The published version of the paper "F. Luzi, D. Puglia, F. Sarasini, J. Tirillò, G. Maffei, A. Zuorro, R. Lavecchia, J.M. Kenny, L.Torre (2019) Revalorization And Extraction Of Cellulose Nanocrystals From North African Grass: Ampelodesmos Mauritanicus (Diss) Carbohydrate Polymers, 209, 328-337" is available at: <u>https://doi.org/10.1016/j.carbpol.2019.01.048</u>

1	Revalorization And Extraction Of Cellulose Nanocrystals From North African
2	Grass: Ampelodesmos Mauritanicus (Diss)
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17	Abstract
18	The aim of this research activity was based on the revalorization of Amplodesmos Mauritanicus
19	(Diss), an African grass largely presented in the Algerian territory. Diss stems were selected as
20	native botanic material for the extraction of cellulose nanocrystals (CNC). Two different
21	pretreatment steps were carried out to extract CNC from Amplodesmos Mauritanicus stems and the
22	following acidic hydrolysis procedure allowed to extract/obtain cellulose nanocrystals in aqueous
23	suspension. The effect of the two different pretreatments, based essentially on chemical or
24	enzymatic treatments, were deeply investigated and the properties compared. Field emission
25	scanning electron microscopy (FESEM), thermogravimetric analysis (TGA), Fourier transform
26	infrared (FTIR) spectroscopy and X-ray diffraction (XRD) were considered for the characterization

- 27 of raw material, chemical or enzymatic treated *diss* stems and CNC extracted from both chemical
- and enzymatic pretreated cellulose.

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30	Keywords:	Ampelodesmos	mauritanicus,	cellulose	nanocrystals,	enzymatic	treatment,	acid
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#### 55 **1. Introduction**

In the last few years, the revalorization of natural lignocellulosic material has promoted and induced 56 57 the interest of academic research to consider this material as a valid alternative to the uncontrolled 58 use of petroleum based materials in industrial applications. The ancient civilizations have utilized 59 green fibres for over 40,000 years (Kvavadze et al., 2009) but, with the birth of industry and its 60 development, people have started to design and use materials that are causing environmental 61 problems in terms of greenhouse gas emissions (GHG) (F. Luzi, Fortunati, Jiménez, et al., 2016). In this context, the revalorization of agro and forest wastes is considered as a significant strategy to 62 63 reduce the environmental impact, because their use could be fundamental for realization of new 64 green products. The industrialization process has induced economic profits but, at the same time, 65 highlighted some serious aspects that are affecting negatively the environment on a large scale, and the use of traditional materials, such as polymeric based products, is definitely implicated in this 66 67 process. Over the last 15 years, this new perception has been also supported by environmental 68 legislative pressures that established the GHG of different countries (Bourmaud, Beaugrand, Shaf, 69 Placet, & Baley, 2018). In this framework, the revalorization of agro/forest wastes is also in the 70 centre of politic interest because represents a valid strategy to increase the economic value of some 71 countries.

72 Ampelodesmos mauritanicus is a large grass plant native of Northern Africa and Southern Europe 73 and the dry regions of Greece and Spain, commonly known as Diss in Arabic, which belongs to the 74 family of Poaceae (Toudert, Djilani, Djilani, Dicko, & Soulimani, 2009). This is a wild grass that 75 grows spontaneously, with a chemical composition characterized by 44-46 %  $\alpha$ -cellulose, 26-27 %76 hemicelluloses, 17-25 % lignin and 1.3 % fats and waxes, with a variable mineral content (SiO<sub>2</sub>, 77 Al<sub>2</sub>O<sub>3</sub>, Fe<sub>2</sub>O<sub>3</sub>, CaO, Na<sub>2</sub>O and K<sub>2</sub>O) (~ 8-10 %), depending on the plant development conditions, 78 composition of the soil, and growth climatic conditions (Abdelhak, 2017; M. El H. Bourahli & 79 Osmani, 2013; Chenah & Amrani, 2018). Diss plant has shown interesting mechanical and thermal 80 properties (Achour, Ghomari, & Belayachi, 2017), thus promoting its use for the production of traditional building materials in North Africa and artisanal baskets (Novellino, 2007). These fibres have been also used and largely studied for their interesting antiparasitic properties, which actually represent a traditional use of this plant (Toudert, Djilani, Djilani, et al., 2009). In the last years, it has been studied as potential biomass source for production of bioenergy, being these fibres characterized by low amount of fats and waxes (Gulias et al., 2018).

Toudert and co-authors proposed the study of flavonoids extracted from the aerial parts of *Ampelodesmos mauritanicus* and they observed antibacterial activity against gram positive bacteria and gram negative bacteria in vitro using the disc diffusion method. They detected the inhibition growth of *Escherichia coli* and *Staphylococcus saprophyticus*, while a reduced antimicrobial effect against *Pseudomonas aeruginosa* and *Staphylococcus epidermidis* was evidenced (Toudert, Djilani, & Djilani, 2009).

92 The chemical composition of plant fibres, which affects their properties, is related to their origin, 93 age and type (Bledzki & Gassan, 1999). The most important components of plants are: lignin, 94 hemicelluloses and cellulose. Lignin, an extremely crosslinked amorphous polymer (Sheltami, 95 Abdullah, Ahmad, Dufresne, & Kargarzadeh, 2012) covers, encloses and protects the other two 96 i.e. hemicelluloses and cellulose. Hemicellulose shows an amorphous structure, components. 97 composed by repeated polymers of hexose and pentose units, which is also characterized by a 98 branched multiple polysaccharide polymer (sugars: xylose, glucose, arabinose, mannose and 99 galactose (Sheltami et al., 2012). Cellulose is responsible of mechanical strength and it is the main 100 structural component of plant cell walls (Youssef Habibi, 2014). Cellulose is characterized by a 101 linear syndiotactic and high-molecular weight homopolymer made up of  $\beta$ -d-glucopyranosyl units 102 linked by 1–4 glycosidic bonds in different arrangements (He et al., 2018; Lu & Hsieh, 2010; Yang 103 et al., 2018).

Furthermore, cellulose has been extensively studied as reinforcement phase at both micro and nano
scale level in thermoplastic polymers for tuning some functional properties of the neat matrix
(Arrieta, Peltzer, López, & Peponi, 2017; F. Luzi, Fortunati, Jiménez, et al., 2016; Puglia et al.,

107 2014; Shi et al., 2012). Cellulose nanocrystals can be extracted from different vegetable natural 108 sources such as: (i) grass plants (okra, Posidonia Oceanica, etc.) (Bettaieb, Khiari, Hassan, et al., 109 2015; Fortunati et al., 2013, 2015; F. Luzi, Fortunati, Puglia, et al., 2016); (ii) annual plants 110 (Phormium, Sunflowers, hemp, Kiwi, mengkuang leaves etc.) (Fortunati et al., 2014; Fortunati, 111 Luzi, et al., 2016; Hanieh Kargarzadeh et al., 2012; F. Luzi et al., 2014; Francesca Luzi et al., 2017; 112 Sheltami et al., 2012); (iii) agricultural waste (rice and barley husk, tomato peels, walnut shell and 113 pistachio shells) (Fortunati, Benincasa, et al., 2016; Hemmati, Jafari, Kashaninejad, & Barani 114 Motlagh, 2018; Jiang & Hsieh, 2015; Johar, Ahmad, & Dufresne, 2012; Kasiri & Fathi, 2018).

115 The final characteristics/properties and the geometric dimensions of cellulose nanocrystals (CNC) 116 are directly dependent on the cellulosic native source, content of used fertilizers, soil characteristics 117 (water content), agronomic and cultivar factors as plant maturity (F. Luzi et al., 2014). In addition, 118 the preparation process and possible post-treatment after the CNC extraction influence the main 119 characteristics of extracted cellulose nanocrystals (Fortunati et al., 2014; H. Kargarzadeh et al., 120 2018; F. Luzi et al., 2014). The main process for the isolation of cellulose nanocrystals from 121 cellulose fibres is based on acid hydrolysis, in which disordered regions of cellulose are 122 preferentially hydrolyzed, while crystalline regions, with higher resistance to acid attack, remain intact (Y Habibi, Lucia, & Rojas, 2010; Matos Ruiz, Cavaillé, Dufresne, Gérard, & Graillat, 2000; 123 124 Neus Anglès & Dufresne, 2001). Sulfuric acid hydrolysis is the most common technique, because 125 the resulting CNCs can be easily dispersed in water due to a small number of sulfate ester groups 126 introduced to the surface of the CNCs during hydrolysis, whereas enzymatic, mechanical refining, 127 and HCl hydrolysis leave the surface chemistry of the CNC unchanged (Sacui et al., 2014). All the 128 common methodologies used to produce nanocellulose include different chemical steps to dissolve 129 hemicelluloses and lignin from the lignocellulosic complex, in order to facilitate acid/enzymatic degradation. Therefore, new pretreatments are welcome to obtain nanocellulose with more 130 131 environmentally friendly processes, making CNC a more attractive material for commercial 132 exploitation.

133	The aim of this research activity was the extraction of cellulose nanocrystals from <i>Diss</i> stems that,
134	to the best of authors' knowledge, has not been reported yet. Before the extraction of nanocellulose
135	via an acid treatment, two different pretreatments, based essentially on chemical or enzymatic
136	treatments, were applied to Diss stems and their effect on final yield and properties of nanofillers
137	extracted by acid hydrolysis was studied. The raw material, the effects of different pretreatments
138	(chemical or enzymatic) on Diss stems and the characteristics of CNC extracted from both chemical
139	and enzymatic pretreated cellulose have been investigated by field emission scanning electron
140	microscopy (FESEM), thermogravimetric analysis (TGA), Fourier transform infrared (FTIR)
1411	spectroscopy and X-ray diffraction (XRD).
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143	2. Experimental section
144	2.1 Materials
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$   \begin{array}{c}     144 \\     145 \\     146 \\     147 \\     148 \\     149 \\     1501 \\          5 \\          0 \\     1511 \\          5 \\          1 \\          5 \\          1 \\          152 \\     153 \\   \end{array} $	<b>2.1 Materials</b> Ampelodesmos Mauritanicus fibres, commonly called Diss, were collected from the north Mediterranean Africa in Batna in the east of Algeria (see visual image of Diss plant in <b>Figure 1</b> ). The enzymes Feedlyve AXC 1500L (AXC, principal component: β-1,4-xylanase), Peclyve EXVG (EXVG, principal component: Polygalacturonase) and Cellulyve 50LC (rich in cellulase) were provided by Lyven SA (Colombelles, France). The different chemical reagents were provided by Sigma Aldrich. <b>Abbreviation codes: Diss:</b> Diss stems;

- E-treatment: enzymatically treated bleached *Diss* fibres;
- C-CNC: Cellulose nanocrystals extracted from chemically treated bleached Diss;
- E-CNC: Cellulose nanocrystals extracted from enzymatically treated bleached Diss.
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#### 159 **2.2 Chemical pre-treatment of** *Diss* **fibres**

160 Diss stems (visual image of Diss plant is shown in Figure 1) were washed several times in distilled 161 water in order to remove soil contaminants and dust, then they were dried in an oven at 60°C for 24 162 h. The raw material was chopped into 5-10 mm elements and a de-waxing treatment in an 163 ethanol/toluene mixture (1:2 volume/volume) for 6 h was carried out, followed by filtration and 164 washing with ethanol for 30 min. Ethanol and toluene were used in a solution to remove the waxes 165 from the raw material. The green colour of ethanol/toluene solution (visual image of ethanol/toluene solution is reported in Figure 1) was due to the fact that ethanol acted as a detergent/solvent, 166 167 breaking down the phospholipid bilayer and opening holes in the membrane, making it permeable 168 and promoting the elimination of the chloroplasts (Aires, Marbà, Serrao, Duarte, & Arnaud-Haond, 169 2012; F. Luzi, Fortunati, Puglia, et al., 2016). Two bleaching treatments were applied for cellulose 170 extraction. At first the fibres were treated two times with a 0.7 % (wt/v) solution of sodium chlorite 171 (NaClO<sub>2</sub>), then were boiled for 2 h (fibre/liquor ratio 1:50). Sodium chlorite (puriss p.a. 80%) in 172 aqueous solution was used as chemical treatment to bleach the fibres and to remove the lignin 173 component. Thereafter, the pH of the solution was lowered to ca. 4, by adding acetic acid 174 (CH<sub>3</sub>COOH). Two bleaching treatments were necessary to obtain a complete whitening of the fibres. Then, a treatment with 5 % (wt/v) solution of sodium bisulphate (NaHSO<sub>4</sub>) (F. Luzi et al., 175 176 2014) was carried out to extract holocellulose ( $\alpha$ -cellulose + hemicellulose). The holocellulose was 177 then treated with a 17.5 % (wt/v) solution of sodium hydroxide (reagent grade  $\geq$  98 %). NaOH 178 aqueous treatment allowed to obtain α-cellulose component and to remove hemicelluloses. After 179 filtration and washing, the obtained material was dried at 60°C in an air-circulating oven (Figure 1 180 shows the visual image of C-treated material).





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1 Figure 1: Visual image of *Diss* plant and stems. Scheme and image of *Diss* fibres during the

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183 chemical and enzymatic pre-treatment. Image of CNC powders after acid hydrolysis treatment
 1841 applied to chemically and enzymatically bleached fibres.
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#### 186 **2.3 Enzymatic pre-treatment**

187 The enzymatic pre-treatment consisted of several steps. At first *Diss* stems were cut longitudinally in two parts (about 2 cm long) and were treated in an autoclave at 140°C for 1 h in a solution of 188 189 KOH (0.25 M) with a liquid (mL) to solid (g) ratio of 20 mL/g. 1.5% (w/v) of Na<sub>2</sub>O<sub>4</sub>S<sub>2</sub> was added 190 as reducing agent to protect cellulose. The pretreated fibres were washed several times with distilled 191 water to remove KOH excess. Then a bleaching treatment followed, with a solution made of equal 192 volumes of NaClO (1.7 wt%) and of a mixture at pH 4.5 (27g NaOH + 75ml CH<sub>3</sub>COOH diluted in 193 1 L of distilled water) with a liquid (mL) to solid (g) ratio of 20 mL/g. The solution was treated in 194 an autoclave at 125 °C for 2 h and then the solid part was washed several times with distilled water 195 to remove solution excess. The enzymatic hydrolysis was performed in two separate steps. In the first step a mix of two commercial enzymatic formulations, one rich in xylanase (Feedlyve AXC) 196 and one rich in pectinase (Peclyve EXG) was used. 50 mg/g<sub>biomass</sub> (25 mg of Peclyve EXG and 25 197

- 198 mg of Feedlyve AXC) in acetic acid/sodium acetate buffer at pH 5.25 with a liquid (mL) to solid (g)
- 199 ratio of 30 mL/g were added to the previous solution and placed in a thermostatic water bath at 50  $\pm$
- 200 0.1 °C and magnetically stirred for 2 h. Once completed the hydrolysis, the samples have been
- 201 cooled down to room temperature. The suspension has been centrifuged at 7000 rpm for 5 minutes

and vacuum filtrated. This procedure has been repeated until a pH of 7 was reached. The second step has been performed by adding 100 mg/g<sub>biomass</sub> of a commercial enzymatic formulation rich in cellulase (Cellulyve 50LC) prepared in acetic acid/sodium acetate buffer at pH 4.5 with a liquid (mL) to solid (g) ratio of 30 mL/g. The sample was placed in a thermostatic water bath at 50  $\pm$  0.1 °C and magnetically stirred for 15 h. Pre-treated fibres were then washed several times with distilled water to remove excess solution (**Figure 1** shows the visual image of E-treated material).

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#### 209 **2.4 Cellulose nanocrystals**

210 Cellulose nanocrystal (CNC) suspensions were prepared from chemically and enzymatically pre-211 treated cellulose by sulphuric acid hydrolysis (reagent 98%) (Fortunati et al., 2012) and the extracted CNCs were named C-CNC and E-CNC, respectively. The acid hydrolysis treatment 212 213 enabled the dissolution of the amorphous region from bleached material and the extraction of 214 cellulose nanocrystals. The hydrolysis was carried out with 64 % w/w sulphuric acid at 45 °C for 30 215 min with vigorous stirring. This reaction time was selected to guarantee the reaction efficiency and 216 avoid crystal degradation. Immediately after the acid hydrolysis, the suspension was diluted 20 217 times with deionized water to quench the reaction. The suspension was centrifuged at 4.500 rpm for 218 20 min to concentrate the cellulose crystals and to remove the excess of aqueous acid. The resultant 219 precipitate was rinsed, re-centrifuged, and dialyzed against deionized water for 5 days until constant 220 neutral pH was achieved. The suspension was sonicated repeatedly (Vibracell 75043, 750W, 221 Bioblock Scientific) at 40 % output (while cooled in an ice bath) to create cellulose crystals of 2222 colloidal dimensions. 2

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## 224 **2.5 Characterization of bleached fibres and cellulose nanocrystals**

- 225 The thermal stability of raw material (*Diss*), bleached fibres (C-treatment and E-treatment) and
- 226 extracted CNC (C-CNC and E-CNC) was evaluated by thermogravimetric analysis (TGA) using a

227 Setsys Evolution system by Setaram. All different cellulosic samples were heated from room 228 temperature up to 800 °C in a nitrogen atmosphere with a heating rate of 10 °C min<sup>-1</sup>.

Fourier infrared (FT-IR) spectra of raw material, chemically or enzymatically bleached fibres and cellulose nanocrystals extracted from chemically or enzymatically bleached materials were recorded using a Jasco FT-IR 615 spectrometer in the 400–4.000 cm<sup>-1</sup> range, in transmission mode. The cellulosic materials were analyzed using KBr discs made by using pulverized natural materials and KBr powder.

The morphology of *Diss* and of pre-treated fibres was observed by scanning electron microscopy (SEM) using a Hitachi S-2500 and a Zeiss Auriga. All different specimens were sputter-coated with gold prior to analysis.

A morphological study of the obtained CNC based solution was also conducted by field emission scanning electron microscopy (FESEM, Supra 25-Zeiss). Few drops of CNC suspension, obtained after the hydrolysis procedure, were cast onto silicon substrate, vacuum dried and gold sputtered before the analysis.

The diameter of the fibres, the length and width of CNC were determined by using digital image analysis software (Nikon NIS-Elements BR). 100 duplicates have been recorded in order to obtain rational and reliable average values.

244 X-ray diffraction (XRD) analysis was performed at room temperature on a Philips X'Pert PRO 245 powder diffractometer (CuK<sub> $\alpha$ </sub> radiation = 1.54060 Å). XRD patterns were collected in the range of 246  $2\theta = 10^{\circ}-50^{\circ}$  with a scan step  $2\theta = 0.02^{\circ}$  and a measurement time per step of 4 s.

The final yield after the hydrolysis process was calculated as % (of initial weight) of the usedchemically or enzymatically treated fibres.

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- 250 **3. Results and Discussion**

# **3.1 Analysis of chemical and enzymatic treatment of** *Diss* **fibres**

252 3.1.1 Morphological investigation of Diss stems, chemically and enzymatically treated fibres

Figure 2 Panel A shows the morphology of *Diss* stems and *Diss* treated fibres (C-treated and Etreated), while Figure 2 Panel B displays the relative dimension of fibres (*Diss*, C-treated and Etreated).

256 Diss stems appeared as hollow and thin tubes (longitudinal observation, thickness in the range 300-257 450 µm, Figure 2 Panel A, a)): the longitudinal observation of *Diss* showed that the stems are 258 composed by a high amount of fibres (dimension distribution  $d = (10.2 \pm 2.2) \mu m$  Figure 2 Panel 259 **B**, **a**)) all aligned in the same direction. The interconnection of different fibres in the stem can be correlated to the presence of lignin, hemicellulose and waxes. *Diss* structure is composed of cell 260 element, vascular tissue and dermal tissue (Kennedy et al., 1999; Luzi, Fortunati, Puglia, et al., 261 262 2016; Sheltami et al., 2012). Figure 2 Panel A, b) shows the cross section of the stem, highlighting 263 the internal structure and the arrangement of different cells. Specifically, the internal structure of 264 Diss showed the presence of hollow tubes arranged in an honeycomb-like porous structure (Luzi et 265 al., 2017; Sheltami et al., 2012).

266 The microstructural characteristics of chemically treated fibers are shown in **Figure 2 Panel A c**) 267 and d), while the morphological analysis of enzymatically treated fibres is reported in Figure 2 268 Panel A e) and f) at two different magnifications. It is possible to observe, in Figure 2 Panel A related to C-treatment and E-treatment, that both processes were able to reduce the cement 269 270 components, as already reported in literature by Sheltami et al. (Sheltami et al., 2012). The treated 271 fibres (C-treatment and E-treatment) appeared individualized with reduced diameter with respect to 272 the values observed for *Diss* untreated fibres. Both procedures were able to remove waxes and ashes, lignin and hemicellulose components, as observed comparing the diameter frequency 273 274 distribution of the different analyzed fibres (*Diss*, C-treated and E-treated) (Figure 2 Panel B). The 275 treated fibres after the chemical and enzymatic treatment appeared completely white, as visible in 276 the inset reported in Figure 2 Panel B d) and f).

277 C-treated fibres showed a diameter mean value of  $(5.3 \pm 1.1) \mu m$ , while the diameter mean value for 278 E-treated was centred at around  $(4.9\pm1.5) \mu m$ . The treated fibres appeared with reduced diameter with respect to *Diss* d =  $(10.2\pm2.2)$  µm, this effect underlined the efficiency of different applied procedures to remove lignin, hemicellulose and other components.



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Figure 2: Panel A: Morphological characterization of *Diss* fibres: longitudinal **a**) and transversal section **b**). FESEM investigation of bleached fibres applying chemical (**c**)) and **d**)) and enzymatic (**e**) and **f**) treatment at two different magnification. Visual image of treated fibers: insert **d**) chemically treated fibres and insert **f**) enzymatically treated fibres. Panel B: Diameter distributions of *Diss* **a**) and C-treated **b**) and E-treated **c**) fibres

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### 288 *3.1.2 Thermal analysis of Diss, chemically and enzymatically treated fibres*

Thermal stability of Diss raw material, chemically and enzymatically treated fibres was investigated
by thermogravimetric analysis (TGA).

- Figure 3 shows the residual mass (TG, Figure 3 a)) and derivative (DTG, Figure 3 b)) curves for
- raw, chemically and enzymatically treated *Diss* fibres. In accordance with the literature, the

293 lignocellulosic materials showed a multistep degradative behaviour (El Achaby et al., 2017; Luzi, 294 Fortunati, Puglia, et al., 2016; Silvério, Flauzino Neto, Dantas, & Pasquini, 2013). The presence of 295 several degradation stages/steps indicated the presence of different components that are 296 characterized by different temperatures of decomposition. The first thermal degradation step of 297 Diss, C-treated and E-treated fibres was due to the presence of moisture/vaporization of water and 298 volatile components that can be removed at a temperature below 150 °C (Lamaming et al., 2015; 299 Mariano, El Kissi, & Dufresne, 2016; Puglia et al., 2014). The presence of water in the 300 lignocellulosic fibres is ascribed to the higher hydrophilicity of these natural materials. The second 301 peak of degradation at around 270 °C was attributed to hemicellulose degradation, which 302 disappeared in treated fibres (C-treatment and E-treatment) (Figure 3b). The hemicellulose 303 component degraded before lignin and cellulose, and its lower thermal stability is ascribed to the 304 presence of acetyl groups (Hanieh Kargarzadeh et al., 2012). In the case of raw Diss, the third main 305 peak of degradation was centred at around 320 °C, while for the treated fibres (cellulose fibres) C-306 treatment and E-treatment, it was located, respectively, at 347 °C and 350 °C.

The peak centred at 430 °C for raw material is related to the degradation of lignin component, as
widely evidenced by existing literature (Pelissari, Sobral, & Menegalli, 2014; Nguyen, Zavarin, &
barrall, 1981).

The residual mass, calculated at 800 °C, for raw *Diss*, C-treated and E-treated fibres, was also considered and reported in **Figure 3 b**). The highest residual mass value was measured for *Diss* (30.9 % measured at 800 °C) (**Figure 3a**)), which is related to the presence of not degradable components at low temperatures, that were indeed removed after the treatments, with a more effective treatment in the case of enzymatic attack.

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Figure 3: Residual mass (TG) **a**) and differential residual mass (DTG) **b**) curves of *Diss* and bleached (C-treatment and E-treatment) fibres. FT-IR spectra of *Diss* and bleached (C-treatment and E-treatment) fibres in the range of 4000-2000 cm<sup>-1</sup> **a**) and 2000-400 cm<sup>-1</sup> **b**).

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321 *3.1.3 FT-IR* 

Figures 3 c, d show the FT-IR spectra of *Diss* and treated fibres (C-treated and E-treated) in two different wavenumber ranges, from 4000 to 2000 cm<sup>-1</sup> and from 2000 to 600 cm<sup>-1</sup>, respectively.

The major absorbance peaks for untreated *Diss* fibres were observed at 3421 cm<sup>-1</sup> reflecting the OH groups, while the vibrations at 2911 and 2838 cm<sup>-1</sup> correspond to saturated C–H stretching vibrations from CH and CH<sub>2</sub> (**Figure 3, c**) (M. E. H. Bourahli, 2017). A band detected at 1731 cm<sup>-1</sup> corresponds to the carbonyl groups (C = O) of ester functions for hemicelluloses and lignin (Sain & Panthapulakkal, 2006). The band at 1637 cm<sup>-1</sup> is assigned to the β-glycosidic linkages between the sugar units (Thomas & Owen, 1989), while the weak absorptions at 1523, 1455 and 1253 cm<sup>-1</sup> arise from the aromatic ring vibrations and C–O stretching of lignin. An intense band at 1045 cm<sup>-1</sup> corresponds to the C–O stretching modes of hydroxyl and ether groups (**Figure 3, d**)).

Finally, the broad band in the range  $620 - 700 \text{ cm}^{-1}$  might be associated with -CH- bond from aromatic groups, as suggested by Bessadok et al. (Bessadok et al., 2007).

334 In the case of chemically treated *Diss* fibres (C-treated), the different intensity of the C-H stretching vibration at 2900 cm<sup>-1</sup> can be considered as a measure of the general organic material content of the 335 fibre (Figure 3, c)). The prominent peak at 1731  $\text{cm}^{-1}$  disappeared completely, indicating the 336 removal of most of the lignin and hemicelluloses (Chen et al., 2011). The spectral band at 1648 337  $cm^{-1}$  is due to OH bending of adsorbed water, while the peak at 1367  $cm^{-1}$  is due to the COH 338 stretching of the hydrogen bond of crystalline cellulose. The band at 1315  $\text{cm}^{-1}$  corresponds to – 339 CH<sub>2</sub>- wagging of cellulose. The C-C ring breathing band at 1159 cm<sup>-1</sup> and the C-O-C glycosidic 340 ether band at 1107 cm<sup>-1</sup> arise from the polysaccharide component (Garside & Wyeth, 2003), while 341 the peak at 1061  $\text{cm}^{-1}$ , due to the shift of the original 1045  $\text{cm}^{-1}$  related to the xylane and the 342 343 glycosidic linkages of hemicellulose, was detected, proving the removal of hemicellulose after treatment. Vibration of functional groups C-H and stretching of C-O group also appeared at 1029 344 cm<sup>-1</sup> for bleached *Diss* (Johar et al., 2012) (**Figure 3, d**)). The peak observed at 894 cm<sup>-1</sup> indicates 345 the presence of the cellulosic glycosidic linkages between the monosaccharides (Kabir, Wang, Lau, 346 347 & Cardona, 2013).

348 In the case of enzymatic pretreatment (E-treated), the direct comparison with the spectrum of C-349 treated Diss indicated a general superposition of the significant vibrations, with few deviations in 350 terms of intensity: the bending vibration of (-OH), due to physically absorbed water, was detected at 1637 cm<sup>-1</sup>, while the presence of a vibration at 1736 cm<sup>-1</sup>, being characteristic for unconjugated 351 352 carbonyl groups (C=O), as well as a band at 1246 cm<sup>-1</sup>, which is associated to the acetyl group, are representative of partial removal of hemicellulose component (Abidi, Cabrales, & Haigler, 2014) 353 (Figure 3, d)). Peaks corresponding to cellulose (1318 cm<sup>-1</sup> and 1372 cm<sup>-1</sup>) showed higher 354 intensity (Li & Pickering, 2008), while peaks at 1453, 1162 and 1112 cm<sup>-1</sup>, assigned to the C-O-C 355

and C-O stretching vibration of lignin (in alcohols), still showed relatively higher intensity after the
enzymatic treatment (Figure 3, d)). Even the presence of more intense peaks at 1278, 1247 and
1236 cm<sup>-1</sup> indicated the persistent presence of lignin fractions (Saliba, Rodriguez, Morais, & PilóVeloso, 2001). Other signals can be found at 1337 cm<sup>-1</sup> and 990 cm<sup>-1</sup>, representative of H-O-C
bending in cellulose and hemicelluloses, respectively (Figure 3, d)).

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362 3.2 Investigation of cellulose nanocrystals extracted from chemically and enzymatically
 363 treated fibres

364 *3.2.1 Morphological investigation of CNC* 

Figure 4 Panel A shows the FESEM investigation of CNC obtained by applying the acid hydrolysis treatment to chemically (Figure 4 Panel A, a)) and enzymatically (Figure 4 Panel A, b) treated fibres, while the Figure 4 Panel B summarizes the dimension's frequency (diameter and length) of C-CNC and E-CNC.

369 FESEM images (Figure 4 Panel A, a-b)) show isolated and individual nanostructures with the 370 typical acicular and needle-like morphology of cellulose nanocrystals, as already observed in 371 literature for other nanocrystals extracted from several natural fibres (Bettaieb, Khiari, Dufresne, 372 Mhenni, & Belgacem, 2015; El Achaby et al., 2017; Fortunati et al., 2014; Kumar, Negi, Choudhary, & Bhardwaj, 2014; F. Luzi, Fortunati, Puglia, et al., 2016; Francesca Luzi et al., 2017). 373 374 A reaction efficiency of the acid hydrolysis for both cellulose nanocrystals was estimated at around 8.7 % and 8.3 % for C-CNC and E-CNC, respectively. Differences in terms of shape were observed 375 376 for C-CNC and E-CNC (Figure 4 Panel A, a-b)): C-CNC are characterized by reduced size 377 compared to E-CNC, in particular C-CNC showed a diameter and length mean values of (21.2  $\pm$ 378 5.1) nm and  $(180 \pm 40)$  nm, respectively, while the E-CNC showed a diameter and length mean 379 values of  $(32.7 \pm 5.5)$  nm and  $(238 \pm 34)$  nm, respectively.

- 380 The obtained results about CNC dimensions confirmed that the final characteristics/properties and
- the geometric dimensions of cellulose nanocrystals are not only directly dependent on the cellulosic

- 382 native source (F. Luzi et al., 2014), but also on the extraction process and possible pre-treatments of 383 CNC can influence the principal characteristics of extracted cellulosic nanostructures (Fortunati et 384 al., 2014; H. Kargarzadeh et al., 2018; F. Luzi et al., 2014). The morphologies observed for nanocelluloses isolated from Diss, by simply varying the pre-385 386 processing conditions, and the heterogeneity in size observed for these CNCs demonstrate the
- 387 diffusion controlled nature of acid hydrolysis (Sacui et al., 2014).



Panel A: Morphological investigation

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Figure 4: Panel A: Morphological characterization of cellulose nanocrystals extracted applying 389 390 acid hydrolysis procedure to chemically **a**) and enzymatically **b**) treated fibres. Diameter and length

distributions of C-CNC (c) and d), respectively) E-CNC (e) and f), respectively). Panel B: cellulose
 nanocrystal dimensions (diameter and length) of C-CNC (a) and b)) and E-CNC (c) and d)).

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#### 394 *3.2.2 CNC thermal analysis*

Thermal degradative behaviour of cellulose nanocrystals (C-CNC and E-CNC) was analysed bythermogravimetric analysis (TGA).

Generally, CNC thermal degradation occurs at a lower temperature compared to raw materials within broader ranges of temperature. The lower thermal stability of CNC with respect to the native material is due to their nanodimensions and is triggered by degradation of sulphated amorphous regions and reduction in molecular weight (Kumar et al., 2014; Mandal & Chakrabarty, 2011). **Figure 5** shows the derivative curves of the mass loss (DTG, **Figure 5 a**)) for both CNCs. The degradation of CNC is characterized by multistep degradative trend which is consistent with the literature (Fortunati, Luzi, et al., 2016; Lamaming et al., 2015; Mariano et al., 2016).

The first thermal degradation of CNC is due to the presence of moisture that can be removed at a
temperature lower than 150 °C, as reported elsewhere (Lamaming et al., 2015; Mariano et al., 2016;
Puglia et al., 2014).

407 The main pyrolysis process of C-CNC and E-CNC is characterized by two degradation peaks 408 centred at around 285 °C and 343 °C for C-CNC and 295 °C and 353 °C for E-CNC. The first peak 409 is due to the weaker interaction of single bond OH group in cellulosic component, that requires less 410 energy during the degradation process, while the second degradative peak can be correlated to 411 packed and ordered cellulose regions, higher crystalline domains/crystal dimensions, therefore high 412 stability. The surface sulphated groups lower the degradation temperature of CNCs, specifically in 413 the case of C-CNC: the elimination of sulphuric acid in sulphated anhydroglucose units required 414 less energy with respect of E-CNC, and thus they could be released at much lower temperatures

415 during the thermal degradation process. More importantly, among these two different CNCs, E-

416 CNC exhibited the highest stability, benefiting from less damage in the crystalline regions (Yu et417 al., 2013).



419 Figure 5: Residual mass (TG) a) and differential residual mass (DTG) b) curves of CNC (C-CNC
420 and E-CNC). FT-IR spectra of CNC (C-CNC and E-CNC) in the ranges of 4000-400 cm<sup>-1</sup> c) and in
421 the range 2000-400 cm<sup>-1</sup> d).

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#### 423 3.2.3 FT-IR

Figures 5 b, c show the FT-IR spectra of cellulose nanocrystals in two different wavenumber ranges, from 4000 to 2000 cm<sup>-1</sup> and from 2000 to  $600 \text{ cm}^{-1}$ , respectively.

The spectrum of cellulose nanocrystals extracted by chemical bleaching (C-CNC) revealed common 426 and easily identifiable bands as, for example, adsorbed water in cellulose (1637  $\text{cm}^{-1}$ ), and bands at 427 1424, 1478, 1378, 1336 and 1320 cm<sup>-1</sup> attributed to CH<sub>2</sub> symmetric bending, CH bending, in-plane 428 OH bending, CH<sub>2</sub> rocking vibration, respectively (H. Chen et al., 2010) (Figure 5, c)). Furthermore, 429 the signals at 1159, 1107, 1060, 1035, 894 cm<sup>-1</sup> are assigned to asymmetric COC bridge stretching, 430 anhydroglucose ring asymmetric stretching, CO stretching, in-plane CH deformation and CH 431 deformation of cellulose, respectively (Corgié, Smith, & Walker, 2011). The peak at 997 cm<sup>-1</sup> is due 432 433 to the transformation from cellulose I to cellulose II (Gwon, Lee, Doh, & Kim, 2010), while the selected signal at 671 cm<sup>-1</sup> is due to C-OH out-of-phase bending (Fan, Dai, & Huang, 2012). 434

435 In the case of CNC extracted from enzymatic pretreatment (E-CNC) (**Figure 5, c**)), several 436 common characteristic peaks are found: the peak at 1637 cm<sup>-1</sup> can be attributed to the OH bending

437	of the absorbed water, while the vibration peak detected at 1428, 1373, 1336 and 1317 cm <sup>-1</sup> ,
438	respectively related to the CH <sub>2</sub> , CH, CO and in-plane OH bending vibration in the polysaccharide
439	aromatic rings showed higher intensity. The peak observed at 1060 $\text{cm}^{-1}$ (COC pyranose ring
440	skeletal vibration) was more evident, while the signals at 894 and 863 cm <sup>-1</sup> , which correspond to
441	glycosidic CH deformation, are essentially comparable with the ones visible in C-CNC (Figure 5,
4424 4 2	<b>c</b> )) (Alemdar & Sain, 2008).
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#### 444 **3.3 XRD**

The X-ray diffraction patterns of *Diss*, treated fibres (C-treatment and E-treatment) and cellulose nanocrystals (C-CNC and E-CNC) are shown in **Figure 6**. The XRD analysis was performed to evaluate the crystalline structure at different chemical and enzymatic treatments.

- 448 The main characteristic peaks of raw *Diss* were located at  $2\Theta = 16.4^{\circ}$ , 22.6°, and 35.4°, these peaks
- 449 are assigned to cellulose I (Kasiri & Fathi, 2018; Thambiraj & Ravi Shankaran, 2017) and appeared
- 450 in all samples. Peak at  $2\Theta = 16.4^{\circ}$  corresponds to (110) plane, while  $2\Theta = 22.6^{\circ}$  and  $2\Theta = 35.4^{\circ}$  are
- 451 characteristic peaks of (002) and (004) planes, respectively.

452 XRD patterns for all cellulosic materials showed the main peak at around 22.6°, with a slight shift 453 was detected for C-treatment at  $2\Theta = 22.2^{\circ}$ , E-treatment at  $2\Theta = 22.4^{\circ}$  and C-CNC at  $2\Theta = 22.2^{\circ}$ , 454 due to different applied treatments and consistent with the literature (Fortunati, Benincasa, et al., 455 2016). The plane (002) that corresponds to the peak centred at around  $2\Theta = 22.6^{\circ}$  indicated, in 4564 cellulose I domains, the distance between hydrogen bonded sheets.

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Figure 6: XRD patterns of *Diss*, pretreated (C-treated and E-treated) fibres and CNC (C-CNC and
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460 E-CNC) obtained applying acid hydrolysis treatment to chemical and enzymatic treatment treated461 fibres.

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After the chemical, enzymatic and acid treatments the (1-10) plane ( $2\Theta = 14.7^{\circ}$ ) appeared to be separated from the plane (101) ( $2\Theta = 16.4^{\circ}$ ), which are also typical of cellulose I (Fortunati, Benincasa, et al., 2016; Thambiraj & Ravi Shankaran, 2017). The peaks at 14.8°, 16.6° and at 34.5° are typical peaks of cellulose I; they are related to the alignment of chains into the fibrils and of the order along the fibre direction (Besbes, Alila, & Boufi, 2011).

Enzymatic treatment resulted in major changes in X-ray diffraction patterns. The typical cellulose pattern, already observed for CNC extracted from chemically treated fibres, shows additional welldefined peaks at 29.4° and 35.8°. These diffraction peaks, which correspond to very short atomic interactions, may be tentatively ascribed to the formation of new crystalline domains likely originated from the enzymatic degradation of cellulose I or amorphous cellulose. In fact, the enzymatic treatment might depolymerize the cellulose macromolecules with the formation of  $47144_{77}$  475475

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4764 7 6	shorter chains able to form denser crystalline domains (F. Luzi et al., 2014).
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#### 478 **4. Conclusions**

479 Extraction of cellulose nanocrystals has been successfully carried out from *Diss* stems. *Diss* stems 480 were pre-treated by considering chemical (bleaching) and enzymatic treatments (combined action of 481 xylase, pectinase and cellulose enzymes). The effect of different pre-treatments on thermal, 482 chemical and structural characteristics of the extracted cellulosic fibres was analyzed and compared. 483 Morphological investigation of treated fibres showed that both treatments acted positively towards 484 the reduction of fiber diameter size, underlining how the different treatments were both able to 485 eliminate no cellulosic components. FESEM analysis of C-CNC and E-CNC also confirmed that 486 both procedures, in combination with the acidic treatment, are able to extract CNC at nanoscale 487 level. Results of X-ray diffraction measurements showed the presence, in the case of CNC extracted 488 from enzymatically treated fibers, of additional well-defined peaks, in comparison to CNC 489 extracted from chemically treated fibers, ascribed to the formation of new crystalline domains 490 originated from the enzymatic degradation of cellulose I. These findings well correlated with the 491 improved thermal stability of E-CNC.

492 Differences in terms of shape were observed for C-CNC and E-CNC. C-CNC are characterized by 493 reduced size compared to E-CNC, in particular C-CNC showed diameter and length mean values of 494  $(21.2 \pm 5.1)$  nm and  $(180 \pm 40)$  nm, respectively, while the E-CNC showed diameter and length 495 mean values of  $(32.7 \pm 5.5)$  nm and  $(238 \pm 34)$  nm, confirming how final characteristics/properties 496 and geometric dimensions of cellulose nanocrystals are not only directly dependent on native 497 source, but also on extraction and pre-treatments methods, strictly correlated to the diffusion 498 controlled nature of acid hydrolysis. The thermal, chemical and morphological characteristics of the 499 cellulose nanocrystals extracted from *Diss* stems could be of interest in a nanocomposite approach, 500 in which CNC could be considered as reinforcement phase at the nanoscale level. The results 501 indicate that the use of *Diss*, namely an attractive feedstock that can grow on less fertile or marginal 502 lands, requiring modest pest and disease management, can be exploited in various fields where high 503 value added products are demanded.

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# **Graphical Abstract**

