cells identified as receiving cells ( $n_i$ , i = 1..., N), thus resulting in an increase of their altitude 233  $e_{n_i}$ . Accordingly, in order to evaluate  $\Delta e_c$  the model takes into account both the decrease of  $e_c$  and the 234 corresponding increase of  $e_{n_i}$  of the receiving cells. There can be between one and three receiving cells (1  $\leq$ 235  $N \leq 3$ ) and they are evaluated based on the slope gradients between the eight Moore neighboring cells and the

236 overcritical cell. The neighboring cell with the highest slope angle identifies the main landslide direction, 237 which means that the avalanche follows the steepest descendent gradient. Then, if the two neighboring cells 238 located at the two sides of the main landslide direction have an altitude that is lower than the altitude of c, 239 they are also considered to be receiving cells. If N > 1,  $\Delta e_c$  is anisotropically discharged among the  $n_i$  cells. In 240 particular, the fraction  $f_{n_i}$  ( $0 \le f_{n_i} \le 1$ ) of  $\Delta e_c$  that each of the cells  $n_i$  receives is proportional to the values of the slope angle between c and the cells  $n_i$ . If N = 1,  $\Delta e_c$  is shifted in its entirety to the receiving cell in the 241 242 direction of the maximum slope gradient (i.e.,  $f_{n_i}=1$ ). Thus, both the landslide direction and the transfer of 243 mass are constrained by the local topographic features of the surface. After perturbation, the threshold  $\alpha_c$  of 244 cell c is restored to its initial value  $\alpha_0$ . The instability of a cell may cause the instability of the neighboring 245 cells, thus allowing the landslide to propagate within the system. At each model step t and for each cell c, the 246 rules governing the dynamics of the model are summarized in Eqs. 1 and 2, which represent the driving rule 247 and the transition rule, respectively.

$$\alpha_c(t) = \alpha_c(t-1) - w \tag{1}$$

In the model, landslides are considered instantaneous compared to the time scale of the overall evolution of the system. Thus, when the condition described in the transition rule (Eq. 2) is verified for at least one cell of the lattice (i.e. when there is at least one landslide in progress) the driving rule (Eq. 1) is no longer applied until all the cells become stable again.

Moreover, our model does not take into account a regenerating process such as uplift, since it is based on the assumption that the time scale at which the modeled landslides occur is much shorter than that of tectonic processes: the effect of these processes on the evolution of the system is negligible at the temporal scale considered and it does not significantly affect landslide dynamics.

# 258 2.2. Implementation of the model

259 The model was applied to the investigation of the frequency distribution of landslide areas. We used a series
260 of values for the rate of weakening *w*. For each of these values we measured the areas of landslides that
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261 occurred over time windows  $t_w$  defined as a number of model steps. The area of a landslide is calculated as 262 the number of adjacent cells affected by instability during a single event. For each landslide area series we 263 investigated the scaling properties of the resulting cumulative frequency distribution.

264 The choice of the values to be used for w was constrained by the model outputs. In the next section it will be 265 shown that in the model, landslide areas increase with w. Thus, the value of w affects the sizes of the 266 resulting landslides as well as the shape of the size frequency distribution. Accordingly, the model outputs 267 drove the selection of the values of w capable of representing the range of landslide sizes and the values of scaling exponents observed in the real world. In particular, we first tested a low value for w (w = 0.5). Then, 268 we repeatedly ran the model by progressively increasing the value of w by 0.5, until values were reached for 269 270 which the behavior of the system was similar to real world observations. In the range of w for which such similarity was observed, we reduced the distance between subsequent w values to 0.25, to investigate the 271

behavior of the system in more detail. The values tested for *w* are 0.5, 1, 1.5, 2, 2.25, 2.5, 2.75.

273 As explained in Section 2.1, the weakening w applied in the model through a decrease in the slope angle 274 stability threshold is meant to correspond to the effect of rainfall or snowmelt events, which weaken the soil 275 thus causing the instability of some sites of the system. In the real world, the rate of soil weakening depends 276 both on the intensity of the triggering event and on the physical response of the soil (Iverson, 2000), which in 277 turn depends on its physical properties. In our model we apply a constant rate of weakening in space and in 278 time, which means to assume that the factors that create unstable conditions are constant in time, and that the 279 only variable affecting the response of the system is topography, while all the other physical properties are 280 homogeneous in space. Thus, a higher w can be associated with a higher rainfall intensity or snowmelt rate, 281 or more generally with a higher rate of increase of the resulting pore pressure, under the assumption of 282 homogeneous soil properties.

To summarize, the way we implement the model allows us to study how landslide dynamics evolves whenthe system is subjected to a constant driving mechanism over time, with different predefined intensities.

The time windows  $t_w$  used for the model consist of 1,000; 2,000; and 5,000 model steps. Accordingly,  $t_w$ represents the sum of the "landsliding steps", that is, the steps at which the instability is communicated from the unstable cells to their neighbors, and the "weakening steps", that is, the steps at which the decrease of the slope stability threshold is applied. This implies that for a given time window  $t_w$ , the larger the areas of landslides of the resulting landslide series, the higher the number of landslide steps in the  $t_w$ -window, since the avalanche process involves a larger number of cells.

Figure 2 shows an example of stability conditions (Fig. 2a) and of the pattern of the slope stability threshold (Fig. 2b) of the examined topography, after 1,000 steps and for w = 2. In Fig. 2a, yellow denotes the unstable cells at the 1,000<sup>th</sup> step of the model. In Fig. 2b we observe that under the effect of the driving rule (Eq.1, taking w = 2), the slope threshold  $\alpha_c$ , which at time t = 0 is uniform for all cells of the matrix (Eq.1, with  $\alpha_c$ =75°; that is, tan  $\alpha_c$ =3.7), has become strongly variable after 1,000 steps: its values vary from cell to cell, depending on the stability history of the cells during this time span.



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**Fig. 2** Stability conditions of the matrix, at the 1,000th step of the model. a) unstable cells (yellow) and stable cells (blue); b) Map of the tangent of the slope stability threshold  $\alpha_c$ .

# 301 3. Analysis of the probability of landslide areas obtained from the model

302	In this section, we first describe results obtained with all the rates of weakening (w) tested, and then compare
303	these results with the real world observations in order to define the range of w-values capable of reproducing
304	the behavior of real landslides.
305	For each number of iterations $t_w$ and for each w-value tested, the outputs from the model consist of a series of
306	landslide areas $A_i$ , expressed as a number of cells. These values were converted in in m <sup>2</sup> according to the
307	resolution of the original DEM, in order to facilitate the comparison between the results obtained from the
308	models and the behavior of real landslides.

309 Figure 3 shows how the mean area of landslides  $(A_{I})$  of each landslide data series varies with the rate of 310 weakening w (Fig. 3a) and with the number of model steps  $t_w$  (Fig. 3b). In both graphs we observe that the higher the value of w the higher the mean area  $A_L$ . In particular, the two parameters are linked to each other 311 by a linear equation (Fig. 3a). The increase of  $A_L$  with w is due to the spatial spread of instability, which 312 increases with increasing rate of weakening. Indeed, according to the driving rule (Eq.1), a higher w implies 313 a faster decrease of the slope threshold  $\alpha_c$  and thus a higher number of unstable cells with a higher 314 315 probability to be in touch with each other. This results in larger landslide triggering areas, which 316 consequently generate larger landslide bodies. Moreover, the wide spatial spread of instability can also cause 317 the formation of coalescent landslides, which are identified in the model as a single landslide. Finally, a 318 faster decrease of the slope threshold also implies that a larger mass must be lost from the unstable cell in 319 order to restore equilibrium conditions. The increase of the landslide mass involved in the landslide process 320 increases the probability for the neighboring cells that receive the mass to become in turn unstable and, as a 321 result, landslide processes are more likely to generate large areas.



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Fig. 3 (a) For each number of model steps (t<sub>w</sub> = 1,000; 2,000; 5,000), mean area of landslides (A<sub>L</sub>) of the respective landslide areas data series as a function of w, and the respective linear best fit. (b) For each w, A<sub>L</sub> as a function of t<sub>w</sub>.
The slope of the linear best fit in Fig 3a decreases with increasing t<sub>w</sub>, thus indicating that the largest

327 landslides occur at the early stages of the evolution of the model, while the relative importance of smaller

landslides in the data series increases with  $t_w$ , thus lowering the mean value of landslide areas  $A_L$ . This aspect of the behavior of the system is well depicted in Fig. 3b, where we observe that  $A_L$  decreases with  $t_w$ , and that this decrease is higher for higher w. Since high values of w lead to large landslide areas, we can hypothesize that like in real systems, relatively smaller topographic adjustments occur in response to large landslides, thus decreasing the value of  $A_L$ .

The complementary of the cumulative frequency distribution of landslide areas obtained from the model for each *w* and  $t_w$  tested, along with their scaling properties, are shown in Fig. 4.

Overall, landslide areas increase with increasing w and vary from  $2 \times 10^3$  to  $2 \times 10^7$  m<sup>2</sup>, which are values 335 comparable with the range observed for real landslide areas (Pelletier et al., 1997; Guthrie and Evans, 2004; 336 337 Malamud et al., 2004), although the highest order of magnitude represented in most real datasets is of  $10^6 \text{ m}^2$ , while landslides obtained from the model reach  $10^7 \text{ m}^2$ . Such large landslides are not often present in 338 landslide inventories, since they require particular conditions in order to occur, that is, very high slope 339 340 gradients like those observed in deeply incised river valley, and high-intensity rainfall events (Korup et al., 2007). Moreover, particular structural settings may favor the instability of large slope portions. In terms of 341 342 slope gradients and rainfall intensity, these conditions match those of the system modeled. Indeed, the river valleys are up to  $70^{\circ}$  steep, and landslide areas with a magnitude of  $10^{7}$  m<sup>2</sup> are obtained when the highest 343 344 values for the rate of weakening are applied (w=2.5 and w=2.75), which according to the interpretation given 345 in Section 2.2, correspond to the highest intensities of the triggering event. Moreover, as explained above, 346 coalescent landslides are identified in the model as a single landslide, thus leading to larger areas.

The graphs in Fig. 4 show that the right tails of the frequency distributions of landslide areas always follow a power law trend (R > 0.99) (Eq.3).

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## $N \propto A^{-(D-1)}$ (3)

In Eq. 3, N is the number of landslides with area greater than or equal to A, and D is the scaling exponent.

The scaling exponents *D* range from 2.67 to 5.75, with uncertainty intervals at the 95% confidence level between 0.07 and 0.19. Overall, scaling behavior is observed in ranges of landslide areas from 0.6 orders of magnitude (Figs.4d and 4f: series obtained at 1,000 model steps) to 2 orders of magnitude (Figs.4b and 4c: series obtained at 2,000 and 1,000 model steps, respectively). Later in this section we will show that only some of the *D*-values obtained are in the range detected for real landslides.



Fig. 4 Complementary of the cumulative frequency distributions (*CFDs*) of landslide areas ( $A_i$  in m<sup>2</sup>) obtained with a) w = 0.5, b) w = 1, c) w = 1.5, d) w = 2, e) w = 2.25, f) w = 2.5, g) w = 2.75, for different time spans (1,000 model steps in red, 2,000 in green, and 5,000 in black). The dotted lines indicate the portions of the CFDs taken in consideration for the identification of the power law (dotted lines). For each power law the respective scaling exponent D is shown.

A flattening of the frequency distributions is observed when landslide areas are lower than  $10^4 \text{ m}^2$  (Figs.4a 365 and 4b), thus indicating that small landslides are less frequent than predicted by the power law. A deviation 366 367 from the power law at the smallest landslide sizes is also recognized in the CFDs obtained from real datasets. 368 However, in the real world small landslides show a specific statistical behavior that is not observed in our 369 CFDs: when non-cumulative frequency distributions are used, the interval corresponding to the smallest 370 landslide areas is characterized by an opposite trend, with positive slope, followed by a rollover above which 371 landslide areas start following the power law (Guzzetti et al., 2002; Guthrie and Evans, 2004; Malamud et 372 al., 2004). Such a rollover is not present in the outcomes of this model: non-cumulative frequency 373 distributions calculated for the same landslide data series for which the cumulative distributions are shown in 374 Fig. 4a and 4b, exhibit a flattening rather than a rollover for the smallest sizes of landslide areas. As 375 explained in Section 1, the rollover in real landslide inventories may be associated with a range of 376 explanations, such as an underestimation of small landslides (Stark and Hovious, 2001; Brardinoni and 377 Church, 2004), and the physics of processes controlling the occurrence of small landslides (Stark and Guzzetti, 2009, Milledge et al., 2014). In this regard, our model does not consider the physical parameters 378 379 and processes invoked to explain the frequency distribution of small landslides, and it cannot be affected by the resolution of the data sources of the landslide inventory either. This could explain why the CFDs 380 381 obtained do not exhibit a rollover. In our model, the only variable affecting landslide areas is the topography. 382 Thus, the flattening that we observe for these series at the smallest landslide areas is expected to be related to 383 the constraints represented by the topographic surface.

The first part of the frequency distributions obtained with *w* from 2 to 2.75 (Figs. from 4d to 4g) exhibits a behavior that it is not the same with the one from real landslide inventories. In particular, although the smallest sizes of these series are in a range at which scaling behavior is observed in nature, in this part of the *CFD* the number of the modeled landslides is higher than that predicted by the power law. The difference can again be related to the fact that the only constraint to model dynamics is represented by topography: as we deduced from Fig. 3, topographic adjustments occur in response to the large landslides caused by high rates of weakening, thus leading to a high number of slope failures with smaller area.

- 391 While model choices affect the first part of the area-frequency distributions, results indicate that the model is
- 392 capable of reproducing the scaling properties of real landslides, when specific values for the parameters of

393 the model are used. The values of D were compared to those observed for real landslide inventories by taking 394 as a reference the work by Van Den Eeckhaut et al. (2007), which provides an overview of the values of D395 observed for about thirty landslide inventories around the world, published in twenty-seven papers (please 396 refer to Van Den Eeckhaut et al. (2007) for the related bibliography). According to this paper, for real 397 landslide inventories the values of D range between 1.42 and 3.36, with many of them around 2.5. The 398 landslide inventories considered are both historical and post-event. Since like most CA models, the one 399 presented in this paper does not have a timescale, for the comparison of the model outputs with reality we 400 preferred not to refer to a specific type of inventory, but rather to include both post-event inventories and 401 historical ones, also considering that the main difference between historical and post-event inventories is 402 observed in the frequency distribution of small landslides, which is not the focus of this study, while in the 403 portion of the frequency distribution that exhibits power law scaling, the scaling exponent does not show any 404 specific behavior for the two types of datasets.

The comparison indicates that the power law decay of the modeled landslide areas is in accordance with that 405 of real landslide inventories for rates of weakening between 2 and 2.75 (Figs. from 4d to 4g). Indeed, in this 406 407 range of w the exponents are comprised between 2.47 and 3.26, while for lower values of w the exponent is too high compared to real values, thus indicating an underestimation of large landslides and suggesting that 408 409 although power law behavior is observed for all the w applied, only the highest rates of weakening among 410 those tested are capable of reproducing the action exerted by real landslide triggering events. The histogram in Fig. 5 shows the values of the D-exponent in literature. The D classes are 0.3 wide and the values in the x-411 412 axis represent the middle value of each class. Most of the real observations are in the D class from 2.4 to 2.6. 413 In Fig. 5, the arrow delimitates the range of D-exponents observed for the landslide series obtained from the 414 model, with rates of weakening w between 2 and 2.75. The comparison with literature shows that in this 415 range of w-values, the scaling behavior of landslide areas is well reproduced by the model: the scaling exponents of the modeled landslide series range from 2.5 to 3.2. 416

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Fig. 5 Comparison of the percentage frequency (F) of the values of D observed within each D class, in literature (Tab. 1 in Van Den Eeckhaut et al. (2007)) and for the landslide data series obtained with w from 2 to 2.75. The D classes are 0.3 wide.

In the next section we will show that the shape of the frequency distributions is not affected by the resolution of the DEM used, at least for the resolutions tested. This means that although the results presented in Fig. 4 correspond to landslide areas expressed in m<sup>2</sup> (based on the resolution of the original DEM of 25x25 m), the represented constraints exercised by topography on the landslide probability should correspond to a wider range of landslide areas than the one represented in the figure.

428 We studied the way the scaling exponents depend on (i) the rate of weakening w and (ii) time  $t_w$ . For this 429 analysis, all the values of w were used, although only those higher than or equal to 2 lead to scaling 430 exponents similar to the real ones (as shown above). This allows us to better explore the behavior of the system, which according to the results obtained and shown below and in the next sections, may be described 431 432 by mathematical rules that can be fitted to the whole range of rates of weakening w tested. Graphs a, b, and c in Fig. 6 show that for each  $t_{w}$ , D linearly decreases with an increasing rate of weakening w (R<sup>2</sup> > 0.98), thus 433 indicating that the faster the system is driven to instability the higher becomes the probability of large 434 435 landslides. The decrease is described by:

436

$$D = -m_D \cdot w + c_D \tag{4}$$

437 where  $c_D$  is a constant.

This result indicates that a possible cause affecting the probability of occurrence of real landslide sizes is the
rate at which the system is driven to instability, such as the rainfall intensity for rainfall triggered landslides.
Fig. 6d indicates that when the rate of weakening *w* is lower than or equal to 1.5, *D* does not significantly

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change over time. Conversely, for higher w, D slowly increases with  $t_w$ . However, the change of D over time is much lower than that produced by the rate of weakening: for  $t_w$  equal to 5,000 model steps, the maximum temporal change of D is of 0.4 (Fig. 6d), while in the same time window, the change of D with w is of about

444 2.7. This result will be discussed in section 7.

445



**446** Fig. 6 (a, b, c) For each  $t_w$  (1,000; 2,000; 5,000 model steps), *D* as a function of the rate of weakening *w*, and the respective linear best fit. (d) For each *w*, *D* as a function of  $t_w$ .

#### 448 4. Investigation of the effect of model choices and computational techniques

449 The model is based on a lattice of 320×320 cells, and the DEM used to define the altitude values of cells has a resolution of  $25 \times 25$  meters, thus implying that the smallest possible landslide in the model is of 625 m<sup>2</sup> 450 (i.e, when the instability involves only one cell). We investigated the ways in which these choices affect the 451 452 landslide area distribution, by keeping the same area as the initial surface for the model, but changing the 453 DEM resolution to 10×10 meters (the DEM was built by Tarquini et al., 2007, 2012). Accordingly, the 454 resulting lattice has a size of  $800 \times 800$  cells and the smallest possible landslide area is of  $100 \text{ m}^2$ . We used a 455 low (w=1) and a high value (w=2.75) among the rates of weakening w applied in the model: 1 and 2.75 456 (1,000 model steps were used for this comparison) and obtained similar results for both of them. Results The 457 outcomes of the model for w=2.75 are shown in Fig. 7.



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**460 Fig. 7** Portions of the cumulative frequency distributions (*CFD*) of landslide areas ( $A_i$ ) that can be described by power **461** laws (dotted lines) and their respective scaling exponents (*D*), for the series of  $A_i$  obtained with a DEM of 25×25 m **462** (lattice size of 320×320 cells) and with a DEM of 10×10 m (lattice size of 800×800 cells) ( $t_w$ =1,000;), with <u>a</u> rates of **463** weakening of (a) w = 1 and (b) w = 2.75: a1 and b1)  $A_i$  values as number of cells; a2 and b2)  $A_i$  values in m<sup>2</sup>.

465 GraphsFigure 7a)1 and b1 shows the power law fit of the *CFD* of landslide areas, with the latter expressed as 466 a number of cells, that is, without converting these values in  $m^2$ . For both w = 1 (Fig. 7a1) and w = 2 (Fig. 467 7b1), tThe range of landslide areas obtained from the model is about the same for the two DEMs used, while 468 the number of landslides is higher for the DEM of 10×10m. The scaling exponents *D* of the power laws 469 observed for the two DEMs are very similar, as well as their scaling ranges. This result shows that while the 20

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470 size of the lattice affects, as expected, the number of landslides (the higher the model size, the higher the 471 number of cells available to become unstable, and the higher the number of landslides), it does not affect the 472 shape of the distribution and the dynamics of the system. The same applies to the resolution of the original 473 DEM, which according to the results obtained does not produce any significant effect on the value of the 474 scaling exponent, for the two resolutions tested. This result suggests that the control of topography on the 475 size frequency distribution of the modeled landslides is the same at the two scales of analyses used, and this 476 may be explained by the scale-invariant character of topography (Frattini and Crosta, 2013). Accordingly, after converting landslide areas from number of cells to m<sup>2</sup> (Figs. 7b1 and 7b2) the only effect is a shift of 477 478 the power laws along the x-axis. As a result, while the range of the scaling regimes for the landslide series 479 obtained from the two DEMs are different, the values of their exponents do not change. This also indicates 480 that, for example (Fig. 7b2) a D-value of about 2.6 characterizes the scaling behavior of landslide areas in a range from about  $2 \times 10^5$  to  $10^7$  (i.e., from 5.3 to 7 in terms of logarithms of landslide areasFig. 7b), 481 482 considering the scaling ranges observed for both the DEMs.

These outcomes also suggest that the fact that the model does not accurately represent the first part of the frequency distribution of real landslides (Section 3) is not due to the scale of analysis but rather, as hypothesized in the previous section, due to the choice of topography as the main way of describing the spatial variability of the system.

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#### 488 5. Changes of the topographic surface modeled

The initial topographic surface is subjected to changes caused by the mass distribution occurring during the time window  $t_w$ . In the present section we investigate these changes focusing on different morphometric and geomorphological features of the landscape. We must remember that according to the dynamics of the model, these changes represent the evolution of an area only subjected to the action of the gravitational process and whose variability is only represented by topography.

494 5.1 <u>Topographic attributes</u>

495 Fig. 8 shows the difference in altitude between the final surface obtained at  $t_w = 5,000$  steps, and the initial one, for w equal to 1, 2 and 2.75, respectively. The difference is expressed in meters, according to the 496 497 altitude values of the original DEM. Red zones indicate a decrease in altitude (areas affected by erosion), while blue zones indicate an increase in altitude (areas affected by deposition). When w grows from 1 (Fig. 498 499 8a) to 2.75 (Fig. 8c), the difference in altitude increases. This is due to the observed property of the 500 frequency distribution of landslides, which indicates that for the same  $t_w$  the number of large landslides 501 increases with increasing rate of weakening. Consequently, the higher w the larger the change of the surface 502 configuration.





**Fig. 8** Difference in altitude between the final surface obtained at  $t_w$  equal to 5,000, and the initial one. a) w=1; b) w=2; c) w=2.75.

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508 In order to highlight the variation of specific topographic attributes a cross-section through the surface is 509 made (Fig. 1). The section is traced so as to cross the main ridges and valleys to highlight the evolution of 510 the slopes. Fig. 9 shows how specific topographic attributes change along the cross-section after an interval 511  $t_w$  equal to 5,000 model steps and with w = 2.75, i.e. the situation in which we observed the more pronounced topographic changes. Fig. 9a displays the initial and the final topographic profiles. The comparison of the 512 513 two profiles indicates that landslides that occurred over the time interval  $t_w$  cause a decrease of the altitude of 514 mountain ridges and the filling of valleys, thus producing a smoothing of the relief. The stronger smoothing 515 the altitude difference between the top and the bottom of the slope is lower, thus suggesting where the slope length is short, the material transfer due to landslides is more effective in changing the 516

topographic geometry. Fig. 9b shows the initial and the final profile curvature (*P<sub>c</sub>*) of the topographic surface
(Moore et al., 1991), which describes the curvature of the surface along the direction of the steepest gradient.
We chose this secondary attribute among those that may describe a topographic surface (e.g., slope angle,
planar curvature, aspect, roughness) because when a landslide occurs the geomorphic evidence consists of a
concave profile curvature between the crown and the main scarp and a convex profile curvature between the

522 foot and the toe of the mass involved.





**Fig. 9** Change of topographic attributes along the cross-section made in Fig. 1. The change is evaluated between the initial topographic surface and the final one, obtained at  $t_w = 5,000$  and with w = 1.5. a) Altitude; b) Profile curvature ( $P_c$ ) in  $10^{-2}$  m; c) Difference  $\Delta sl$  between the initial and final slope angle (in degrees).

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529 The curvatures were calculated using the algorithm in Spatial Analyst (ArcGIS10.0 © Esri), and are expressed in  $10^{-2}$  m. Positive  $P_c$  values indicate concave curvatures, while negative values indicate convex 530 ones. In the graph we observe that the  $P_c$  values of the final surface are closer to zero than those of the initial 531 532 one, thus describing a decrease of both the convex and the concave curvature. Moreover, in the profile of the 533 final curvature a general trend can be recognized, which consists in the shifting of the peaks corresponding to the maximum values of curvature toward lower values of linear distance (x-axis), compared to the peaks of 534 535 the initial curvature profile. This could be due to a slope decline evolution, where the decrease of the slope 536 angle is associated with a lateral movement of ridges and valley axes.

Fig. 9c displays the variation of the slope angle ( $\Delta sl$ ) of the surface, calculated as the difference between the final and the initial slope. Overall, a decrease of the slope angle is observed, up to a maximum of about 21°. However, some exceptions can be noticed. A positive  $\Delta sl$  corresponds to the medium and lower slope portions, where the moved mass increases the curvature and consequently the slope angle.

541 Overall, tThese results are in agreement with real-world observations, where landslides dampen local relief 542 removing mass from upper slopes and depositing it on lower slopes, thus producing a decrease of mean slope 543 relief and relief variability, of slope angles and of their standard deviation (Korup, 2006; Korup et al., 2010). 544 A more in-depth analysis of the change of the slope angles undergone by the relief will be addressed in 545 Section 5.2. The evolution of the surface modeled also highlights that although the rules of the model apply 546 to all cells of the lattice without discriminating between scar area, runout area and depositional area of landslides, this differentiation is intrinsically produced by the model. Indeed, the areas where we observe 547 548 erosion represent the scar areas where landslides are triggered, i.e. where the instability is generated. These 549 areas are located in the upper slope zones, which in real active mountain belts are the areas dominated by 550 landslide erosion (Montgomery and Brandon, 2002; Korup et al., 2007). For the middle slopes we did not 551 observe any significant change in altitude. Thus, they represent the runout areas of landslides where, in terms of the cells of the lattice, the instability is transferred from one cell to another but not generated. Finally, an 552 553 increase in altitude is observed in the lower slopes overlooking the toe of slopes, which thus represent the depositional areas affected by the accumulation of landslide bodies. 554

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5.2 <u>Statistical properties of the slope angles</u>

The topographic changes are driven by the dynamics of the model, which are controlled by the slope angles  $\beta$ of the area. In Fig. 9c we observed that like other topographic attributes, slope angles also change over time. We thus investigated the temporal evolution of the slope angles and their possible dependence on the rate with which the system is driven to instability, in order to compare the behavior of the surface with the one observed for the scaling exponents of the frequency distribution of landslide sizes.

For each rate of weakening w and number of model steps  $t_w$  (i.e., 1,000; 2,000; 5,000) we calculated the respective frequency distribution of  $\beta$  of the initial and the final topographic surface. Fig. 10 shows the noncumulative (Fig. 10a) and the cumulative (Fig. 10b) distributions of  $\beta$  for the initial surface and for those obtained with the maximum  $t_w$ , equal to 5,000 model steps. For clarity, in Fig. 10a only the frequency distributions corresponding to w = 1, 2.5 and 2.75 are shown, since they offer a good description of the behavior of slope angles with increasing w.

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**Fig. 10** Non-cumulative (a) and cumulative (b) frequency distributions of the slope angle  $\beta$  for the initial topographic surface and for those obtained at the maximum  $t_w$ , equal to 5,000 model steps, with w = 1, 2.5, 2.75, in graph (a), and with all the *w* applied in graph (b). **572** 

The initial frequency distribution of  $\beta$  (black symbols in Fig. 10a) is representative of the topographic setting of the area, which is characterized by steep river valleys and flat surfaces at the top of the slopes. Because of this, in the slope angle series the intermediate classes (between 12° and 37°) are less represented than they would be in a Gaussian distribution, in favor of the frequency of classes corresponding to low and high slope angles. Landslide occurrence changes the shape of the curve. In comparison with the initial frequency distribution, for each *w* tested we observe a decrease of the frequency of the angles higher than about 40° and 580 an increase of those lower than about 13° (Fig. 10a). Moreover, landslide processes emphasize the bimodal character of the initial topographic setting, and this is particularly evident at the highest rate of weakening 581 applied (w =-2.75, green series in Fig. 10a). The smoothing produced on the surfaces by landslides is still 582 more evident in the cumulative frequency distributions ( $CF_{\beta}$ ), where we observe that for each w the curve is 583 shifted toward lower values of  $\beta$ . In order to quantify these changes, we calculated for each frequency 584 distribution (thus considering all the  $t_w$  and not just  $t_w$ =5,000) the following statistical parameters: maximum 585  $(\beta_{max})$ , mean  $(\bar{\beta})$ , standard deviation  $(\sigma_{\beta})$ , kurtosis  $(k_{\beta})$ , skewness  $(sk_{\beta})$ . Figure 11 shows the change of 586 587 each statistical parameter in time. Also in this case, only results corresponding to some w are displayed (w =588 1, 2 and 2.75), for clarity purposes.





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**Fig. 11** Temporal change of the statistical parameters of the slope angle frequency distribution, for  $w = 0.5, 1.5, 2.75; t_w$ , number of model steps;  $\beta_{max}$ , maximum;  $\overline{\beta}$ , mean;  $\sigma_{\beta}$ , standard deviation;  $k_{\beta}$ , kurtosis;  $sk_{\beta}$ , skewness.

The overall temporal behavior of these parameters consists of a decrease of their value over time, although exceptions and some differences in the way these values decrease can be observed. The values of  $\bar{\beta}$  and  $\sigma_{\beta}$ show a similar trend described by a linear decrease of their value with increasing  $t_w$ . T and this decrease is steeperhigher when the weakening is stronger. The parameter  $\beta_{max}$  quickly decreases in the beginning (i.e., from t=0 to t=1,000) and then the decrease slows down. A similar behavior is observed for  $k_{\beta}$ , which is a 599 measure of the peakedness or flattening of the distribution, when compared to a normal distribution. The values of  $k_{R}$  indicate a leptokurtic distribution – that is, a distribution with a higher weight of tails 600 compared to a Gaussian distribution. Overall, also for this parameter the higher t<sub>w</sub> the lower the decrease of 601 value. The decrease indicates that the weight of the tails decreases and this can be observed in Fig. 10a, 602 for the right tail. A particular behavior is observed for  $sk_{\beta}$ : one can notice a slight asymmetry of the 603 distribution, which quantifies the asymmetry of the distribution. Its temporal evolution depends on w-F: 604 605 for w equal to 0.5, the parameter decreases over time, while for w values of 1.5 and 2.75 there is an initial 606 decrease followed by an increase of the value. This increase is due to the fact that We interpret this 607 difference as follows: the change in topography takes place at a faster rate for higher w-values, while the 608 decreasing trend of  $sk_{z}$  for w = 0.5 may be due to the fact that the system cannot manifest over the maximum  $t_{w}$  of 5,000 model steps the increasing trend observed for the other values of w. Accordingly, to 609 this hypothesis and in agreement with Fig. 10a, the initial decrease of the asymmetry is due to the difference 610 611 between the decrease of the frequency of high  $\beta$  values and the increase of the frequency of low  $\beta$  values, 612 while the subsequent increase of the asymmetry is mainly due to the increase of the relative importance of 613 the lower  $\beta$ , over time. The values of  $\overline{\beta}$  and  $\sigma_{\mu}$  show a similar trend described by a linear decrease of their value with increasing  $t_{u}$ . This decrease is steeper when the weakening is stronger. 614

The values of the statistical parameters of slope angles of the final topography also depend on the rate of weakening. In particular, we have found that  $\bar{\beta}$  and  $\sigma_{\beta}$  are linearly linked with *w* according to the following equations:

(5)

(6)

$$\bar{\beta} = -m_{\bar{B}} \cdot w + c_{\bar{B}}$$

$$\sigma_{\beta} = -m_{\sigma} \cdot w + c_{\sigma}$$

where  $m_{\bar{\beta}}$  and  $m_{\sigma}$  are the angular coefficients of the best fit lines and  $c_{\bar{\beta}}$  and  $c_{\sigma}$  are constants, which depend on  $t_w$  ( $\mathbb{R}^2 \cong 0.99$  for  $\bar{\beta} = f(w)$  and  $\mathbb{R}^2 \ge 0.97$  for  $\sigma_{\beta} = f(w)$ ). The relationships are illustrated in Fig. 12. According to Eqs. 5 and 6, the higher the rate of weakening the lower the values of  $\bar{\beta}$  and  $\sigma_{\beta}$  of the final surface - that is, the higher the change of the topographic surface caused by landslides.



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**Fig. 12** For each  $t_w$  (1,000; 2,000; 5,000 model steps), (a) mean  $\bar{\beta}$  and (b) standard deviation  $\sigma_{\beta}$  of slope angles as a function of the rate of weakening *w*, and their respective linear best fit lines.

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#### 628 6. Relation between topographic changes and scaling properties of landslide sizes

In this section the relationship between topographic changes and the statistical behavior of landslide sizes isinvestigated.

We observed that landslide phenomena produce a smoothing of the topographic surface, which results in a 631 632 decrease of the main statistical parameters of the frequency distribution of  $\beta$ , in time (Fig. 11). Unlike  $\beta$ , the scaling exponent D of the frequency distribution of landslide does not show any specific trend over time 633 634 (Fig. 6d). Thus, the probability of landslide sizes and the changes undergone by the topographic surface exhibit different types of behavior over time. Instead, we observed that they manifest similar dependence on 635 636 the rate of weakening w. In particular, we found that the scaling exponent D, the mean  $\bar{\beta}$  and the standard 637 deviation  $\sigma_{\beta}$  of slope angles linearly decrease with increasing w (Figs. 6a, 6b, 6c and 12). Thus, by 638 substituting in turn Eqs. 5 and 6 in Eq. 4 we obtain:

$$D = m_1 \cdot \overline{\beta} + c_1 \tag{7}$$

$$D = m_2 \cdot \sigma_\beta + c_2 \qquad (8)$$

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643 where  $m_1 = m_D/m_{\bar{\beta}}$  and  $m_2 = m_D/m_{\sigma}$  are the angular coefficients of the best fit lines, and  $c_1$  and  $c_2$  are 644 constants. Fig<u>ure</u>: 13 shows the same result obtained by plotting, for each *t*, *D* as a function of  $\bar{\beta}$  (Fig. 13a) and  $\sigma_{\beta}$  (Fig. 13b) obtained for the same *w*. The best fit lines have  $R^2 \ge 0.95$  and  $R^2 \ge 0.94$ , in Fig.13a and 13b, respectively.

This result indicates that at each time span  $t_w$ , the scaling exponent D that characterizes the probability of 647 648 landslide sizes is linearly related, with a good approximation, to the values of the statistical parameters of the 649 slope angles of the topographic surface where landslides occurred. In particular, the positive correlation of D 650 with  $\bar{\beta}$  and  $\sigma_{\beta}$  respectively, shows that an increase of w (i.e., moving from the right extreme of the linear best 651 fits to the left in Fig. 13) produces a decrease of D and thus an increase of the probability of large landslide 652 sizes, which is linearly related to the decrease of the mean and standard deviation of the slope angles of the 653 final surface. In other words, the statistical parameters of the modeled topography preserve information 654 about the probability of landslide sizes that occurred during a specific  $t_w$  and under the action of a specific w.



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**Fig. 13** For each  $t_w$  (1,000; 2,000; 5,000 model steps), *D* as a function of (a) the mean  $\bar{\beta}$  and (b) the standard deviation  $\sigma_{\beta}$  of slope angles of the topographic surface, and their respective linear best fit. For each point, *w* indicates the value of the rate of weakening at which *D*,  $\bar{\beta}$  and  $\sigma_{\beta}$  were obtained.

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Although we observed that the way the system reacts in terms of landslide sizes and topographic changes
depends on the way it is driven to instability (i.e. the configuration of the system changes as a function of the

external forces acting on it), tThe Eqs. 4 to 8 indicate that the way the values of D,  $\beta$  and  $\sigma_{\beta}$  change with w 662 may be described by linear mathematical laws, which respectively work for all the time spans  $t_w$  tested and 663 for which only the value of the linear fit parameters of the equation (slope and intercept) are different for the 664 different  $t_w$ . Both in Figs. 13a and 13b we observe that going from  $t_w = 1,000$  to  $t_w = 5,000$  the steepness of 665 the best fit lines decreases; that is, the angular coefficients  $m_1$  and  $m_2$  of Eqs. 7 and 8 decrease over time. 666 667 This result indicates that the way D is linked to the topographic change depends on the time span. In the next 668 section we discuss outcomes, implications and limitations of the results obtained, including time-related 669 aspects.

670

### 671 7. Discussion

The frequency distribution of landslide sizes characterizes the probability of landslide of a given magnitude. A property of this distribution identified in many landslide datasets around the world is the characteristic power-law decay of the frequency from medium to large sizes. Although small slope failures are the most frequent ones in landslide datasets, larger landslides represent the main hazard in terms of associated risk.

Despite its simple structure, the cellular automata model proposed in this paper has shown to be capable ofreproducing key features of landslide processes related to the occurrence of medium to large slope failures.

678 First, the distribution of landslide areas exhibits the typical scaling properties of real landslides, and a good 679 agreement is observed for the values of the scaling exponents when a specific range of values is used for the parameters of the model. Given that in the model the topographic variability is the only component affecting 680 the evolution of the system, this result suggests that the scaling properties of medium to large landslides 681 682 could actually arise due to topography, thus supporting the conclusions of Frattini and Crosta (2013), who hypothesized that the scaling behavior of landslide sizes could find an explanation in the scaling properties 683 684 of topography. Furthermore, the comparison of the frequency distributions of landslide areas obtained by 685 using DEMs with different resolutions for the same initial topographic surface showed that neither the shape of the probability distribution nor the value of the scaling exponent are significantly affected by the change 686 687 from one resolution to another. This indicates that the constraints imposed by topography on the probabilities 688 of landslide areas are about the same at the investigated spatial scales, of 10 m and 25 m, respectively. 689 Moreover, we observed that although the model does not use specific rules to distinguish between the 30
690 processes of erosion, transport and deposition of landslides as other models do (Guthrie et al., 2008), these 691 different parts of landslides may be recognized in the resulting topography. In particular, we observed that 692 <del>areas are located in the upper slopes, runout areas in the middle slopes, and depositional areas in the</del> lower slopes, in accordance with the natural behavior of landslides, which produce a smoothing of the relief. 693 694 Also, we found that landslide areas in the model increase with increasing rate of weakening. This result 695 indicates that large landslides are more abundant when the intensity of the triggering mechanism is high, in 696 agreement with findings from real geographic contexts (Saito et al., 2014). These similarities suggest that 697 other properties observed for the model and discussed below may also describe properties of real systems.

698 We found that the scaling exponent of the landslide area frequency distribution linearly decreases with 699 increasing driving rate, thus indicating that the faster the system is driven to instability the higher becomes 700 the probability of large landslides. This result supports the hypothesis of Piegari et al. (2009), who conclude 701 that the frequency-size distribution of landslides is controlled by the rate of approaching instability more than 702 by the type of triggering mechanism per se. This could actually explain why landslide inventories generated 703 for different triggering mechanisms, like rainfall and snowmelt, exhibit similar frequency-size statistics of 704 landslides (Pelletier et al., 1997; Malamud et al., 2004). Additionally, our results suggest that the value of the 705 scaling exponent is controlled by the way the topographic variability characterizing the area combines with 706 the temporal effectiveness of the mechanism generating instability. A behavior similar to that of the scaling 707 exponent was observed for the mean and standard deviation of the local slope angles of the surface, which 708 under the action of landslides linearly decrease with increasing rate of weakening. Moreover, we observed 709 that for the same driving rate, the value of the scaling exponent does not significantly change in time, 710 contrary to what happens for the main statistical values of the slope angles of the surface, which show a 711 decrease over time. Finally, we found that for a given time window, the scaling exponent of landslide areas, 712 the driving rate, and the changes of the topographic setting are related to each other. In Section 2.2 we 713 explained that the rate of weakening w in the model may represent, for example, the rate of snow melt or the 714 intensity of rainfall, or more generally, the temporal effectiveness with which the triggering mechanism weakens the soil, such as the temporal increase of the pore pressure by water, under the assumption of 715 716 homogeneous soil properties. While in the model  $t_w$  is the sum of both the weakening steps and the 717 landsliding steps, and although  $t_w$  does not have a characteristic scale length, the higher  $t_w$  is, the wider is the

718 time window during which the system is driven to instability. Thus, a higher  $t_w$  represents a longer application of the triggering mechanism in real systems. With reference to rainfall, it has been widely shown 719 720 that the triggering of landslides can be related to rainfall intensity-duration thresholds (or analogously, cumulated rainfall - rainfall duration thresholds) (Guzzetti et al., 2007; Peruccacci et al., 2012, Salciarini et 721 722 al., 2012). Our results suggest that for an area of given topography, while this threshold governs the 723 triggering of landslides, the probability of landslide areas depends on the intensity of the triggering 724 mechanism and is rather insensitive tomore than on its duration, which mainly affects the number of 725 landslides. Indeed, we found that the value of the scaling exponent is much more sensitive to the rate of 726 weakening than to time (as shown in Fig. 6). Conversely, what we found to be strongly time-dependent is the 727 footprint left on the topographic surface by landslides. In the real world, for every rainfall event that exceeds 728 the threshold (that is, when the intensity duration conditions for the triggering of landslides are satisfied), the longer the duration of rainfall the higher the number of landslides triggered. Consequently, the longer the 729 730 duration of the triggering mechanism, the more pronounced the topographic change of the topographic surface, caused by landslides. Interestingly, we found Moreover, we observed that the topographic setting of 731 732 the area modeled preserves the information concerning the statistical distribution of landslide areas caused 733 by a triggering event of given intensity and duration: based on the equations established above (Eqs. 7 and 734 8), by studying the topographic change of the modeled topography in the model, it would be possible to go 735 back to the scaling exponent of the frequency distribution of landslide areas that caused that change. This result opens up new potentially fruitful perspectives in the field of landslide forecasting. Indeed, while a 736 numerical model is not meant to describe in detail real processes, given the correspondences observed 737 between the behavior of the model and that of real systems, it is possible to hypothesize that a similar 738 739 behavior could be observed in nature.

Some critical considerations must be added to the above. The model does not take into account river erosion and uplift, which are processes that allow for the rejuvenation of the system (Pucci et al., 2014) and landslide triggering. However, studies have shown that landslide erosion is not only the way in which hillslopes adjust in response to river channel incision. Rather, it plays an active role in shaping the landscape also independently of river processes and as a consequence of triggering mechanisms like rainfall (Korup, 2010; Reinhardt et al., 2015; Singh et al., 2015), and this role is mainly effective on smaller timescales (Korup, 746 2010). Thus, the choice whether or not to consider fluvial processes in the model should not affect the747 possibility to represent landslide dynamics and to investigate the scaling properties of this phenomenon.

748 Uplift is a long-term driving factor for landslide processes. Ignoring this process in the model implies that if we left the topographic surface free to evolve for a much larger number of model steps it would eventually 749 750 become an almost flat surface. According to such a scenario, the surface would reach a maximum slope 751 gradient equal to the one below which cells are always stable. This situation is not plausible in a dynamic 752 geomorphological context affected by landslides. However, studies have shown that the rate of erosion by 753 rivers and slope failures is regulated by the way the rate of uplift and the rate of precipitation interact with 754 each other. Various scenarios have been described, where depending on the relative changes in uplift and 755 precipitation the landscape evolves in different ways and with different erosion rates and mechanisms 756 (Bonnet and Crave, 2006). The different types of system behavior have been described by defining, for example, specific uplift thresholds, which characterize the type of process that dominates the mountain range 757 758 evolution, where slope failures occur in response to the rise of the surface (Ouchi, 2011, 2015). As explained above, in our model the driving rate could be thought of as representing the intensity of the landslide 759 760 triggering mechanism, assuming constant intensity over time, and the higher the number of model steps, the longer the application of the triggering mechanism. Accordingly, although the model does not use a 761 762 characteristic timescale, results from the model must be interpreted in the light of the possible maximum 763 realistic duration of a triggering event, which can range from several days to several months depending on 764 the climate of the area. Thus, we are studying the properties of the scaling behavior of landslides that 765 occurred during one and the same erosion event, which happens in response to uplift - that is, the erosion 766 operated by landslides in response to a rise of the topographic surface, which allows the equilibrium to be 767 restored. In this context, while uplift affects the long-term evolution of landforms, for the single erosional 768 event it only represents the underlying cause. Based on these considerations, it is reasonable to consider that 769 the properties observed for the scaling behavior of landslides ean-could\_actually describe real properties of 770 landslide processes. This idea is also supported by real-world studies, which found scaling properties in landslide datasets compiled both for long time spans and after a single triggering event (Guzzetti et al., 2002; 771 772 Guthrie and Evan, 2004; Malamud et al., 2004), thus suggesting that the scale-invariance of landslides does

not appear in the system only as a consequence of its long-term evolution, but rather manifests itself in alandscape, whose configuration is the result of its evolutionary history.

Although ignoring uplift does not allow us to draw clear conclusions about specific aspects of the long term 775 776 system dynamics, some considerations can be made. All the mathematical relationships that we found are 777 time independent; time only affects the value of their parameters. This means that the properties observed for landslides in the model do not have a characteristic timescale. In the case of real landscapes, experimental 778 779 studies suggested that topography advances toward a dynamical steady state (Bonnet and Crave, 2003; Lague et al., 2003), which arises in space time scale invariant dynamics (Reinhardt et al., 2015; Singh et al., 2015). 780 Consequently, considering the time independence of the laws derived, we hypothesize that the properties 781 782 served could also describe the long term dynamics of landslide processes. As for the possible SOC 783 behavior of landslides, in Fig. 6d we observed that at the lowest rates of weakening, that is, at the rates at 784 which the change of topography caused by landslides is low, the scaling exponent is nearly stable over time. 785 Conversely, at the highest rates of weakening corresponding to the most ample changes in topography, a change of the exponent trough time is observed. In summary, small topographic changes lead to small 786 787 temporal changes in the scaling exponent, while more significant transformations in topography are 788 associated with major variation in the values of the scaling exponent. This result indicates that the behavior 789 of the model does not exhibit SOC dynamics, and this is due to the fact that in the model rejuvenation 790 processes such as uplift are neglected, thus implying that in the model, topography cannot tend toward a 791 dynamical steady state, unlike what has been hypothesized for topography in nature (Bonnet and Crave, 2003; Lague et al., 2003). 792

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#### 794 8. Conclusions

The cellular automata model (CA) proposed in this paper is capable of reproducing the power-law decay of the probability distribution of real landslide areas for a range of model parameter values. In analogy with the CA model by Hergarten and Neugebauer (2000), who firstly used a time-dependent variable in a CA model, our results confirm the key role that the temporal rate of weakening exerts in landslide dynamics. Model outputs provide insights into the variability of the scaling exponents observed in reality, indicating that the power-law scaling of medium to large landslide areas results from the interplay of the topographic spatial 34 801 variability and the rate at which the system is driven to instability, which in the real world may be thought of 802 as representing, for example, rainfall intensity. The fundamental difference between this model and the 803 previous CA models used to study the frequency distribution of landslide areas consists of the topographic 804 control of both the displaced mass and instability direction; our results point to topography as a major 805 controlling factor in the probability of landslide sizes. Although the spatial variability of a real system is due 806 to the combination of many interdependent factors, it is worth noting that the correspondence between the 807 model outcomes and real landslide sizes is obtained by considering topography as the only factor defining 808 the spatial variability in the system modeled. This result is consistent with the fact that the shapes of the landscape are dependent on geological and structural aspects of the relief, which constrain the type of the 809 810 physical processes modeling the surface. To conclude, topography seems to be a good candidate to explain 811 the scaling properties of medium to large landslide sizes, thus supporting with numerical evidence hypotheses made in previous studies (Frattini and Crosta, 2013). 812

- Moreover, according to our results, the modeled topography not only provides explanations for the power law decay of landslide sizes, but also conserves the information about the scaling exponent of the probability distribution of areas of landslides that caused changes in its characteristics.
- 816 Incorporating rejuvenation processes like uplift and river erosion in the model could support the further study
- 817 of long term landslide dynamics, as well as the possible SOC behavior of these processes.
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#### 820 <u>Acknowledgments</u>

- 821 The authors would like to thank the reviewers for their useful input and their constructive criticism.
- 822
- 823

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2	A cellular automata modeling approach
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### 23 Abstract

Power law scaling has been widely observed in the frequency distribution of landslide sizes. The exponent of 24 the power-law characterizes the probability of landslide magnitudes and it thus represents an important 25 26 parameter for hazard assessment. The reason for the universal scaling behavior of landslides is still debated 27 and the role of topography has been explored in terms of possible explanation for this type of behavior. We 28 built a simple cellular automata model to investigate this issue, as well as the relationships between the scaling properties of landslide areas and the changes suffered by the topographic surface affected by 29 30 landslides. The dynamics of the model is controlled by a temporal rate of weakening, which drives the system to instability, and by topography, which defines both the quantity of the displaced mass and the 31 32 direction of the movement. Results show that the model is capable of reproducing the scaling behavior of real landslide areas and suggest that topography is a good candidate to explain their scale-invariance. In the 33 34 model, the values of the scaling exponents depend on how fast the system is driven to instability; they are 35 less sensitive to the duration of the driving rate, thus suggesting that the probability of landslide areas could 36 depend on the intensity of the triggering mechanism rather than on its duration, and on the topographic 37 setting of the area. Topography preserves the information concerning the statistical distribution of areas of 38 landslides caused by a driving mechanism of given intensity and duration.

39 <u>Keywords</u>: Landslide area; Topography; Cellular automata; Scaling

40

#### 41 **1. Introduction**

Landslide occurrence is controlled by the interaction of many factors, such as geology, topography, hydrology, land use and climate. These factors affect both the proneness to slope failures and the type and magnitude of landslides. However, regardless of the local characteristics, it has been widely shown that landslide patterns (Goltz, 1996; Liucci et al., 2015) and the frequency distribution of landslide areas and volumes exhibit scaling properties (Malamud and Turcotte, 1999; Stark and Hovius, 2001; Guzzetti et al., 2002, Martin et al. 2002; Brardinoni and Church, 2004; Guzzetti et al., 2005; Korup, 2005; Brunetti et al., 2009). In particular, landslide sizes follow a power law with negative scaling exponent, which can also be similar for landslides triggered by different mechanisms (Pelletier et al., 1997; Malamud et al., 2004;
Hergarten, 2013). This trend is found from medium to large landslide sizes, while an opposite trend is
identified at smaller sizes. Several models have been built to investigate this behavior and hypotheses have
been discussed that the scaling properties of landslides could arise in Self-Organized Critical dynamics
(Malamud and Turcotte, 1999; Hergarten, 2003, 2013).

According to the work by Van Den Eeckhaut et al. (2007), who reviewed the values of the scaling exponent observed for about thirty landslide datasets around the world, the exponent of the non-cumulative frequency distribution of landslide areas ranges between 1.42 and 3.36.

57 Compared to regolith landslides, rockfalls exhibit, on average, smaller scaling exponents (Malamud et al. 58 2004, Brunetti et al., 2009), and this could depend on the physics of processes leading to rockfalls, which are 59 different from those responsible for regolith landslides (Malamud et al., 2004). The comparison between the 50 scaling behavior of these two types of mass movement commonly takes into account the mobilized volumes.

61 The understanding of the factors controlling this power law decay and the value of the scaling exponent is of 62 much interest, since it would provide valuable information concerning the probability of occurrence of 63 landslides of different magnitudes. Several studies suggested possible explanations for the characteristic shape of the landslide frequency distribution and for the factors responsible for landslide sizes. Katz and 64 65 Aharonov (2006) induced landslides in a vibrating box of cohesive sands through the application of both 66 horizontal and vertical acceleration. The analysis of the frequency-size distribution of the generated 67 landslides showed that the power law behavior observed for medium to large sizes is due to the strength 68 heterogeneity of the material caused by the fracture systems that form in response to the acceleration applied. 69 Lehmann and Or (2012) used a hydromechanical physically based hillslope model inspired by concepts of 70 Self-Organized Criticality (SOC) (Bak et al., 1988), to study the frequency distribution of rainfall-induced 71 shallow landslide volumes. They observed that root reinforced soils and high slope angles lead to smaller 72 values of the scaling exponent of landslide volumes, while soil textural class and rain intensity have less of 73 an impact on its value. Conversely, the work by Alvioli et al. (2014) showed that the shape of the frequency 74 distribution for medium to large landslides changes with rainfall intensity and rainfall duration, for given 75 geotechnical parameters. Frattini and Crosta (2013) observed that topography exhibits power law scaling 76 with a rollover at smaller scales, similarly to what was observed for landslide size-frequency distributions,

77 and that the scaling exponent of the frequency distribution of areas of patches (triangular units used to tile 78 the topographic surface) increases with the slope gradient of relief. This indicates that topography is 79 characterized by a low number of large areas with high slopes. They conclude that the low number of large 80 patches with a slope gradient high enough to have slope failure causes an increase of the scaling exponent of the frequency distribution of landslides compared to the case of unlimited availability of high-slope patches. 81 However, the investigation of synthetic landslide inventories showed that the main factor controlling the 82 83 scaling exponent of landslide sizes is the variation of the geotechnical properties with depth. Katz et al. 84 (2014) investigated the possible factors controlling the size and geometry of an individual landslide through 85 the use of a numerical model. They hypothesized that the size of small landslides is controlled by the amount of material disintegrated by pre-sliding rupture processes, which in turn is controlled by the peak strength of 86 87 the material and by the slope angle, while the size of medium to large landslides is not necessarily related to 88 material disintegration and is mainly affected by the preexisting discontinuity setting. Milledge et al. (2014) 89 proposed a slope stability model to predict the size of shallow landslides. They suggested that the low 90 number of small landslides observed in real inventories and their size depend on the so called 'critical area', 91 defined as the minimum area necessary to overcome resistive forces like friction and (when present) 92 cohesion and thus to become prone to failure. The critical area is controlled by the critical failure depth, 93 which is the depth at which the critical area is minimized, and in both cohesion and cohesionless soils it is 94 affected by the position of the water table, which thus indirectly controls landslide sizes. They also found 95 that the critical area closely corresponds to the peak of the frequency distribution of landslide areas on the reference site. This peak delimitates the rollover that marks the transition from the part of the frequency 96 97 distribution corresponding to small landslide areas and characterized by positive slope, to the part corresponding to the medium to large landslide areas, which follows a power law with negative exponent 98 99 (Guzzetti et al., 2002; Guthrie and Evans, 2004; Malamud et al., 2004). There is a wide debate about the 100 reasons for the rollover. A possible explanation is an underestimation of small landslides because of the 101 resolution of the original data sources used to build the dataset (Stark and Hovious, 2001; Brardinoni and 102 Church, 2004). For example, raster data with a certain spatial resolution do not allow us to identify landslides with areas lower than the resolution of cells. Moreover, erosional processes quickly remove the fingerprint of 103 104 small landslides (Guzzetti et al., 2002) - the level of conservativeness of landforms increases with their size.

105 Another possible explanation for the low number of small landslides concerns the geomechanical properties 106 of soil and their relative importance in the rupture mechanism, which depends on the scale at with the 107 process occurs (Stark and Guzzetti, 2009). Another category of models widely applied to the study of the 108 dynamics of such natural phenomena is that of cellular automata (CA) models. A cellular automaton is a 109 discrete numerical model, in which the studied system is discretized in cells. Each cell is characterized by a state representing one or more physical properties. The states of cells are evaluated and updated at discrete 110 111 time steps according to rules that concern the states of the neighboring cells. One can then study the overall 112 behavior of the system in space and time as an effect of local interactions. One of the strengths of these 113 models stems from their capability of reproducing the complexity of real world patterns by using a small number of input parameters and by reducing processes to simple rules, capable of fruitfully describing their 114 115 dynamics. Although in reality the dynamics are quite more complex and the factors involved are many, in CA models complex patterns emerge from simple rules (Wolfram, 2002); that is, they manifest emergent 116 117 behavior (Bonabeau et al., 1995) just like complex natural systems do.

Two pivotal CA models are the Bak-Tang-Wiesenfeld model (Bak et al., 1988) and the Olami-Feder-118 119 Christensen model (Olami et al., 1992). The former, known as 'sandpile model', describes the behavior of a system subject to constant input that drives the system to instability: the equivalent of adding grains to a sand 120 pile causes local instabilities that may propagate throughout the system, in a chain reaction, as a function of 121 122 local states, producing scale invariant features both in space and in time. Constant input is thus leading to 123 outputs in a wide range of sizes, corresponding to a distribution governed by a power law. The second one belongs to the group of CA spring-block models and it was built to study earthquake dynamics. In this 124 model, cells represent blocks connected with each other through springs. In its theoretical formulation, 125 blocks are also connected to a rigid driver plate, slowly moving, thus increasing the forces acting on the 126 127 blocks until one (or some of them) exceeds the static friction and becomes unstable. When the block 128 becomes unstable it is displaced, possibly initiating a chain-reaction involving neighboring cells. The OFC 129 model is considered as a paradigm for non-conservative SOC because it involves dissipation: the potential 130 energy gradually accumulated in the springs is partially transferred to the driver plate, while a part of it is 131 lost from the system.

Like other phenomena, landslides seem suitable to be treated as avalanche processes. For slides occurring on slopes of overconsolidated clay and clay shales, the development of a sliding surface follows a mechanism of progressive slope failures (Bjerrum, 1967): the instability starts in a small region and destabilizes the neighborhood, thus allowing the instability to propagate. Moreover, the behavior of CA models can be thought of as a self-similar inverse cascade (Turcotte et al., 2002), and this idea can be fruitfully applied to landslides by considering the cascade as a coalescence of metastable regions: small failures coalesce to form a large failure plane.

139 Attempts have been made to apply the sandpile model (Bak et al., 1988) and the OFC model (Olami et al., 140 1992) to landslides, but results showed that none of them works on a quantitative level if the surface gradient 141 is the only parameter used to describe the state of cells in the model (Hergarten, 2003). Hergarten and 142 Neugebauer (2000) presented a new type of model, which introduces a second variable to the one describing 143 the state of cells. The second variable represents a time-dependent weakening, and when the model is applied 144 to landslides it consists of a temporal decrease of the stability slope threshold of each site. The rate of 145 weakening can be introduced in different ways in the stability criterion, for example as a sum approach or as 146 a product approach. When the product approach is used, the model shows SOC behavior and the scaling 147 exponent observed is in agreement with values observed for real landslides. Thus, when a second variable is 148 introduced to describe slope stability, results improve.

149 The idea of a two-variable model was also applied by Piegari et al. (2006, 2009). Their model uses the 150 inverse of a factor of safety as a dynamic variable describing the state of cells, while a second parameter drives the system to instability, which in practice is equivalent to the time-dependent weakening of 151 152 Hergarten and Neugebauer (2000). In their model, the instability of cells is partly lost from the system, 153 which means that unlike previous landslide models the system is non-conservative, in analogy with the non-154 conservative case of the OFC model. A good correspondence with real frequency-size distributions is 155 obtained when a specific level of conservation and driving rate are used, and after spatially scaling the 156 model. They conclude that the frequency-size distribution of landslides is controlled by the rate of 157 approaching instability more than by the triggering mechanism. Hergarten (2013) points out that the introduction of a degree of dissipation represents a tuning parameter for the model, whose value cannot be 158 conceptually interpreted based on physical arguments. 159

160 Both the CA by Hergarten and Neugebauer (2000) and by Piegari et al. (2006, 2009) describe landslides on 161 an individual slope. However, as shown by Frattini and Crosta (2013), topography is a key factor affecting 162 landslide sizes. The important role of topography in slope failure occurrence is also highlighted by landslide susceptibility analyses, which find the slope gradient to be a predominant factor in causing the instability of 163 an area (Lee and Min, 2001; Ayalew and Yamagishy, 2005). More generally, the setting of the topographic 164 surface plays a major role in all the geomorphological processes acting on the landscape. Topography is not 165 166 a static property of an area. A topographic surface changes as a consequence of the processes acting on it and 167 in turn it affects the dynamics of most of these processes. A large number of landscape evolution models aim 168 to describe these mutual interactions (a recent review of these models is given by Chen et al., 2014), and the 169 factors mainly considered are the tectonic uplift, the fluvial erosion, and the gravitational processes. 170 Topography also implicitly contains information concerning the lithology and the structural aspects of the area, since the geological properties constrain the resulting landforms (Taramelli and Melelli, 2009; Melelli 171 172 et al., 2014). Consequently, the variability of the topographic surface also reflects the variability of many 173 other parameters and it can thus be considered representative of the specificities of an area.

The changes that the topographic surface incurs over time could play a key role in the explanation for the statistics of landslide sizes (Hergarten, 2013). This paper focuses on this specific aspect of landslide dynamics, in order to contribute to the understanding of the scaling properties observed for medium to large landslides. In particular, we explore the possible relationships between landslide scaling properties and the changes in topography, which to the authors' knowledge, represents a new contribution to the existing literature on this topic.

180 To this purpose, we use a cellular automata (CA) model. In the model, we consider the gravitational process 181 as the only mechanism shaping the landscape, and the topographic surface as the only parameter defining the 182 variability in the initial conditions. Given that the model does not take into account the subsoil and structural 183 geology, it refers to shallow landslides involving the regolith layer of the slope, and triggered by moisture 184 increase. Its basic structure is similar to the one proposed by Hergarten and Neugebauer (2000), which is also 185 used in the non-conservative CA model by Piegari et al. (2006, 2009). The model dynamics is driven by two variables: a temporal rate of weakening and a variable describing the state of cells. However, the 186 fundamental difference between the model proposed here and those models consists of the predominant role 187

of topography in the evolution of the system and in landslide dynamics, since topography is decisive for both
the displaced mass and the instability direction. Moreover, conversely to the model by Piegari et al. (2006,
2009), this model is based on the transfer of mass and thus it is conservative.

The steps involved in this work consisted in: *i*) building the CA model (described in Section 2); *ii*) investigating the frequency distribution of landslide areas resulting from the implementation of the model starting from a topographic surface (Section 3 and 4); *iii*) qualitatively and quantitatively investigating the changes undergone by the topographic surface (Sections 5); *iv*) exploring the possible relationships between the scaling behavior of landslide areas and the changes in topography (Section 6). Section 7 discusses the results and their implications in terms of landslide dynamics, the limitations of this study, and possible future developments.

#### 198 2. A cellular automata model for landslides

#### 199 2.1. <u>Structure of the model</u>

200 The cellular automata model presented in this study was designed and written by the authors using the 201 Matlab® software. It consists of a square lattice of square cells. Each cell is characterized by an altitude 202 value, which can change during the evolution of the model through local interactions between neighboring 203 cells. The initial state of the system is represented by the altitude values acquired from the Digital Elevation 204 Model (DEM) of a real area. The lattice has a size of  $320 \times 320$  cells, while the original DEM corresponds to 205 an area located in the Umbria region (central Italy) and has a cell size of 25x25m. The area represents a 206 mountainous morphology characterized by steep river valleys with slopes up to about 68° and flat surfaces at 207 the top of the slopes. Overall, the area exhibits low drainage density and wide interfluve areas. The 208 maximum altitude is of 1,412 m a.s.l (Fig. 1). We would like to specify the fact that it is not our objective to 209 study landslide phenomena in this specific area. Rather, we use a real DEM in order to represent the natural 210 variability of topographic surfaces, which has been shown to possess self-affine statistics over a wide range of scales (Turcotte, 1997). The advantage of using a real topography instead of a synthetic self-affine surface 211 is that the latter typically lacks some important features of the earth's surface, such as river valleys and 212 213 morphological shapes resulting from a variety of processes, including tectonics (Hergarten, 2013). Moreover, 214 real topographic surfaces exhibit deviations from scale invariance (Evans and McClean, 1995).



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Fig. 1 DEM of the area used as initial topographic surface in the CA model. The black line indicates the cross-section of
 profiles shown in Fig. 9.

219 The stability criterion for the cells is based on the local slope angle. The slope angle  $\beta_c$  of each cell c is defined as the maximum slope gradient between the cell and its eight Moore neighboring cells (Wolfram and 220 221 Packard, 1985). The slope threshold is defined as the slope angle above which cells are unstable. The model 222 starts from stable initial conditions; that is, the initial threshold  $\alpha_0$  for all the cells is higher than the 223 maximum  $\beta_c$  of the area. Then, at each step the threshold decreases by a quantity w, driving the system 224 towards instability. In analogy with the real world, the decrease of the stability threshold can be thought of as 225 representative of the weakening of soil caused by triggering events such as rainfall and snowmelt, which 226 produce a decrease of the resistive forces of soil until one or more slope failures occur. If the slope threshold 227 of a cell at a given time t has a value lower than or equal to  $\alpha_{min}$ , the decrease is no longer applied. The value used for  $\alpha_{min}$  is 5°, which implies that a quasi-flat area is always stable. A cell c is unstable when  $\beta_c$  is higher 228 229 than the slope threshold  $\alpha_c$ . When the cell c is unstable, its altitude  $e_c$  decreases by a quantity  $\Delta e_c$ . The value 230 of  $\Delta e_c$  is evaluated as the amount of altitude that c must lose so that  $\beta_c$  after perturbation becomes equal to  $\alpha_c$ , 231 that is, the quantity necessary to bring cell c back to a metastable state. The quantity  $\Delta e_c$  is discharged to the 232  $n_i$  neighboring cells identified as receiving cells ( $n_i$ , i = 1..., N), thus resulting in an increase of their altitude 233  $e_{n_i}$ . Accordingly, in order to evaluate  $\Delta e_c$  the model takes into account both the decrease of  $e_c$  and the corresponding increase of  $e_{n_i}$  of the receiving cells. There can be between one and three receiving cells (1  $\leq$ 234  $N \leq 3$ ) and they are evaluated based on the slope gradients between the eight Moore neighboring cells and the 235

236 overcritical cell. The neighboring cell with the highest slope angle identifies the main landslide direction, which means that the avalanche follows the steepest descendent gradient. Then, if the two neighboring cells 237 238 located at the two sides of the main landslide direction have an altitude that is lower than the altitude of c, 239 they are also considered to be receiving cells. If N > 1,  $\Delta e_c$  is anisotropically discharged among the  $n_i$  cells. In 240 particular, the fraction  $f_{n_i}$  ( $0 \le f_{n_i} \le 1$ ) of  $\Delta e_c$  that each of the cells  $n_i$  receives is proportional to the values 241 of the slope angle between c and the cells  $n_i$ . If N = 1,  $\Delta e_c$  is shifted in its entirety to the receiving cell in the direction of the maximum slope gradient (i.e.,  $f_{n_i}=1$ ). Thus, both the landslide direction and the transfer of 242 mass are constrained by the local topographic features of the surface. After perturbation, the threshold  $\alpha_c$  of 243 cell c is restored to its initial value  $\alpha_0$ . The instability of a cell may cause the instability of the neighboring 244 245 cells, thus allowing the landslide to propagate within the system. At each model step t and for each cell c, the 246 rules governing the dynamics of the model are summarized in Eqs. 1 and 2, which represent the driving rule 247 and the transition rule, respectively.

$$\alpha_c(t) = \alpha_c(t-1) - w \tag{1}$$

In the model, landslides are considered instantaneous compared to the time scale of the overall evolution of the system. Thus, when the condition described in the transition rule (Eq. 2) is verified for at least one cell of the lattice (i.e. when there is at least one landslide in progress) the driving rule (Eq. 1) is no longer applied until all the cells become stable again.

Moreover, our model does not take into account a regenerating process such as uplift, since it is based on the assumption that the time scale at which the modeled landslides occur is much shorter than that of tectonic processes: the effect of these processes on the evolution of the system is negligible at the temporal scale considered and it does not significantly affect landslide dynamics.

### 258 2.2. Implementation of the model

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The model was applied to the investigation of the frequency distribution of landslide areas. We used a series of values for the rate of weakening *w*. For each of these values we measured the areas of landslides that occurred over time windows  $t_w$  defined as a number of model steps. The area of a landslide is calculated as the number of adjacent cells affected by instability during a single event. For each landslide area series we investigated the scaling properties of the resulting cumulative frequency distribution.

The choice of the values to be used for w was constrained by the model outputs. In the next section it will be 264 shown that in the model, landslide areas increase with w. Thus, the value of w affects the sizes of the 265 resulting landslides as well as the shape of the size frequency distribution. Accordingly, the model outputs 266 267 drove the selection of the values of w capable of representing the range of landslide sizes and the values of 268 scaling exponents observed in the real world. In particular, we first tested a low value for w (w = 0.5). Then, 269 we repeatedly ran the model by progressively increasing the value of w by 0.5, until values were reached for 270 which the behavior of the system was similar to real world observations. In the range of w for which such 271 similarity was observed, we reduced the distance between subsequent w values to 0.25, to investigate the 272 behavior of the system in more detail. The values tested for w are 0.5, 1, 1.5, 2, 2.25, 2.5, 2.75.

273 As explained in Section 2.1, the weakening w applied in the model through a decrease in the slope angle 274 stability threshold is meant to correspond to the effect of rainfall or snowmelt events, which weaken the soil 275 thus causing the instability of some sites of the system. In the real world, the rate of soil weakening depends 276 both on the intensity of the triggering event and on the physical response of the soil (Iverson, 2000), which in 277 turn depends on its physical properties. In our model we apply a constant rate of weakening in space and in 278 time, which means to assume that the factors that create unstable conditions are constant in time, and that the 279 only variable affecting the response of the system is topography, while all the other physical properties are 280 homogeneous in space. Thus, a higher w can be associated with a higher rainfall intensity or snowmelt rate, 281 or more generally with a higher rate of increase of the resulting pore pressure, under the assumption of 282 homogeneous soil properties.

To summarize, the way we implement the model allows us to study how landslide dynamics evolves whenthe system is subjected to a constant driving mechanism over time, with different predefined intensities.

The time windows  $t_w$  used for the model consist of 1,000; 2,000; and 5,000 model steps. Accordingly,  $t_w$ represents the sum of the "landsliding steps", that is, the steps at which the instability is communicated from the unstable cells to their neighbors, and the "weakening steps", that is, the steps at which the decrease of the slope stability threshold is applied. This implies that for a given time window  $t_w$ , the larger the areas of 289 landslides of the resulting landslide series, the higher the number of landslide steps in the  $t_w$ -window, since 290 the avalanche process involves a larger number of cells.

Figure 2 shows an example of stability conditions (Fig. 2a) and of the pattern of the slope stability threshold (Fig. 2b) of the examined topography, after 1,000 steps and for w = 2. In Fig. 2a, yellow denotes the unstable cells at the 1,000<sup>th</sup> step of the model. In Fig. 2b we observe that under the effect of the driving rule (Eq.1, taking w = 2), the slope threshold  $\alpha_c$ , which at time t = 0 is uniform for all cells of the matrix (Eq.1, with  $\alpha_c$ =75°; that is, tan  $\alpha_c$ =3.7), has become strongly variable after 1,000 steps: its values vary from cell to cell, depending on the stability history of the cells during this time span.



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**Fig. 2** Stability conditions of the matrix, at the 1,000th step of the model. a) unstable cells (yellow) and stable cells (blue); b) Map of the tangent of the slope stability threshold  $\alpha_c$ .

# 301 **3.** Analysis of the probability of landslide areas obtained from the model

In this section, we first describe results obtained with all the rates of weakening (w) tested, and then comparethese results with the real world observations in order to define the range of *w*-values capable of reproducing

- the behavior of real landslides.
- For each number of iterations  $t_w$  and for each w-value tested, the outputs from the model consist of a series of
- landslide areas  $A_i$ , expressed as a number of cells. These values were converted in in m<sup>2</sup> according to the
- 307 resolution of the original DEM, in order to facilitate the comparison between the results obtained from the
- 308 models and the behavior of real landslides.

309 Figure 3 shows how the mean area of landslides  $(A_{I})$  of each landslide data series varies with the rate of weakening w (Fig. 3a) and with the number of model steps  $t_w$  (Fig. 3b). In both graphs we observe that the 310 311 higher the value of w the higher the mean area  $A_{L}$ . In particular, the two parameters are linked to each other 312 by a linear equation (Fig. 3a). The increase of  $A_L$  with w is due to the spatial spread of instability, which increases with increasing rate of weakening. Indeed, according to the driving rule (Eq.1), a higher w implies 313 a faster decrease of the slope threshold  $\alpha_c$  and thus a higher number of unstable cells with a higher 314 315 probability to be in touch with each other. This results in larger landslide triggering areas, which 316 consequently generate larger landslide bodies. Moreover, the wide spatial spread of instability can also cause the formation of coalescent landslides, which are identified in the model as a single landslide. Finally, a 317 faster decrease of the slope threshold also implies that a larger mass must be lost from the unstable cell in 318 order to restore equilibrium conditions. The increase of the landslide mass involved in the landslide process 319 increases the probability for the neighboring cells that receive the mass to become in turn unstable and, as a 320 321 result, landslide processes are more likely to generate large areas.



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Fig. 3 (a) For each number of model steps ( $t_w = 1,000$ ; 2,000; 5,000), mean area of landslides ( $A_L$ ) of the respective landslide areas data series as a function of w, and the respective linear best fit. (b) For each w,  $A_L$  as a function of  $t_w$ .

326 The slope of the linear best fit in Fig 3a decreases with increasing  $t_w$ , thus indicating that the largest 327 landslides occur at the early stages of the evolution of the model, while the relative importance of smaller landslides in the data series increases with  $t_w$ , thus lowering the mean value of landslide areas  $A_L$ . This aspect of the behavior of the system is well depicted in Fig. 3b, where we observe that  $A_L$  decreases with  $t_w$ , and that this decrease is higher for higher w. Since high values of w lead to large landslide areas, we can hypothesize that like in real systems, relatively smaller topographic adjustments occur in response to large landslides, thus decreasing the value of  $A_L$ .

The complementary of the cumulative frequency distribution of landslide areas obtained from the model for each w and  $t_w$  tested, along with their scaling properties, are shown in Fig. 4.

Overall, landslide areas increase with increasing w and vary from  $2 \times 10^3$  to  $2 \times 10^7$  m<sup>2</sup>, which are values 335 336 comparable with the range observed for real landslide areas (Pelletier et al., 1997; Guthrie and Evans, 2004; Malamud et al., 2004), although the highest order of magnitude represented in most real datasets is of  $10^6 \text{ m}^2$ , 337 while landslides obtained from the model reach  $10^7 \text{ m}^2$ . Such large landslides are not often present in 338 landslide inventories, since they require particular conditions in order to occur, that is, very high slope 339 gradients like those observed in deeply incised river valley, and high-intensity rainfall events (Korup et al., 340 2007). Moreover, particular structural settings may favor the instability of large slope portions. In terms of 341 342 slope gradients and rainfall intensity, these conditions match those of the system modeled. Indeed, the river valleys are up to  $70^{\circ}$  steep, and landslide areas with a magnitude of  $10^{7}$  m<sup>2</sup> are obtained when the highest 343 344 values for the rate of weakening are applied (w=2.5 and w=2.75), which according to the interpretation given 345 in Section 2.2, correspond to the highest intensities of the triggering event. Moreover, as explained above, 346 coalescent landslides are identified in the model as a single landslide, thus leading to larger areas.

The graphs in Fig. 4 show that the right tails of the frequency distributions of landslide areas always follow a power law trend (R > 0.99) (Eq.3).

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$$N \propto A^{-(D-1)} \quad (3)$$

In Eq. 3, *N* is the number of landslides with area greater than or equal to *A*, and *D* is the scaling exponent.

The scaling exponents *D* range from 2.67 to 5.75, with uncertainty intervals at the 95% confidence level between 0.07 and 0.19. Overall, scaling behavior is observed in ranges of landslide areas from 0.6 orders of magnitude (Figs.4d and 4f: series obtained at 1,000 model steps) to 2 orders of magnitude (Figs.4b and 4c: series obtained at 2,000 and 1,000 model steps, respectively). Later in this section we will show that only some of the *D*-values obtained are in the range detected for real landslides.



Fig. 4 Complementary of the cumulative frequency distributions (*CFDs*) of landslide areas ( $A_i$  in m<sup>2</sup>) obtained with a) w = 0.5, b) w = 1, c) w = 1.5, d) w = 2, e) w = 2.25, f) w = 2.5, g) w = 2.75, for different time spans (1,000 model steps in red, 2,000 in green, and 5,000 in black). The dotted lines indicate the portions of the *CFD*s taken in consideration for the identification of the power law (dotted lines). For each power law the respective scaling exponent *D* is shown.

A flattening of the frequency distributions is observed when landslide areas are lower than  $10^4 \text{ m}^2$  (Figs. 4a 364 and 4b), thus indicating that small landslides are less frequent than predicted by the power law. A deviation 365 366 from the power law at the smallest landslide sizes is also recognized in the CFDs obtained from real datasets. However, in the real world small landslides show a specific statistical behavior that is not observed in our 367 CFDs: when non-cumulative frequency distributions are used, the interval corresponding to the smallest 368 landslide areas is characterized by an opposite trend, with positive slope, followed by a rollover above which 369 370 landslide areas start following the power law (Guzzetti et al., 2002; Guthrie and Evans, 2004; Malamud et al., 2004). Such a rollover is not present in the outcomes of this model: non-cumulative frequency 371 372 distributions calculated for the same landslide data series for which the cumulative distributions are shown in 373 Fig. 4a and 4b, exhibit a flattening rather than a rollover for the smallest sizes of landslide areas. As 374 explained in Section 1, the rollover in real landslide inventories may be associated with a range of 375 explanations, such as an underestimation of small landslides (Stark and Hovious, 2001; Brardinoni and 376 Church, 2004), and the physics of processes controlling the occurrence of small landslides (Stark and 377 Guzzetti, 2009, Milledge et al., 2014). In this regard, our model does not consider the physical parameters 378 and processes invoked to explain the frequency distribution of small landslides, and it cannot be affected by 379 the resolution of the data sources of the landslide inventory either. This could explain why the CFDs 380 obtained do not exhibit a rollover. In our model, the only variable affecting landslide areas is the topography. 381 Thus, the flattening that we observe for these series at the smallest landslide areas is expected to be related to 382 the constraints represented by the topographic surface.

The first part of the frequency distributions obtained with *w* from 2 to 2.75 (Figs. from 4d to 4g) exhibits a behavior that it is not the same with the one from real landslide inventories. In particular, although the smallest sizes of these series are in a range at which scaling behavior is observed in nature, in this part of the *CFD* the number of the modeled landslides is higher than that predicted by the power law. The difference can again be related to the fact that the only constraint to model dynamics is represented by topography: as we deduced from Fig. 3, topographic adjustments occur in response to the large landslides caused by high rates of weakening, thus leading to a high number of slope failures with smaller area.

390 While model choices affect the first part of the area-frequency distributions, results indicate that the model is 391 capable of reproducing the scaling properties of real landslides, when specific values for the parameters of 392 the model are used. The values of D were compared to those observed for real landslide inventories by taking 393 as a reference the work by Van Den Eeckhaut et al. (2007), which provides an overview of the values of D394 observed for about thirty landslide inventories around the world, published in twenty-seven papers (please 395 refer to Van Den Eeckhaut et al. (2007) for the related bibliography). According to this paper, for real landslide inventories the values of D range between 1.42 and 3.36, with many of them around 2.5. The 396 397 landslide inventories considered are both historical and post-event. Since like most CA models, the one 398 presented in this paper does not have a timescale, for the comparison of the model outputs with reality we 399 preferred not to refer to a specific type of inventory, but rather to include both post-event inventories and 400 historical ones, also considering that the main difference between historical and post-event inventories is observed in the frequency distribution of small landslides, which is not the focus of this study, while in the 401 402 portion of the frequency distribution that exhibits power law scaling, the scaling exponent does not show any 403 specific behavior for the two types of datasets.

404 The comparison indicates that the power law decay of the modeled landslide areas is in accordance with that 405 of real landslide inventories for rates of weakening between 2 and 2.75 (Figs. from 4d to 4g). Indeed, in this 406 range of w the exponents are comprised between 2.47 and 3.26, while for lower values of w the exponent is 407 too high compared to real values, thus indicating an underestimation of large landslides and suggesting that 408 although power law behavior is observed for all the w applied, only the highest rates of weakening among 409 those tested are capable of reproducing the action exerted by real landslide triggering events. The histogram 410 in Fig. 5 shows the values of the *D*-exponent in literature. The *D* classes are 0.3 wide and the values in the xaxis represent the middle value of each class. Most of the real observations are in the D class from 2.4 to 2.6. 411 412 In Fig. 5, the arrow delimitates the range of *D*-exponents observed for the landslide series obtained from the model, with rates of weakening w between 2 and 2.75. The comparison with literature shows that in this 413 414 range of w-values, the scaling behavior of landslide areas is well reproduced by the model: the scaling 415 exponents of the modeled landslide series range from 2.5 to 3.2.

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Fig. 5 Comparison of the percentage frequency (F) of the values of D observed within each D class, in literature (Tab. 1 in Van Den Eeckhaut et al. (2007)) and for the landslide data series obtained with w from 2 to 2.75. The D classes are 0.3 wide.

In the next section we will show that the shape of the frequency distributions is not affected by the resolution of the DEM used, at least for the resolutions tested. This means that although the results presented in Fig. 4 correspond to landslide areas expressed in m<sup>2</sup> (based on the resolution of the original DEM of 25x25 m), the represented constraints exercised by topography on the landslide probability should correspond to a wider range of landslide areas than the one represented in the figure.

We studied the way the scaling exponents depend on (i) the rate of weakening w and (ii) time  $t_w$ . For this 427 analysis, all the values of w were used, although only those higher than or equal to 2 lead to scaling 428 exponents similar to the real ones (as shown above). This allows us to better explore the behavior of the 429 430 system, which according to the results obtained and shown below and in the next sections, may be described by mathematical rules that can be fitted to the whole range of rates of weakening w tested. Graphs a, b, and c 431 in Fig. 6 show that for each  $t_w$ , D linearly decreases with an increasing rate of weakening w ( $\mathbb{R}^2 > 0.98$ ), thus 432 433 indicating that the faster the system is driven to instability the higher becomes the probability of large 434 landslides. The decrease is described by:

$$D = -m_D \cdot w + c_D \tag{4}$$

436 where  $c_D$  is a constant.

This result indicates that a possible cause affecting the probability of occurrence of real landslide sizes is the
rate at which the system is driven to instability, such as the rainfall intensity for rainfall triggered landslides.
Fig. 6d indicates that when the rate of weakening *w* is lower than or equal to 1.5, *D* does not significantly

440 change over time. Conversely, for higher w, D slowly increases with  $t_w$ . However, the change of D over time 441 is much lower than that produced by the rate of weakening: for  $t_w$  equal to 5,000 model steps, the maximum 442 temporal change of D is of 0.4 (Fig. 6d), while in the same time window, the change of D with w is of about 443 2.7. This result will be discussed in section 7.



444

Fig. 6 (a, b, c) For each  $t_w$  (1,000; 2,000; 5,000 model steps), *D* as a function of the rate of weakening *w*, and the respective linear best fit. (d) For each *w*, *D* as a function of  $t_w$ .

# 447 4. Investigation of the effect of model choices and computational techniques

The model is based on a lattice of 320×320 cells, and the DEM used to define the altitude values of cells has 448 a resolution of  $25 \times 25$  meters, thus implying that the smallest possible landslide in the model is of 625 m<sup>2</sup> 449 450 (i.e, when the instability involves only one cell). We investigated the ways in which these choices affect the 451 landslide area distribution, by keeping the same area as the initial surface for the model, but changing the DEM resolution to 10×10 meters (the DEM was built by Tarquini et al., 2007, 2012). Accordingly, the 452 resulting lattice has a size of  $800 \times 800$  cells and the smallest possible landslide area is of  $100 \text{ m}^2$ . We used a 453 low (w=1) and a high value (w=2.75) among the rates of weakening w applied in the model (1,000 model)454 455 steps were used for this comparison) and obtained similar results for both of them. The outcomes of the 456 model for w=2.75 are shown in Fig. 7.



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**Fig. 7** Portions of the cumulative frequency distributions (*CFD*) of landslide areas ( $A_i$ ) that can be described by power laws (dotted lines) and their respective scaling exponents (*D*), for the series of  $A_i$  obtained with a DEM of 25×25 m (lattice size of 320×320 cells) and with a DEM of 10×10 m (lattice size of 800×800 cells) ( $t_w$ =1,000;), with a rate of weakening of w = 2.75: a)  $A_i$  values as number of cells; b)  $A_i$  values in m<sup>2</sup>.

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463 Figure 7a) shows the power law fit of the CFD of landslide areas, with the latter expressed as a number of cells, that is, without converting these values in  $m^2$ . The range of landslide areas obtained from the model is 464 about the same for the two DEMs used, while the number of landslides is higher for the DEM of  $10 \times 10$ m. 465 466 The scaling exponents D of the power laws observed for the two DEMs are very similar, as well as their scaling ranges. This result shows that while the size of the lattice affects, as expected, the number of 467 landslides (the higher the model size, the higher the number of cells available to become unstable, and the 468 higher the number of landslides), it does not affect the shape of the distribution and the dynamics of the 469 system. The same applies to the resolution of the original DEM, which according to the results obtained does 470 not produce any significant effect on the value of the scaling exponent, for the two resolutions tested. This 471 result suggests that the control of topography on the size frequency distribution of the modeled landslides is 472 the same at the two scales of analyses used, and this may be explained by the scale-invariant character of 473 474 topography (Frattini and Crosta, 2013). Accordingly, after converting landslide areas from number of cells to  $m^2$  (Fig. 7b) the only effect is a shift of the power laws along the x-axis. As a result, while the range of the 475 scaling regimes for the landslide series obtained from the two DEMs are different, the values of their 476 exponents do not change. This also indicates that a D-value of about 2.6 characterizes the scaling behavior of 477 landslide areas in a range from about  $2 \times 10^5$  to  $10^7$  (Fig. 7b), considering the scaling ranges observed for both 478 479 the DEMs.

These outcomes also suggest that the fact that the model does not accurately represent the first part of the frequency distribution of real landslides (Section 3) is not due to the scale of analysis but rather, as hypothesized in the previous section, due to the choice of topography as the main way of describing the spatial variability of the system.

484

# 485 **5. Changes of the topographic surface modeled**

The initial topographic surface is subjected to changes caused by the mass distribution occurring during the time window  $t_w$ . In the present section we investigate these changes focusing on different morphometric and geomorphological features of the landscape. We must remember that according to the dynamics of the model, these changes represent the evolution of an area only subjected to the action of the gravitational process and whose variability is only represented by topography.

# 491 5.1 <u>Topographic attributes</u>

492 Fig. 8 shows the difference in altitude between the final surface obtained at  $t_w = 5,000$  steps, and the initial 493 one, for w equal to 1, 2 and 2.75, respectively. The difference is expressed in meters, according to the 494 altitude values of the original DEM. Red zones indicate a decrease in altitude (areas affected by erosion), 495 while blue zones indicate an increase in altitude (areas affected by deposition). When w grows from 1 (Fig. 8a) to 2.75 (Fig. 8c), the difference in altitude increases. This is due to the observed property of the 496 497 frequency distribution of landslides, which indicates that for the same  $t_w$  the number of large landslides increases with increasing rate of weakening. Consequently, the higher w the larger the change of the surface 498 499 configuration.

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**Fig. 8** Difference in altitude between the final surface obtained at  $t_w$  equal to 5,000, and the initial one. a) w=1; b) w=2; c) w=2.75.

In order to highlight the variation of specific topographic attributes a cross-section through the surface is 505 506 made (Fig. 1). The section is traced so as to cross the main ridges and valleys to highlight the evolution of 507 the slopes. Fig. 9 shows how specific topographic attributes change along the cross-section after an interval  $t_w$  equal to 5,000 model steps and with w = 2.75, i.e. the situation in which we observed the more pronounced 508 topographic changes. Fig. 9a displays the initial and the final topographic profiles. The comparison of the 509 510 two profiles indicates that landslides that occurred over the time interval  $t_w$  cause a decrease of the altitude of 511 mountain ridges and the filling of valleys, thus producing a smoothing of the relief. Fig. 9b shows the initial and the final profile curvature  $(P_c)$  of the topographic surface (Moore et al., 1991), which describes the 512 curvature of the surface along the direction of the steepest gradient. 513

514 The curvatures were calculated using the algorithm in Spatial Analyst (ArcGIS10.0 © Esri), and are expressed in  $10^{-2}$  m. Positive  $P_c$  values indicate concave curvatures, while negative values indicate convex 515 516 ones. In the graph we observe that the  $P_c$  values of the final surface are closer to zero than those of the initial 517 one, thus describing a decrease of both the convex and the concave curvature. Moreover, in the profile of the 518 final curvature a general trend can be recognized, which consists in the shifting of the peaks corresponding to 519 the maximum values of curvature toward lower values of linear distance (x-axis), compared to the peaks of the initial curvature profile. This could be due to a slope decline evolution, where the decrease of the slope 520 521 angle is associated with a lateral movement of ridges and valley axes.



**Fig. 9** Change of topographic attributes along the cross-section made in Fig. 1. The change is evaluated between the initial topographic surface and the final one, obtained at  $t_w = 5,000$  and with w = 1.5. a) Altitude; b) Profile curvature ( $P_c$ ) in 10<sup>-2</sup> m; c) Difference  $\Delta sl$  between the initial and final slope angle (in degrees).

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Fig. 9c displays the variation of the slope angle ( $\Delta sl$ ) of the surface, calculated as the difference between the final and the initial slope. Overall, a decrease of the slope angle is observed, up to a maximum of about 21°. However, some exceptions can be noticed. A positive  $\Delta sl$  corresponds to the medium and lower slope portions, where the moved mass increases the slope angle. These results are in agreement with real-world observations, where landslides dampen local relief removing

533 mass from upper slopes and depositing it on lower slopes, thus producing a decrease of mean slope relief and

534 relief variability, of slope angles and of their standard deviation (Korup, 2006; Korup et al., 2010). A more 535 in-depth analysis of the change of the slope angles undergone by the relief will be addressed in Section 5.2. 536 The evolution of the surface modeled also highlights that although the rules of the model apply to all cells of the lattice without discriminating between scar area, runout area and depositional area of landslides, this 537 differentiation is intrinsically produced by the model. Indeed, the areas where we observe erosion represent 538 539 the scar areas where landslides are triggered, i.e. where the instability is generated. These areas are located in 540 the upper slope zones, which in real active mountain belts are the areas dominated by landslide erosion (Montgomery and Brandon, 2002; Korup et al., 2007). For the middle slopes we did not observe any 541 542 significant change in altitude. Thus, they represent the runout areas of landslides where, in terms of the cells of the lattice, the instability is transferred from one cell to another but not generated. Finally, an increase in 543 altitude is observed in the lower slopes overlooking the toe of slopes, which thus represent the depositional 544 areas affected by the accumulation of landslide bodies. 545

# 546 5.2 <u>Statistical properties of the slope angles</u>

547 The topographic changes are driven by the dynamics of the model, which are controlled by the slope angles  $\beta$ 548 of the area. In Fig. 9c we observed that like other topographic attributes, slope angles also change over time. 549 We thus investigated the temporal evolution of the slope angles and their possible dependence on the rate 550 with which the system is driven to instability, in order to compare the behavior of the surface with the one 551 observed for the scaling exponents of the frequency distribution of landslide sizes.

For each rate of weakening w and number of model steps  $t_w$  (i.e., 1,000; 2,000; 5,000) we calculated the respective frequency distribution of  $\beta$  of the initial and the final topographic surface. Fig. 10 shows the noncumulative (Fig. 10a) and the cumulative (Fig. 10b) distributions of  $\beta$  for the initial surface and for those obtained with the maximum  $t_w$ , equal to 5,000 model steps. For clarity, in Fig. 10a only the frequency distributions corresponding to w = 1, 2.5 and 2.75 are shown, since they offer a good description of the behavior of slope angles with increasing w.

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**Fig. 10** Non-cumulative (a) and cumulative (b) frequency distributions of the slope angle  $\beta$  for the initial topographic surface and for those obtained at the maximum  $t_w$ , equal to 5,000 model steps, with w = 1, 2.5, 2.75, in graph (a), and with all the *w* applied in graph (b).

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The initial frequency distribution of  $\beta$  (black symbols in Fig. 10a) is representative of the topographic setting 565 566 of the area, which is characterized by steep river valleys and flat surfaces at the top of the slopes. Because of this, in the slope angle series the intermediate classes are less represented than they would be in a Gaussian 567 568 distribution, in favor of the frequency of classes corresponding to low and high slope angles. Landslide occurrence changes the shape of the curve. In comparison with the initial frequency distribution, for each w 569 tested we observe a decrease of the frequency of the angles higher than about 40° and an increase of those 570 lower than about 13° (Fig. 10a). Moreover, landslide processes emphasize the bimodal character of the initial 571 572 topographic setting. The smoothing produced on the surfaces by landslides is still more evident in the cumulative frequency distributions ( $CF_{\beta}$ ), where we observe that for each w the curve is shifted toward lower 573 574 values of  $\beta$ . In order to quantify these changes, we calculated for each frequency distribution (thus considering all the  $t_w$  and not just  $t_w$ =5,000) the following statistical parameters: maximum ( $\beta_{max}$ ), mean ( $\bar{\beta}$ ), 575 standard deviation ( $\sigma_{\beta}$ ), kurtosis ( $k_{\beta}$ ), skewness ( $sk_{\beta}$ ). Figure 11 shows the change of each statistical 576 parameter in time. Also in this case, only results corresponding to some w are displayed (w = 1, 2 and 2.75), 577 578 for clarity purposes.

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**Fig. 11** Temporal change of the statistical parameters of the slope angle frequency distribution, for  $w = 0.5, 1.5, 2.75; t_w$ , number of model steps;  $\beta_{max}$ , maximum;  $\bar{\beta}$ , mean;  $\sigma_{\beta}$ , standard deviation;  $k_{\beta}$ , kurtosis;  $sk_{\beta}$ , skewness. 583

The overall temporal behavior of these parameters consists of a decrease of their value over time, although 584 exceptions and some differences in the way these values decrease can be observed. The values of  $\bar{\beta}$  and  $\sigma_{\beta}$ 585 decrease with increasing  $t_w$  and this decrease is higher when the weakening is stronger. The parameter  $\beta_{max}$ 586 quickly decreases in the beginning (i.e., from t=0 to t=1,000) and then the decrease slows down. A similar 587 588 behavior is observed for  $k_{\beta}$ , which is a measure of the peakedness or flattening of the distribution, when compared to a normal distribution. A particular behavior is observed for  $sk_{\beta}$ , which quantifies the 589 asymmetry of the distribution. Its temporal evolution depends on w: for w equal to 0.5, the parameter 590 decreases over time, while for w values of 1.5 and 2.75 there is an initial decrease followed by an increase of 591 592 the value. This increase is due to the fact that the change in topography takes place at a faster rate for higher 593 w-values. Accordingly, and in agreement with Fig. 10a, the initial decrease of the asymmetry is due to the difference between the decrease of the frequency of high  $\beta$  values and the increase of the frequency of low  $\beta$ 594 595 values, while the subsequent increase of the asymmetry is mainly due to the increase of the relative 596 importance of the lower  $\beta$ , over time.

597 The values of the statistical parameters of slope angles of the final topography also depend on the rate of 598 weakening. In particular, we have found that  $\bar{\beta}$  and  $\sigma_{\beta}$  are linearly linked with *w* according to the following 599 equations:

$$\bar{\beta} = -m_{\bar{B}} \cdot w + c_{\bar{B}} \tag{5}$$

$$\sigma_{\beta} = -m_{\sigma} \cdot w + c_{\sigma} \tag{6}$$

where  $m_{\overline{\beta}}$  and  $m_{\sigma}$  are the angular coefficients of the best fit lines and  $c_{\overline{\beta}}$  and  $c_{\sigma}$  are constants, which depend on  $t_w$  ( $\mathbb{R}^2 \cong 0.99$  for  $\overline{\beta} = f(w)$  and  $\mathbb{R}^2 \ge 0.97$  for  $\sigma_{\beta} = f(w)$ ). The relationships are illustrated in Fig. 12. According to Eqs. 5 and 6, the higher the rate of weakening the lower the values of  $\overline{\beta}$  and  $\sigma_{\beta}$  of the final surface - that is, the higher the change of the topographic surface caused by landslides.



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**607** Fig. 12 For each  $t_w$  (1,000; 2,000; 5,000 model steps), (a) mean  $\bar{\beta}$  and (b) standard deviation  $\sigma_{\beta}$  of slope angles as a function of the rate of weakening *w*, and their respective linear best fit lines.

609

# 610 6. Relation between topographic changes and scaling properties of landslide sizes

In this section the relationship between topographic changes and the statistical behavior of landslide sizes isinvestigated.

613 We observed that landslide phenomena produce a smoothing of the topographic surface, which results in a 614 decrease of the main statistical parameters of the frequency distribution of  $\beta$ , in time (Fig. 11). Unlike  $\beta$ , the 615 scaling exponent *D* of the frequency distribution of landslide does not show any specific trend over time 616 (Fig. 6d). Thus, the probability of landslide sizes and the changes undergone by the topographic surface
exhibit different types of behavior over time. Instead, we observed that they manifest similar dependence on the rate of weakening w. In particular, we found that the scaling exponent D, the mean  $\bar{\beta}$  and the standard deviation  $\sigma_{\beta}$  of slope angles linearly decrease with increasing w (Figs. 6a, 6b, 6c and 12). Thus, by substituting in turn Eqs. 5 and 6 in Eq. 4 we obtain:

$$D = m_1 \cdot \bar{\beta} + c_1 \tag{7}$$

$$D = m_2 \cdot \sigma_\beta + c_2 \qquad (8)$$

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622

where  $m_1 = m_D/m_{\overline{\beta}}$  and  $m_2 = m_D/m_{\sigma}$  are the angular coefficients of the best fit lines, and  $c_1$  and  $c_2$  are constants. Figure 13 shows the same result obtained by plotting, for each *t*, *D* as a function of  $\overline{\beta}$  (Fig. 13a) and  $\sigma_{\beta}$  (Fig. 13b) obtained for the same *w*. The best fit lines have  $R^2 \ge 0.95$  and  $R^2 \ge 0.94$ , in Fig.13a and 13b, respectively.

629



630

**Fig. 13** For each  $t_w$  (1,000; 2,000; 5,000 model steps), *D* as a function of (a) the mean  $\bar{\beta}$  and (b) the standard deviation  $\sigma_{\beta}$  of slope angles of the topographic surface, and their respective linear best fit. For each point, *w* indicates the value of the rate of weakening at which D,  $\bar{\beta}$  and  $\sigma_{\beta}$  were obtained.

635 This result indicates that at each time span  $t_w$ , the scaling exponent D that characterizes the probability of landslide sizes is linearly related, with a good approximation, to the values of the statistical parameters of the 636 637 slope angles of the topographic surface where landslides occurred. In particular, the positive correlation of Dwith  $\bar{\beta}$  and  $\sigma_{R}$  respectively, shows that an increase of w (i.e., moving from the right extreme of the linear best 638 639 fits to the left in Fig. 13) produces a decrease of D and thus an increase of the probability of large landslide 640 sizes, which is linearly related to the decrease of the mean and standard deviation of the slope angles of the final surface. In other words, the statistical parameters of the modeled topography preserve information 641 about the probability of landslide sizes that occurred during a specific  $t_w$  and under the action of a specific w. 642 The Eqs. 4 to 8 indicate that the way the values of D,  $\bar{\beta}$  and  $\sigma_{\beta}$  change with w may be described by linear 643 mathematical laws, which respectively work for all the time spans  $t_w$  tested and for which only the value of 644 645 the linear fit parameters of the equation (slope and intercept) are different for the different  $t_w$ . Both in Figs. 646 13a and 13b we observe that going from  $t_w = 1,000$  to  $t_w = 5,000$  the steepness of the best fit lines decreases; 647 that is, the angular coefficients  $m_1$  and  $m_2$  of Eqs. 7 and 8 decrease over time. This result indicates that the way D is linked to the topographic change depends on the time span. In the next section we discuss 648 649 outcomes, implications and limitations of the results obtained, including time-related aspects.

650

## 651 7. Discussion

The frequency distribution of landslide sizes characterizes the probability of landslide of a given magnitude. A property of this distribution identified in many landslide datasets around the world is the characteristic power-law decay of the frequency from medium to large sizes. Although small slope failures are the most frequent ones in landslide datasets, larger landslides represent the main hazard in terms of associated risk.

Despite its simple structure, the cellular automata model proposed in this paper has shown to be capable ofreproducing key features of landslide processes related to the occurrence of medium to large slope failures.

First, the distribution of landslide areas exhibits the typical scaling properties of real landslides, and a good agreement is observed for the values of the scaling exponents when a specific range of values is used for the parameters of the model. Given that in the model the topographic variability is the only component affecting the evolution of the system, this result suggests that the scaling properties of medium to large landslides 662 could actually arise due to topography, thus supporting the conclusions of Frattini and Crosta (2013), who hypothesized that the scaling behavior of landslide sizes could find an explanation in the scaling properties 663 664 of topography. Furthermore, the comparison of the frequency distributions of landslide areas obtained by using DEMs with different resolutions for the same initial topographic surface showed that neither the shape 665 of the probability distribution nor the value of the scaling exponent are significantly affected by the change 666 667 from one resolution to another. This indicates that the constraints imposed by topography on the probabilities 668 of landslide areas are about the same at the investigated spatial scales, of 10 m and 25 m, respectively. 669 Moreover, we observed that although the model does not use specific rules to distinguish between the 670 processes of erosion, transport and deposition of landslides as other models do (Guthrie et al., 2008), these 671 different parts of landslides may be recognized in the resulting topography. Also, we found that landslide 672 areas in the model increase with increasing rate of weakening. This result indicates that large landslides are 673 more abundant when the intensity of the triggering mechanism is high, in agreement with findings from real 674 geographic contexts (Saito et al., 2014). These similarities suggest that other properties observed for the 675 model and discussed below may also describe properties of real systems.

676 We found that the scaling exponent of the landslide area frequency distribution linearly decreases with 677 increasing driving rate, thus indicating that the faster the system is driven to instability the higher becomes 678 the probability of large landslides. This result supports the hypothesis of Piegari et al. (2009), who conclude 679 that the frequency-size distribution of landslides is controlled by the rate of approaching instability more than 680 by the type of triggering mechanism per se. This could actually explain why landslide inventories generated 681 for different triggering mechanisms, like rainfall and snowmelt, exhibit similar frequency-size statistics of landslides (Pelletier et al., 1997; Malamud et al., 2004). Additionally, our results suggest that the value of the 682 683 scaling exponent is controlled by the way the topographic variability characterizing the area combines with 684 the temporal effectiveness of the mechanism generating instability. A behavior similar to that of the scaling 685 exponent was observed for the mean and standard deviation of the local slope angles of the surface, which 686 under the action of landslides linearly decrease with increasing rate of weakening. Moreover, we observed 687 that for the same driving rate, the value of the scaling exponent does not significantly change in time, contrary to what happens for the main statistical values of the slope angles of the surface, which show a 688 689 decrease over time. Finally, we found that for a given time window, the scaling exponent of landslide areas,

690 the driving rate, and the changes of the topographic setting are related to each other. In Section 2.2 we 691 explained that the rate of weakening w in the model may represent, for example, the rate of snow melt or the 692 intensity of rainfall, or more generally, the temporal effectiveness with which the triggering mechanism 693 weakens the soil, such as the temporal increase of the pore pressure by water, under the assumption of homogeneous soil properties. While in the model  $t_w$  is the sum of both the weakening steps and the 694 695 landsliding steps, and although  $t_w$  does not have a characteristic scale length, the higher  $t_w$  is, the wider is the 696 time window during which the system is driven to instability. Thus, a higher  $t_w$  represents a longer 697 application of the triggering mechanism in real systems. With reference to rainfall, it has been widely shown 698 that the triggering of landslides can be related to rainfall intensity-duration thresholds (or analogously, 699 cumulated rainfall - rainfall duration thresholds) (Guzzetti et al., 2007; Peruccacci et al., 2012, Salciarini et 700 al., 2012). Our results suggest that for an area of given topography, while this threshold governs the triggering of landslides, the probability of landslide areas depends on the intensity of the triggering 701 702 mechanism more than on its duration, which mainly affects the number of landslides. Indeed, we found that 703 the value of the scaling exponent is much more sensitive to the rate of weakening than to time (as shown in 704 Fig. 6). Conversely, what we found to be strongly time-dependent is the footprint left on the topographic 705 surface by landslides. Moreover, we observed that the topographic setting of the area modeled preserves the 706 information concerning the statistical distribution of landslide areas caused by a triggering event of given 707 intensity and duration: based on the equations established above (Eqs. 7 and 8), by studying the topographic 708 change of topography in the model, it would be possible to go back to the scaling exponent of the frequency 709 distribution of landslide areas that caused that change. Some critical considerations must be added to the 710 above. The model does not take into account river erosion and uplift, which are processes that allow for the 711 rejuvenation of the system (Pucci et al., 2014) and landslide triggering. However, studies have shown that 712 landslide erosion is not only the way in which hillslopes adjust in response to river channel incision. Rather, 713 it plays an active role in shaping the landscape also independently of river processes and as a consequence of 714 triggering mechanisms like rainfall (Korup, 2010; Reinhardt et al., 2015; Singh et al., 2015), and this role is 715 mainly effective on smaller timescales (Korup, 2010). Thus, the choice whether or not to consider fluvial processes in the model should not affect the possibility to represent landslide dynamics and to investigate the 716 717 scaling properties of this phenomenon.

718 Uplift is a long-term driving factor for landslide processes. Ignoring this process in the model implies that if 719 we left the topographic surface free to evolve for a much larger number of model steps it would eventually 720 become an almost flat surface. According to such a scenario, the surface would reach a maximum slope 721 gradient equal to the one below which cells are always stable. This situation is not plausible in a dynamic 722 geomorphological context affected by landslides. However, studies have shown that the rate of erosion by 723 rivers and slope failures is regulated by the way the rate of uplift and the rate of precipitation interact with 724 each other. Various scenarios have been described, where depending on the relative changes in uplift and 725 precipitation the landscape evolves in different ways and with different erosion rates and mechanisms 726 (Bonnet and Crave, 2006). The different types of system behavior have been described by defining, for 727 example, specific uplift thresholds, which characterize the type of process that dominates the mountain range 728 evolution, where slope failures occur in response to the rise of the surface (Ouchi, 2011, 2015). As explained 729 above, in our model the driving rate could be thought of as representing the intensity of the landslide 730 triggering mechanism, assuming constant intensity over time, and the higher the number of model steps, the 731 longer the application of the triggering mechanism. Accordingly, although the model does not use a 732 characteristic timescale, results from the model must be interpreted in the light of the possible maximum 733 realistic duration of a triggering event, which can range from several days to several months depending on 734 the climate of the area. Thus, we are studying the properties of the scaling behavior of landslides that 735 occurred during one and the same erosion event, which happens in response to uplift – that is, the erosion 736 operated by landslides in response to a rise of the topographic surface, which allows the equilibrium to be 737 restored. In this context, while uplift affects the long-term evolution of landforms, for the single erosional 738 event it only represents the underlying cause. Based on these considerations, it is reasonable to consider that 739 the properties observed for the scaling behavior of landslides could actually describe real properties of 740 landslide processes. This idea is also supported by real-world studies, which found scaling properties in 741 landslide datasets compiled both for long time spans and after a single triggering event (Guzzetti et al., 2002; 742 Guthrie and Evan, 2004; Malamud et al., 2004), thus suggesting that the scale-invariance of landslides does 743 not appear in the system only as a consequence of its long-term evolution, but rather manifests itself in a 744 landscape, whose configuration is the result of its evolutionary history.

745 As for the possible SOC behavior of landslides, in Fig. 6d we observed that at the lowest rates of weakening, 746 that is, at the rates at which the change of topography caused by landslides is low, the scaling exponent is 747 nearly stable over time. Conversely, at the highest rates of weakening corresponding to the most ample 748 changes in topography, a change of the exponent trough time is observed. In summary, small topographic changes lead to small temporal changes in the scaling exponent, while more significant transformations in 749 750 topography are associated with major variation in the values of the scaling exponent. This result indicates 751 that the behavior of the model does not exhibit SOC dynamics, and this is due to the fact that rejuvenation 752 processes such as uplift are neglected, thus implying that in the model, topography cannot tend toward a 753 dynamical steady state, unlike what has been hypothesized for topography in nature (Bonnet and Crave, 754 2003; Lague et al., 2003).

755

## 756 8. Conclusions

757 The cellular automata model (CA) proposed in this paper is capable of reproducing the power-law decay of 758 the probability distribution of real landslide areas for a range of model parameter values. In analogy with the 759 CA model by Hergarten and Neugebauer (2000), who firstly used a time-dependent variable in a CA model, 760 our results confirm the key role that the temporal rate of weakening exerts in landslide dynamics. Model 761 outputs provide insights into the variability of the scaling exponents observed in reality, indicating that the 762 power-law scaling of medium to large landslide areas results from the interplay of the topographic spatial 763 variability and the rate at which the system is driven to instability, which in the real world may be thought of 764 as representing, for example, rainfall intensity. The fundamental difference between this model and the previous CA models used to study the frequency distribution of landslide areas consists of the topographic 765 766 control of both the displaced mass and instability direction; our results point to topography as a major 767 controlling factor in the probability of landslide sizes. Although the spatial variability of a real system is due 768 to the combination of many interdependent factors, it is worth noting that the correspondence between the 769 model outcomes and real landslide sizes is obtained by considering topography as the only factor defining 770 the spatial variability in the system modeled. This result is consistent with the fact that the shapes of the 771 landscape are dependent on geological and structural aspects of the relief, which constrain the type of the 772 physical processes modeling the surface. To conclude, topography seems to be a good candidate to explain

- the scaling properties of medium to large landslide sizes, thus supporting with numerical evidencehypotheses made in previous studies (Frattini and Crosta, 2013).
- 775 Moreover, according to our results, the modeled topography not only provides explanations for the power
- 176 law decay of landslide sizes, but also conserves the information about the scaling exponent of the probability
- 777 distribution of areas of landslides that caused changes in its characteristics.
- 778 Incorporating rejuvenation processes like uplift and river erosion in the model could support the further study
- of long term landslide dynamics, as well as the possible SOC behavior of these processes.
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- 781

## 782 Acknowledgments

- 783 The authors would like to thank the reviewers for their useful input and their constructive criticism.
- 784
- 785

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Figure 6 (Greyscale) Click here to download high resolution image











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