

The published version of the paper "Meniconi, S., Brunone, B., Ferrante M., and Capponi C. (2016). Mechanism of interaction of pressure waves at a discrete blockage. *Journal of Fluids and Structures*, 62, 33-45." is available at: <https://doi.org/10.1016/j.jfluidstructs.2015.12.010>

1 Mechanism of interaction of pressure waves at a discrete
2 partial blockage

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6 **Abstract**

This paper analyses the mechanism of interaction between an incident pressure wave and blockages of different geometrical characteristics (i.e., a butterfly and a ball valves, two short stretches of pipe with a reduced diameter, and a device simulating a longitudinal body blockage) by means of laboratory and numerical tests. Experiments have shown that the mechanism of interaction with pressure waves is influenced by their path through the device: sinuous because of the device body for partially closed in-line valves (type I mechanism), and straight for the small bore pipe devices (type II mechanism). Type I mechanism is characterized by a rise followed by an almost constant value whereas in type II one a drop occurs after the rise. To complete the investigation the effect of the pre-transient condition is discussed.

7 *Keywords:* Partial blockage, Transient tests, Pipe diagnosis, Pressure
8 waves, In-line valves

9 **1. Introduction**

10 Partial blockages in pipelines are an important operational problem since
11 they reduce flow, cause local low pressure values and increase pumping costs.
12 Moreover they deteriorate water quality since they give a better chance of
13 survival to different microorganisms serving as a food source as well as facil-
14 itating their interaction (Boulos et al., 2006; Douterelo et al., 2014). "Natu-
15 ral" partial blockages can be due to slow processes of deposition of chemicals
16 in the oil industry or excess calcium carbonate scale in water pipelines (e.g.,
17 those fed by wells) whereas negligence in system maintenance is the cause of
18 unintended partially closed valves ("artificial" partial blockage).

19 Within the variety of faults affecting real pipelines, partial blockages can be
20 considered among the most insidious ones since no external evidence allows
21 their detection. As a consequence, reliable, non-intrusive and fast techniques
22 for partial blockage (hereafter referred to simply as blockage) detection are
23 of great interest. The analysis and benchmarking of the available methods
24 for blockage detection are beyond the scope of this paper that concerns with
25 those based on the transient pressure response of pipelines, i.e. on the inter-
26 action between injected pressure waves and blockages. Last decade literature
27 on this topic has analyzed the role played by the characteristics of such fea-
28 tures – length and severity – on their transient behavior mostly for single
29 pipes. For a given severity, the distinction between discrete and extended
30 blockages is based on the significant frequency shift in the pressure signal
31 (i.e., the pressure time-history) caused by the latter with respect to clear
32 (i.e., blockage-free) pipes. On the contrary, no perceptible frequency shifts
33 can be observed in pipes with discrete blockages as well as partially closed in-
34 line valves (Lee et al., 2008; Lee and Vitkovsky, 2008). In other words, when
35 the blockage can be approximated as a localized discontinuity in the system
36 it is referred to as a discrete blockage whereas extended blockages occur when
37 significant stretches of pipe are affected by the constriction (Brunone et al.,
38 2008a; Duan et al., 2012).

39 Irrespective of blockage characteristics, the analysis of the pressure signal can
40 be executed both in the frequency- (Lee et al., 2013) and time-domain (Meni-
41 coniconi et al., 2011a). More recently, a coupled frequency- and time-domain ap-
42 proach has been proposed (Meniconi et al., 2013b) and the wave scattering
43 effect of rough blockages has been examined in the laboratory (Duan et al.,
44 2014c). A totally different approach has been proposed by Massari et al.
45 (2013, 2014, 2015) where the stochastic Successive Linear Estimator (SLE)
46 – extended from groundwater hydrology (Yeh et al., 1996) – is used to infer
47 the presence of extended partial blockages casting the inverse problem of the
48 diagnosis in the probabilistic framework.

49 Frequency response techniques have been used by Mohapatra et al. (2006a,b);
50 Mohapatra and Chaudhry (2011); Sattar et al. (2008) to point out the impact
51 of discrete blockages in terms of the amplitude of odd and even harmonics
52 when sinusoidal oscillations are used to excite the system (Chaudhry, 2014).
53 Frequency, phase, and amplitude of the blockage-induced pattern – with tran-
54 sients generated by operating a side-discharge valve – are quantified in Lee
55 et al. (2008) where a simple analytical expression is also proposed. The so
56 obtained frequency response diagrams (FRD) can be used as look-up charts

57 within the diagnosis procedure (Lee and Vitkovsky, 2008). A blockage detec-
58 tion method using blockage-induced pressure damping is proposed by Wang
59 et al. (2005); a discussion in terms of total kinetic and internal energies (Kar-
60 ney, 1990) of such a method is offered in Meniconi et al. (2014). The case
61 of extended blockages is examined by Duan et al. (2012, 2013, 2014a) where
62 it is shown that, as mentioned above, the effect of blockages is a change of
63 the resonant frequencies of the system and then the phase shift of the fre-
64 quency peaks is used to detect and locate blockages. It is also demonstrated
65 and checked by means of both numerical and laboratory experiments that
66 friction does not affect the resonant peak frequencies as well as the assumed
67 linear behavior of pipe connection junctions. On the contrary, the effects
68 of viscoelasticity of pipe material must be isolated and removed from the
69 data before executing the diagnosis. It is also pointed out that the location
70 and length of the blockages can be detected to a greater accuracy than its
71 severity. In a more recent paper (Duan et al., 2014b), the reasons of the
72 blockage-induced shift in the system resonant frequencies are investigated by
73 means of a wave perturbation analysis. In this paper, an analytical relation-
74 ship between the blockage characteristics and the resonant frequency shift is
75 given.

76 When the pressure signal is examined in the time-domain, attention is fo-
77 cused on the pressure wave reflected by the blockage: in fact, the capture of
78 the instant of time when it reaches the measurement section allows locating
79 the blockage whereas its magnitude derives from blockage severity. More
80 precisely, for a given incident pressure wave, the larger the local head loss
81 through the discrete blockage, the larger the reflected pressure wave (Con-
82 tractor, 1965; Brunone et al., 2008b; Meniconi et al., 2010, 2011a). Within
83 such an approach, in the case of extended blockages, the double reflection
84 caused by the reduction and subsequent enlargement can be easily detected
85 in the pressure signal (Brunone et al., 2008a). Turning points of numeri-
86 cal simulations of transients in pipes with a blockage by current methods –
87 e.g., the method of the characteristics – have been highlighted for both gas
88 (Adewumi et al., 2000, 2003) and fluid flow (Meniconi et al., 2012a; Tuck
89 et al., 2013).

90 The above brief literature review shows that in the last decade of intense
91 research activity, attention has been focused mainly on the distinction be-
92 tween discrete and extended blockages in terms of the induced-or-not time
93 shift and magnitude of reflected pressure waves within the frequency- and
94 time-domain approach, respectively. Some attention has been also devoted

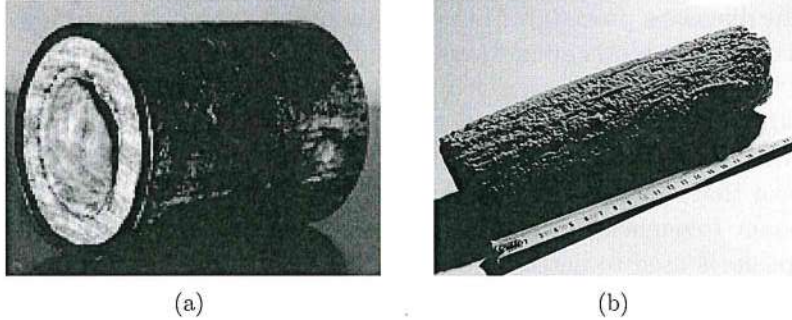


Figure 1: Different shapes of real pipe discrete blockages: a) internal pipe diameter reduction (often in metallic pipes); b) longitudinal body (often in plastic pipes).

95 to the analysis of test conditions – pointing out the importance of the char-
 96 acteristics of the generated pressure waves (Lee et al., 2008; Brunone et al.,
 97 2008b) – and the negligible influence of the geometry of the section area
 98 changes between clear and blocked stretches of pipe in the case of extended
 99 blockages (Meniconi et al., 2012a).

100 Based on real pipe experience where different blockage features happen ac-
 101 cording to pipe material – i.e., a quite regular diameter reduction for metallic
 102 pipes (Fig. 1a) and longitudinal bodies for plastic pipes (Fig. 1b) – the aim
 103 of this paper is to analyze the mechanism of interaction between the incident
 104 pressure waves and a discrete blockage with different geometrical character-
 105 istics. In such a context, laboratory and numerical tests have been executed
 106 to examine the transient behavior of different devices (i.e., a butterfly and a
 107 ball valve, two short stretches of pipe with a reduced diameter, and a device
 108 simulating a longitudinal body blockage).

109 2. Laboratory set-up

110 The laboratory set-up at the Water Engineering Laboratory (WEL) of the
 111 University of Perugia, Italy, consists of a high density polyethylene (HDPE)
 112 pipe (length, $L = 164.93$ m, internal diameter, $D = 93.3$ mm, and wall
 113 thickness $e = 8.1$ mm) supplied by a pressurized tank (T); pressure waves
 114 are generated by the complete and fast closure of the maneuver valve (V)
 115 installed at the downstream end section (Fig. 2) of the pipe.

116 During transient tests, the pressure signal (i.e., the pressure time-history),
 117 H , has been measured at a section placed 0.6 m upstream of the maneuver

118 valve (section M in Fig. 2) by means of a piezoresistive transducer (2200 se-
 119 ries by Gems), with a different full scale according to the maximum measured
 120 pressure value, and a sampling frequency of 1024 Hz. The steady-state mean
 121 flow velocity, V_0 , and local head loss across the blockage, $\zeta_{ID,0}$, have been
 122 measured by means of a magnetic flow meter (ML210 by Isoil) and a variable
 123 reluctance differential pressure transducer (DP15 by Validyne), respectively;
 124 the subscripts ID and 0 indicate the in-line device and the pre-transient con-
 125 dition, respectively. During tests the water temperature (at average equal
 126 to 20°C) has been measured by a digital resistance thermometer (TRI by
 127 Gefran) and then, the related kinematic viscosity, ν , and fluid density, ρ
 128 have been evaluated ($\nu = 1.003 \cdot 10^{-6} \text{ m}^2/\text{s}$ and $\rho = 998.21$).
 129 The in-line device simulating the discrete blockage is placed at a distance
 130 $L_2 = 75.97 \text{ m}$ upstream of the valve V. Five types of blockages are consid-
 131 ered in this study: a ball (BV, PN35 by Tecnovielle) and a butterfly valve
 132 (BTV, PN16 by InterApp), which simulate “artificial” features, a small bore
 133 pipe (SBP), a very short stretch of pipe (hereafter referred to as *very short*
 134 *blockage*, VSB, Fig. 3), and a longitudinal body blockage (LB, Fig. 4), which
 simulate “natural” features.

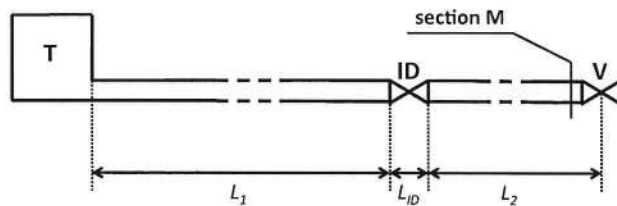


Figure 2: Experimental set-up (T = supply tank, ID = in-line device simulating the
 discrete blockage, M = measurement section, and V = maneuver valve).

135
 136 The characteristics of such devices – length, L_{ID} , and diameter, D_{ID}
 137 – are reported in Table 2 where D_{ID} indicates the internal diameter, d ,
 138 for small bore pipes, the nominal diameter, DN , for valves, respectively;
 139 for the longitudinal body blockage (Fig. 4) the size of the annulus of the
 140 opening area, R_{AN} , and the diameter of the internal blockage (= 84.85 mm)
 141 characterize completely the device. Moreover, the wall thickness of all small
 142 bore pipes is $e_{ID} = 3.9 \text{ mm}$. As indicated in Table 2, the difference between

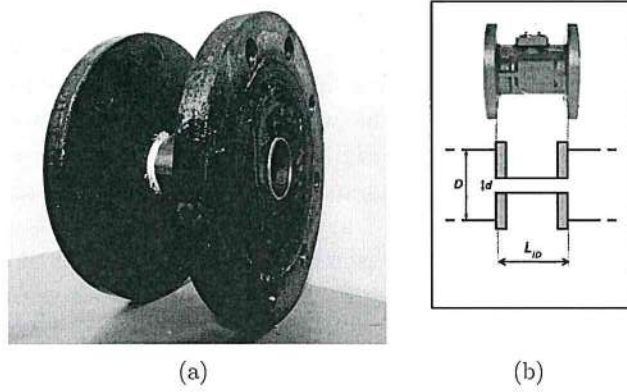


Figure 3: Very short blockage (VSB): a) device; b) longitudinal-section (schematic).

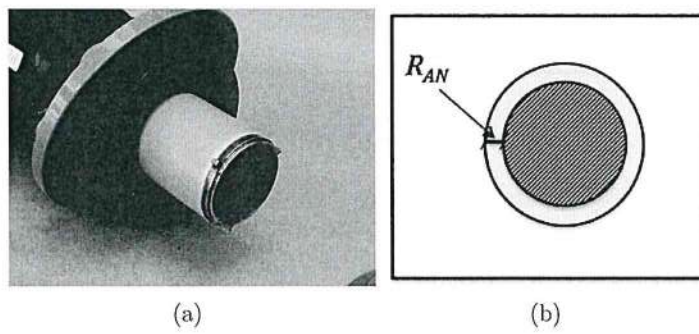


Figure 4: Longitudinal body blockage (LB): a) device; b) cross-section (schematic).

Table 1: Main characteristics of discrete partial blockages used in the experiments.

ID Type	L_{ID} (mm)	D_{ID} (mm)
Butterfly valve (BTV)	60	100
Ball valve (BV)	120	90
Small bore pipe (SBP)	480	38.8
Very short blockage (VSB)	120	38.8
Longitudinal body blockage (LB)	480	4.22

143 the small bore pipe (SBP) and the very short blockage (VSB) is given by L_{ID} ,
 144 with the length of VSB being equal to that of the ball valve, BV (Fig. 3b).
 145 Similarly, the same blockage severity of the SBP results for the longitudinal
 146 body blockage (LB) but with the opening area of an annular shape (Fig. 4b).

147

148 The steady-state behavior of the devices simulating blockages is given by the
 149 value of the local head loss coefficient, χ_{ID} , defined by the Borda equation:
 150 $\zeta_{ID,0} = \chi_{ID} V_0^2/(2g)$, with $g =$ gravity acceleration; χ_{ID} values take into
 151 account also the friction losses through the blockage and are obtained by
 152 means of steady-state tests. In Fig. 5 such values are reported vs. the pre-
 153 transient Reynolds number, $Re_0 = V_0 D/\nu$, for given values of the opening
 154 degree, δ_{ID} (= ratio between the blockage cross-sectional area and pipe area).
 155 The curves in Fig. 5 confirm that in turbulent flow regime the value of χ_{ID}
 156 – and thus the local energy dissipation – depends strongly on the flow path
 157 through the device. In fact, Fig. 5 shows that different devices with the
 158 same value of δ_{ID} but different geometrical characteristics exhibit a different
 159 steady-state behavior. As it will be shown below, the same applies to the
 160 transient response of such devices: for a given incident pressure wave, the
 161 reflected one depends on the characteristics of the path of pressure waves
 162 through them.

163 3. Laboratory Results and Discussion

164 3.1. Effect of the blockage geometrical characteristics on transient response

165 Tests executed by Meniconi et al. (2011a) to analyze the transient be-
 166 havior of a partially closed in-line valve show that y_{ID} , which is the pressure
 167 rise due to the arrival at the measurement section of the wave reflected by

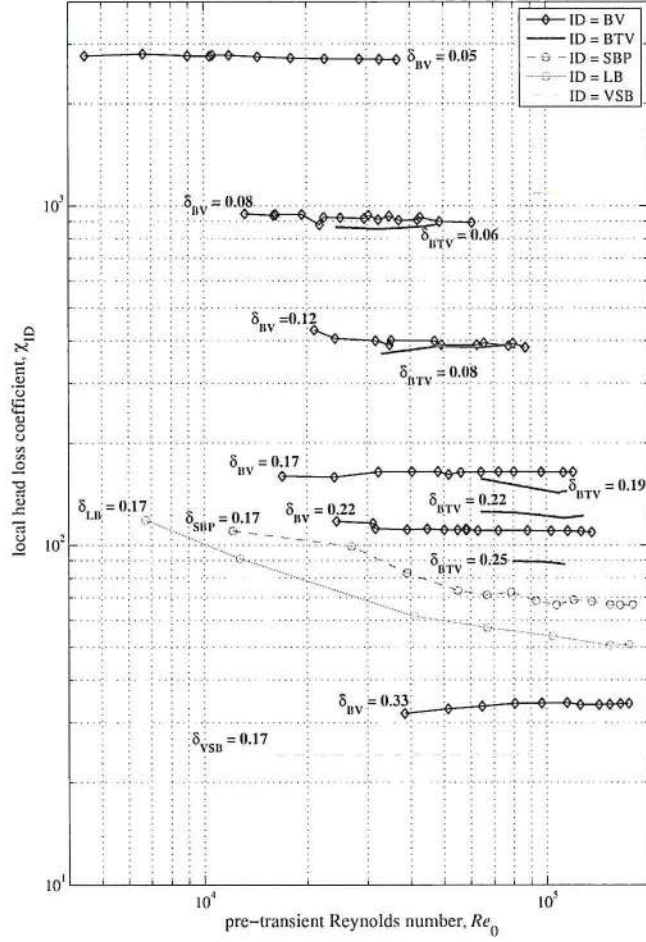


Figure 5: Local head loss coefficient, χ_{ID} , vs. pre-transient Reynolds number, Re_0 , for different blockage features and given opening degrees, δ_{ID} (ball valve (BV), butterfly valve (BTV), small bore pipe (SBP), longitudinal body blockage (LB), very short blockage (VSB)).

168 the blockage, depends on: i) $\zeta_{ID,0}$, ii) the distance between the in-line device
 169 and the measurement section (for the considered case, L_2), and iii) the clear

170 pipe material (through the value of its pressure wave speed, a). It is worth
 171 noting that L_2 has no influence on the mechanism of interaction between
 172 the pressure waves and the device. However, it has to be taken into account
 173 when the transient behavior of the device is examined by measuring pres-
 174 sure waves at a certain distance from it. In fact, the larger L_2 , the larger
 175 the damping of pressure waves due to viscoelasticity and friction. On the
 176 contrary, neither the opening area nor the pre-transient flow condition, i.e.
 177 Re_0 , have a valuable effect on y_{ID} . In Meniconi et al. (2011a) it is shown
 178 that, for different types of valves but for a given value of $\zeta_{ID,0}$, y_{ID} is the
 179 same irrespective of the value of Re_0 . During tests, attention was focused on
 180 the first characteristic time of the pipe, $\tau = 2L/a$, in order to capture the
 181 first pressure wave reflected by the device. In fact, in the successive phases
 182 of the transients, the effect of the blockage is less and less distinguishable
 183 because of the overlapping of the pressure waves generated at the supply
 184 tank and by now closed downstream maneuver valve. Moreover, in the long
 185 term the effect of the blockage on the pressure signal is hidden by friction
 186 and viscoelasticity.

187 With the crucial role of $\zeta_{ID,0}$, in this paper the possible effect of the geomet-
 188 rical characteristics of the blockage, and thus the path of the pressure waves
 189 through it, is examined.

190 As discussed below, the results of the tests have pointed out that during τ
 191 the pressure signal may exhibit two different behaviors due to the mechanism
 192 of interaction between the incident pressure wave and the device: the first is
 193 characterized by a rise followed by an almost constant value (type I), whereas
 194 in the second a drop occurs after the rise (type II).

195 The first series of the laboratory tests concerns the comparison between two
 196 typical artificial blockages: ball (BV) and butterfly (BTV) valves (Fig. 6)
 197 with the same $\zeta_{ID,0}$ ($= 5.37$ m for case “a”, and 9.91 m for case “b”); in
 198 the figures t is the time evaluated since the beginning of the valve maneuver.
 199 Notwithstanding the very different value of δ_{ID} , due to the characteristics of
 200 the body valve (a disk for the BTV and a ball for the BV), y_{ID} is the same
 201 ($= 4.48$ m for case case “a” and 8.22 m for case “b”) as well as the whole
 202 pressure signal behavior which is almost constant during τ after the rise y_{ID} .
 203 A similar behavior can be ascribed to the fact that since the path of pressure
 204 waves through these devices has almost the same characteristics (sinuous be-
 205 cause of the body valve) the same is also the mechanism of interaction.

206 The aim of the second series of tests (Fig. 7) is to compare the mechanism
 207 of interaction of pressure waves with a ball valve (BV) and a very short

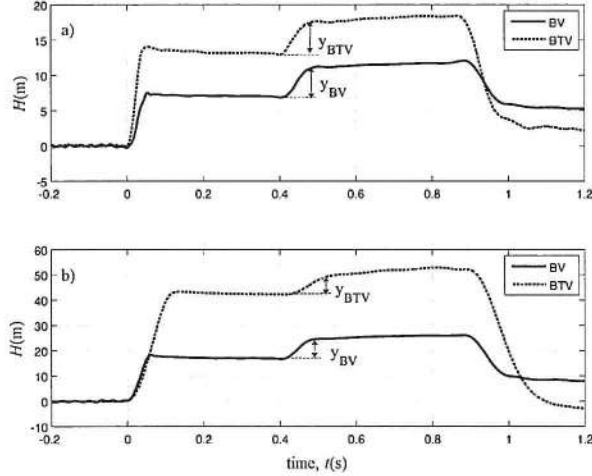


Figure 6: Experimental pressure signals for the butterfly valve (BTV) and ball valve (BV) – type I: a) $\zeta_{ID} = 5.37$ m, $y_{ID} = 4.48$ m; BV: $\delta_{BV} = 0.047$, $Re_0 = 17111$; BTV: $\delta_{BTV} = 0.056$, $Re_0 = 32829$; b) $\zeta_{ID} = 9.91$ m, $y_{ID} = 8.22$ m; BV: $\delta_{BV} = 0.082$, $Re_0 = 42813$; BTV: $\delta_{BTV} = 0.193$, $Re_0 = 108831$.

208 blockage (VSB) with the same $\zeta_{ID,0}$ ($=1.17$ m), L_{ID} ($= 120$ mm), and δ_{ID}
 209 ($= 0.17$). Fig. 7 points out that y_{ID} is the almost same ($= 1.09$ m), but a
 210 successive sudden drop can be observed in the very short blockage (VSB) –
 211 type II mechanism – with respect to the ball valve (BV) where the pressure
 212 signal remains constant – type I mechanism.

213 The fact that the path of pressure waves through the blockage influences the
 214 transient response is confirmed by Fig. 8 plots where the small bore pipe
 215 (SBP) and longitudinal body blockage (LB) are compared. Such blockages
 216 have the same L_{ID} ($= 480$ mm), the same opening degree ($\delta_{ID} = 0.17$), as
 217 well as the same $\zeta_{ID,0}$ ($= 0.51$ m). More importantly, the path of pressure
 218 waves is almost straight in both cases, and, as a result, the whole transient
 219 response is the same.

220 Based on the above experiments, it can be stated that the path of pressure
 221 waves through the device plays a crucial role in the mechanism of interac-
 222 tion with pressure waves during the pipe first characteristics time: sinuous
 223 through the valves, because of the presence of the body valve (type I), and
 224 almost rectilinear through the very short blockage, small bore pipe, and longi-

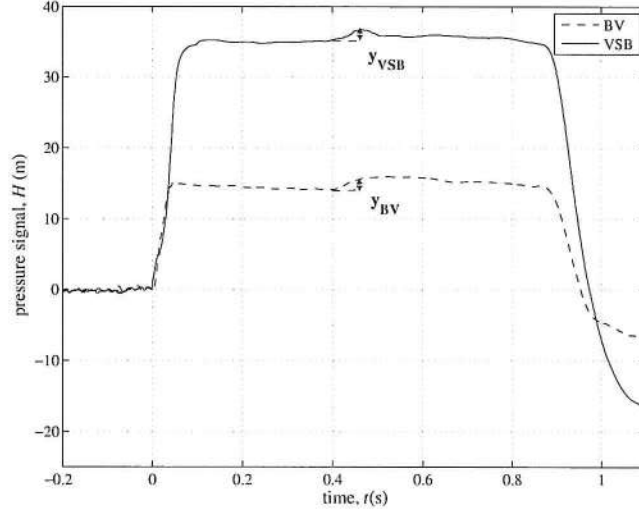


Figure 7: Experimental pressure signals for the ball valve (BV) – type I – and very short blockage (VSB) – type II – ($\zeta_{ID} = 1.17$ m, $\delta_{ID} = 0.17$, $y_{ID} = 1.09$ m; BV: $Re_0 = 35260$; VSB: $Re_0 = 90573$).

225 tudinal body blockage (type II), because of their constant longitudinal shape.
 226 To better understand laboratory pressure traces of Figs. 6 to 8, some numer-
 227 ical experiments have been executed concerning – for the sake of simplicity –
 228 the case of the frictionless elastic pipe, with the same geometrical character-
 229 istics of the laboratory setup, where an instantaneous maneuver generates a
 230 single pressure wave, ΔH_I . For the short stretches of pipe with a reduced
 231 diameter, numerical simulations assume that during transients a gradually
 232 varied flow takes place between the downstream (DC) and the upstream con-
 233 nection (UC) between the clear pipe and the blockage (Fig. 9). With regard
 234 to valves, the effect of the local head loss is taken into account since it dom-
 235 inates the mechanism of interaction. In the below plots, the dimensionless
 236 pressure signals

$$h = \frac{H - H_0}{\Delta H_{AJ}} \quad (1)$$

237 are considered, with $\Delta H_I = \Delta H_{AJ} = \frac{aV_0}{g}$ being the Allievi-Joukowski over-
 238 pressure.

239 As an example of type II mechanism, in the case of the small bore pipe (SBP),

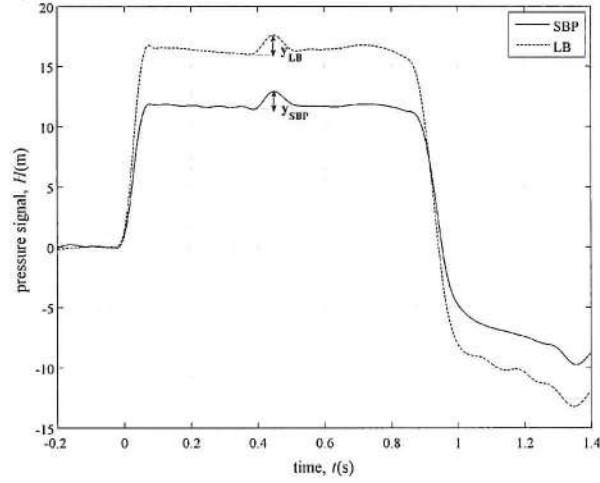


Figure 8: Experimental pressure signals for the SBP and LB (type II) ($\zeta_{ID} = 0.51$ m, $\delta_{ID} = 0.17$, $y_{ID} = 1.03$ m; SBP: $Re_0 = 27044$; LB: $Re_0 = 40984$).

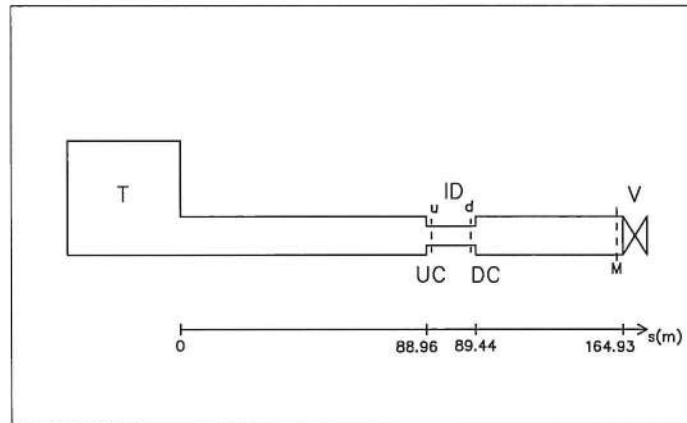


Figure 9: The small bore pipe system – SBP (T = supply tank; UC, DC = upstream, downstream connection between the blockage and the clear pipe; ID = in-line device; u,d = computational sections; M = measurement section; and V = maneuver valve).

240 at $t_1 = L_2/a$, Δh_I , as an incident pressure wave (Fig. 10a), approaches the
 241 downstream connection (DC) and gives rise to the reflected wave, $\Delta h_R^{(1)}$,
 242 which propagates back towards the downstream end section, and transmit-

243 ted wave, $\Delta h_T^{(1)}$, (Fig. 10b) which travels towards the upstream connection
 244 (UC). At $t_2 = t_1 + L_{ID}/a_{ID}$, with a_{ID} = pressure wave speed of the SBP,
 245 $\Delta h_T^{(1)}$ interacts with the upstream connection (UC) and generates a second
 246 couple of waves: the reflected pressure wave, $\Delta h_R^{(2)}$, proceeding back towards
 247 the DC, and the transmitted pressure wave, $\Delta h_T^{(2)}$, traveling along the up-
 248 stream branch of pipe (Fig. 10c). On the contrary, $\Delta h_R^{(1)}$ will affect again
 249 the small bore pipe only at time $t = t_1 + 2L_2/a$, after it has been reflected
 back by the now closed maneuver valve. At $t_3 = t_2 + L_{ID}/a_{ID}$, $\Delta h_R^{(2)}$ reaches

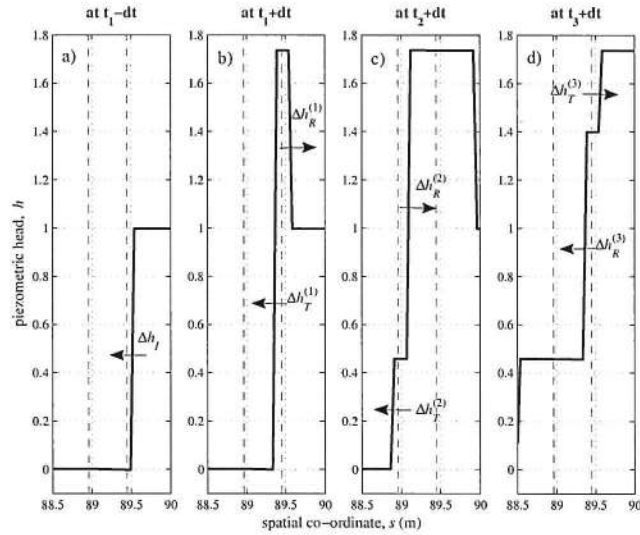


Figure 10: Sketch of the reflected and transmitted dimensionless numerical pressure waves
 at the small bore pipe device, generated by a single incident pressure wave, Δh_I , in a fric-
 tionless elastic pipes at some distinctive instants of time during the pipe first characteristic
 time.

250
 251 the DC and it is reflected back towards UC, as $\Delta h_R^{(3)}$, and transmitted to-
 252 wards the end section, as $\Delta h_T^{(3)}$ (Fig. 10d). On the contrary, the effects of
 253 $\Delta h_T^{(2)}$ on the small bore pipe will occur only at $t = t_2 + 2L_1/a$. Then $\Delta h_R^{(3)}$
 254 behaves as $\Delta h_T^{(1)}$ and the interaction between the pressure waves and the
 255 SBP proceeds during the first characteristic time giving rise to smaller and
 256 smaller pressure waves inside the SBP. In Fig. 11 the dimensionless pres-
 257 sure signal at sections "d" and "u" (the former just upstream of DC and
 258 the latter just downstream of UC) shows the progressive decay caused by

the above mechanism of interaction. At the measurement section M of the

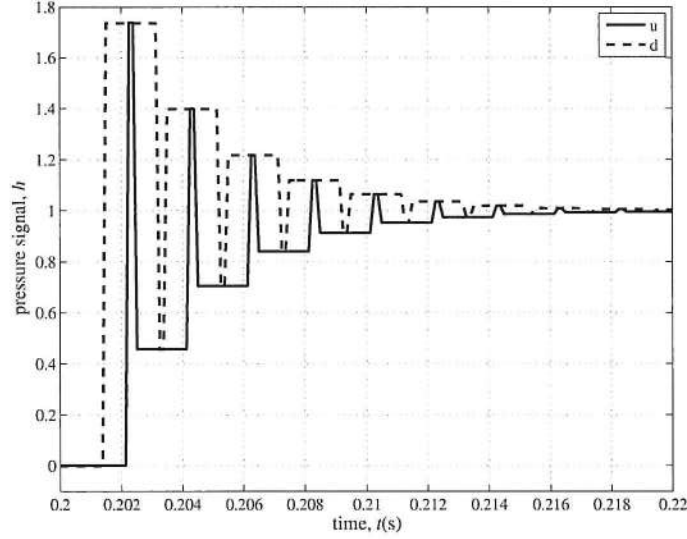


Figure 11: Decay of dimensionless numerical pressure signal inside the small bore pipe (SBP) after the arrival of a single incident pressure wave in a frictionless elastic pipe.

259

260 laboratory experiments the above interaction between pressure waves and
 261 SBP results in a sort of terrace-shape curve which is the distinctive feature
 262 of a SBP placed at a certain distance upstream when a single pressure wave
 263 is generated at M. At this section, the effect of a maneuver with a duration
 264 T , which generates a pressure wave train and not a single wave, is a huge
 265 smoothing and a delay in time of the pressure traces as shown in Fig. 12
 266 where different values of T ($= 0$ s, 0.05 s and 0.1 s) are considered.

267 A completely different phenomenon happens when the incident pressure
 268 wave, Δh_I , interacts with a butterfly valve: a single reflected pressure wave,
 269 $\Delta h_R^{(1V)}$, is produced since the transmitted pressure wave, $\Delta h_T^{(1V)}$, travels
 270 along the upstream branch of pipe with no interaction with any singularity.
 271 This is the reason why the pressure trace at section M shows a single rise
 272 and is almost constant until the arrival of the second pressure wave reflected
 273 by the in-line valve.

274

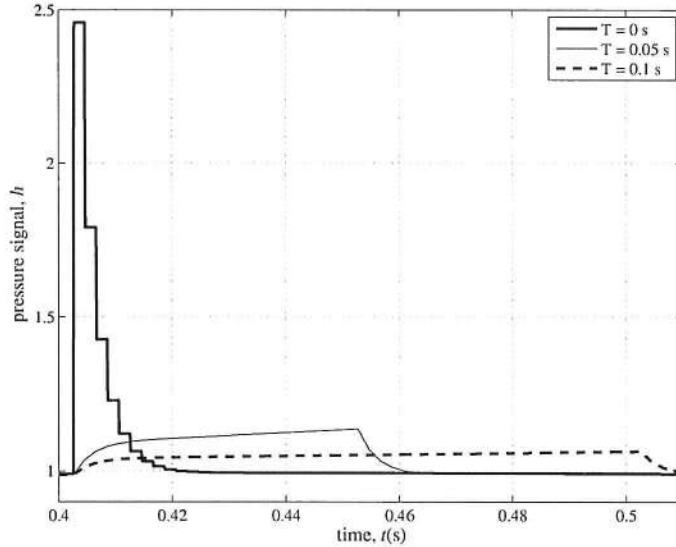


Figure 12: The effect of the duration, T , of the maneuver generating the pressure waves on the dimensionless pressure signal at the measurement section M in a frictionless elastic pipe with a small bore pipe device.

275 *3.2. Effect of the pre-transient conditions for blockages of type II*

276 The fourth series of laboratory experiments concerns the transient behav-
 277 ior of discrete blockages of type II with regard to the possible effect of the
 278 pre-transient conditions – i.e., Re_0 , and thus $\zeta_{ID,0}$ – in order to fill such a
 279 gap with respect to type I devices examined in Meniconi et al. (2011a). In
 280 Figs. 13 and 14 pressure signals with increasing Re_0 are shown for the very
 281 short blockage (VSB) and the small bore pipe (SBP), respectively. In both
 282 cases, for the smaller values of Re_0 , the mechanism of interaction of pressure
 283 waves is of type II, according to the experiments discussed above. Then, the
 284 larger Re_0 , and thus $\zeta_{ID,0}$, the more the transient response fits in with type
 285 I (Figs. 13b and 14b).

286 To explore in more details such a behavior, numerical experiments have been
 287 executed by considering the laboratory pipes. The used 1-D model – de-
 288 scribed in Appendix I – is based on the method of characteristics and un-
 289 steady friction, viscoelasticity, and the minor head loss at both the sudden
 290 contraction and enlargement are taken into account (Idel’cik, 1986). More-
 291 over, it is assumed that a gradually varied flow takes place in both the small

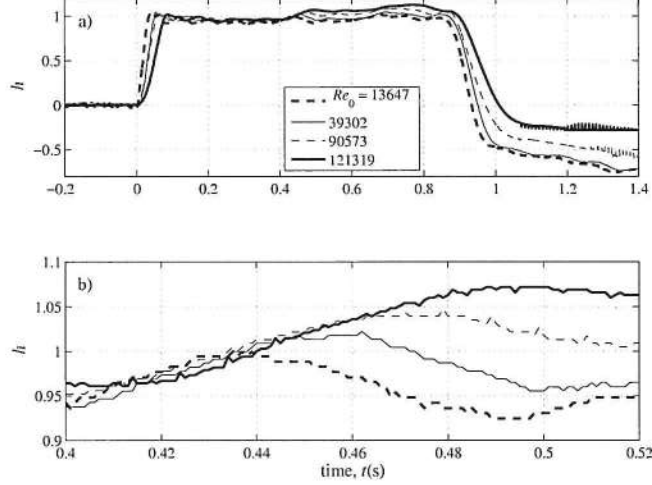


Figure 13: Dimensionless experimental pressure signals for the very short blockage (VSB) with different values of Re_0 : a) in the first characteristics time $= 2L/a$; b) magnified vision in the time interval when most of the interaction between pressure waves and the device takes place.

292 bore pipe (SBP), and the very short blockage (VSB). It is worth noting that
 293 the performance of this model has been extensively checked with good results
 294 for different systems: a single pipe (Meniconi et al., 2012b), a pipe with:
 295 a partially closed in-line valve (Meniconi et al., 2012b), a discrete and extended
 296 blockage (Meniconi et al., 2012a, 2014), and a leak (Meniconi et al., 2013a).
 297 To compare the experimental and numerical pressure traces, the root mean
 298 square error, $\epsilon = \sqrt{\frac{\sum_{i=1}^N (H_n - H)^2}{N}}$, is evaluated, with $N =$ number of samples
 299 in the first characteristic time, and the subscript n indicating the numerical
 300 model outcome. In Fig. 15, as an example, the behavior of ϵ vs. Re_0 is shown
 301 for the small bore pipe device (SBP). The discrepancies between numerical
 302 and laboratory results can be ascribed mainly to the failure of the assumed
 303 hypothesis of a gradually flow along the device. Moreover, the curves of this
 304 figure show that ϵ increases if the minor head loss at the contraction and
 305 enlargement are not taken into account. However, even if the effect of such
 306 local head losses is not negligible, they do not play a crucial role in the simu-
 307 lation of the phenomenon. A further check has concerned, as an example,
 308 the test with the largest Re_0 (Fig. 16). The curves in this figure confirm

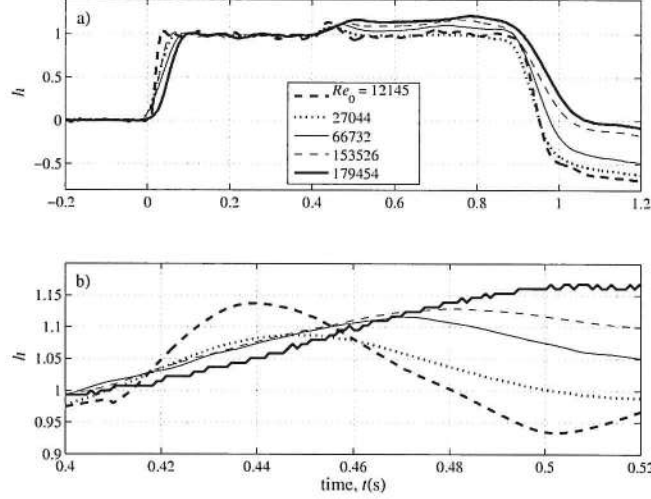


Figure 14: Dimensionless experimental pressure signals for the small bore pipe (SBP) with different values of Re_0 : a) in the first characteristics time $= 2L/a$; b) magnified vision in the time interval when most of the interaction between pressure waves and the device takes place.

309 that the quality of the numerical simulation increases if the local head losses
 310 at UC and DC are considered; however, it definitely improves by assuming
 311 a type I mechanism. In other words, the value of ϵ decreases if it is assumed
 312 that the small bore pipe behaves as a partially closed in-line valve with, as
 313 a unique local head loss, the one measured in the steady-state condition.
 314 To better highlight the evolution of type II mechanism towards the type I
 315 behavior, parallels can be drawn with the so called Eytelwein phenomenon.
 316 Such a phenomenon happens in steady-state condition (Eytelwein, 1801;
 317 Arredi, 1934) when the distance between two orifices in series decreases and
 318 the global effect – i.e., the total local head loss – is no more given by the
 319 sum of two distinct energy dissipations since the second orifice interacts with
 320 the flow downstream of the first one. Mutatis mutandis, the carried out ex-
 321 periments show that a similar phenomenon happens in transient conditions:
 322 when Re_0 increases, the two distinct minor head losses at the sudden con-
 323 traction and enlargement collapse into a unique energy dissipation and the
 324 transient behavior is equal to the one of a partially closed in-line valve (type
 325 I mechanism). For the special case of no initial flow (i.e., $Re_0 = 0$), the

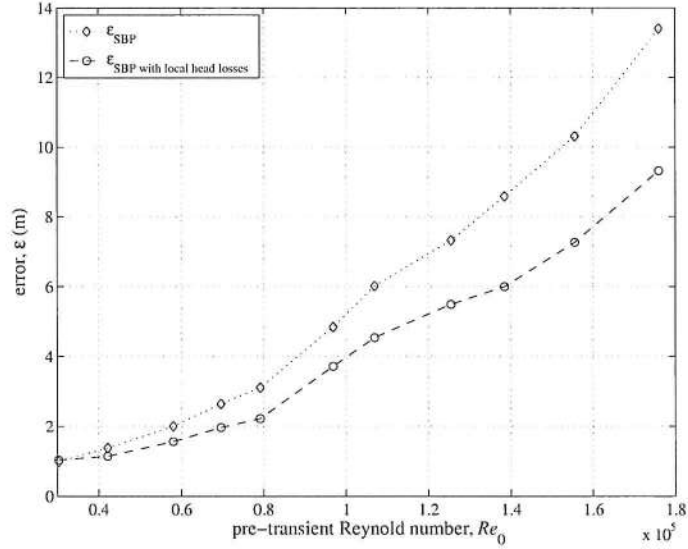


Figure 15: Root mean square error of the numerical model, ϵ , vs. pre-transient Reynolds number, Re_0 , when the flow through SBP is assumed as gradually varied.

326 pressure wave must be generated by a specific pressure wave maker device
 327 as the one described in Brunone et al. (2008b). In such a case, different
 328 boundary conditions apply and a different mechanism of interaction happens
 329 as discussed in details in Meniconi et al. (2011b).

330 4. Conclusions

331 In this paper the mechanism of interaction of pressure waves and dis-
 332 crete blockages has been analyzed in detail. A huge amount of laboratory
 333 experiments has been carried out at the Water Engineering Laboratory of
 334 the University of Perugia, Italy, with different types of discrete blockages:
 335 a butterfly and a ball valve, two small bore pipes and a longitudinal body
 336 blockage.

337 Based on previous results for a partially closed in-line valve (Meniconi et al.,
 338 2011a), in the first phase of the experimental campaign, transients with the
 339 same steady-state local head loss at the in-line device, $\zeta_{ID,0}$, but very dif-
 340 ferent geometrical characteristics, have been considered. These tests have
 341 confirmed the crucial role of $\zeta_{ID,0}$ and pointed out that two mechanisms of

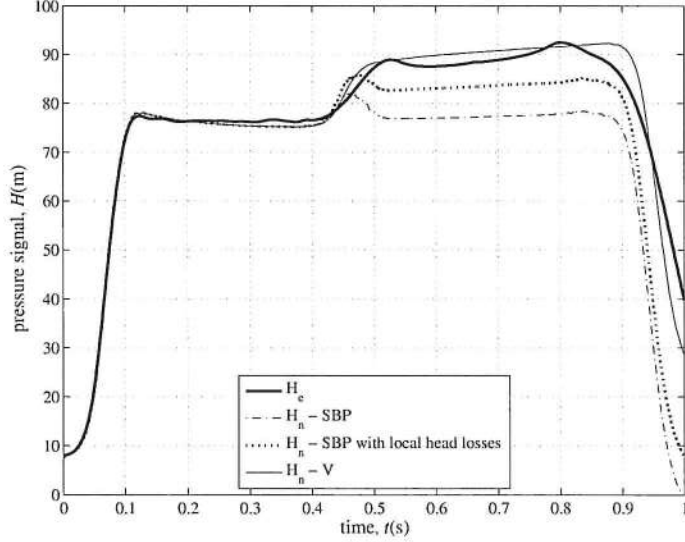


Figure 16: Experimental (bold line) vs. numerical pressure signals for different mechanisms of interaction at the small bore pipe (SBP), for the largest value of Re_0 ($= 179454$): the dash-dotted and the bold dotted line indicates type II numerical trace without and with local head losses at UC and DC, respectively; the thin continuous line indicates type I numerical trace.

342 interaction with the pressure waves can occur. The type I mechanism hap-
 343 pens when the path of the pressure waves is sinuous, as through a partially
 344 closed in-line valve, whereas the type II mechanism takes place at a small
 345 bore pipe where the path of pressure waves is almost straight. The differences
 346 between type I and type II mechanisms influence the pressure signal: for a
 347 given $\zeta_{ID,0}$, the same first pressure rise occurs, whereas a successive drop
 348 takes place only for type II mechanism. To better understand laboratory
 349 pressure traces, numerical experiments have been carried out to analyze the
 350 interaction of pressure waves with the blockages and examine the effect of
 351 maneuver duration.

352 Further numerical and laboratory experiments carried out on the small bore
 353 pipe (SBP) and the very short blockage (VSB) show that the type II mecha-
 354 nism of interaction is affected by the pre-transient flow condition. Precisely,
 355 the larger the pre-transient Reynolds number, and thus the local head loss,
 356 the more the type II behavior evolves towards the type I one. This phe-
 357 nomenon may be ascribed to the fact that only for the smallest values of Re_0

358 a gradually varied flow takes place throughout the device and two distinct
359 local head losses happen at the sudden constriction and enlargement, respec-
360 tively. In other words, when Re_0 increases, such minor head losses give rise
361 to a unique energy dissipation as a partially closed in-line valve (type I mech-
362 anism). Thus it can be affirmed that for type II blockages the mechanism of
363 interaction with pressure waves is a sort of dynamic behavior, according to
364 pre-transient condition. Such a result has been confirmed by the outcomes
365 of the 1-D numerical model simulating transients in viscoelastic pipes with
366 a discrete blockage.

367 Appendix I – Numerical model

368 According to literature (Covas et al., 2005; Franke and Seyler, 1983; Ghi-
369 lardi and Paoletti, 1986; Keramat et al., 2012; Meniconi et al., 2012a,b; Soares
370 et al., 2008), the complete 1-D model to simulate transients in pressurized
371 viscoelastic pipes is based on the continuity:

$$\frac{\partial H}{\partial t} + \frac{a^2}{g} \frac{\partial V}{\partial s} + \frac{2a^2}{g} \frac{d\epsilon_r}{dt} = 0, \quad (2)$$

372 and momentum equation:

$$\frac{\partial H}{\partial s} + \frac{V}{g^2} \frac{\partial V}{\partial s} + \frac{1}{g} \frac{\partial V}{\partial t} + J = 0, \quad (3)$$

373 with J = total friction term ($= 4\tau_w/\rho gD$), τ_w = wall shear stress, ρ =
374 fluid density, and s = spatial co-ordinate. These equations are integrated
375 numerically within the method of the characteristics.

376 In this paper a single element Kelvin-Voigt model is used, i.e. a viscous
377 damper and an elastic spring are connected in parallel and joined to a simple
378 elastic spring in series. Thus, the third term of Eq. (2) is described by the
379 following relationship:

$$\sigma = E_r \epsilon_r + \frac{E_r}{T_r} \frac{d\epsilon_r}{dt}, \quad (4)$$

380 where σ = circumferential stress ($= \psi pD/2e$, with ψ = dimensionless pa-
381 rameter that takes into account pipe size and constraints, and p = internal
382 pressure), E_r = dynamic modulus of elasticity, and T_r = retardation time of
383 the viscous damper of the Kelvin-Voigt element. The elastic strain, ϵ_{el} , of
384 the spring is given by:

$$\epsilon_{el} = \frac{\sigma}{E_{el}}, \quad (5)$$

385 where E_{el} = the elastic Young’s modulus of elasticity. According to literature,
 386 τ_w is regarded as the sum of two components:

$$\tau_w = \tau_{w,s} + \tau_{w,u}, \quad (6)$$

387 where the subscripts s and u indicate the steady- and unsteady-state com-
 388 ponent, respectively. In this paper, $\tau_{w,u}$ is evaluated by means of an instan-
 389 taneous acceleration-based model (Ghidaoui et al., 2005):

$$\tau_{w,u} = \frac{\rho k_{uf} D}{4} \left(\frac{\partial V}{\partial t} + \text{sign} \left(V \frac{\partial V}{\partial s} \right) a \frac{\partial V}{\partial s} \right), \quad (7)$$

390 where k_{uf} = unsteady friction coefficient, and $\text{sign}(V \partial V / \partial s) = (+1$ for
 391 $V \partial V / \partial s \geq 0$ or -1 for $V \partial V / \partial s < 0$). Model parameters (i.e., E_{el} , E_r ,
 392 and T_r) have been calibrated by considering transients in single pipes by
 393 minimizing the difference between numerical and experimental pressure sig-
 394 nals whereas k_{uf} is evaluated following the procedure described in (Pezzinga,
 395 2000). Then, the so-obtained values of parameters have been exported and
 396 tested on the in-line valve pipe (Meniconi et al., 2012b), in series pipes (Meni-
 397 conic et al., 2012a), and leaky pipe (Meniconi et al., 2013a). The resulting
 398 values of the model parameters are: $E_{el} = 2.20 \cdot 10^9$ N/m², $a = 377.15$ m/s,
 399 $E_r = 8.50 \cdot 10^9$ N/m², $T_r = 0.13$ s for the clear pipe, $E_{el,ID} = 2.62 \cdot 10^9$ N/m²,
 400 $a_{ID} = 431.38$ m/s, $E_{r,ID} = 15.0 \cdot 10^9$ N/m², $T_{r,ID} = 0.08$ s for the small bore
 401 pipe. The boundary conditions at the supply tank and in-line and maneuver
 402 valve are described in details in (Meniconi et al., 2012b).

403 Acknowledgements

404 This research is funded by the University of Perugia, Italian Ministry of
 405 Education, University and Research (MIUR) – under the Projects of Relevant
 406 National Interest “Advanced analysis tools for the management of water
 407 losses in urban aqueducts” and “Tools and procedures for an advanced and
 408 sustainable management of water distribution systems” – and Fondazione
 409 Cassa Risparmio Perugia, under the project “Hydraulic and microbiological
 410 combined approach towards water quality control (no. 2015.0383.021)”.

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