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1 Research paper

2 Viscoelastic models for the simulation of transients in polymeric pipes

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9 *Running head: Viscoelastic models for the simulation of transients.*

10 **ABSTRACT**

11 In this paper simple viscoelastic models are implemented to asses their reliability in the simulation of transients in
12 polymeric pipes. Two approaches are followed, i.e. the combination of elastic and viscous elements based on ordinary
13 derivatives, and the use of fractional elements, based on fractional derivatives. The implementation of the viscoelastic
14 component in an efficient numerical model, based on the frequency domain integration, allows the direct scrutiny of
15 the optimization function used in the calibration and provides some general remarks about the viscoelastic parameter
16 estimation. The numerical simulations are compared with the experimental data acquired during transient tests
17 with pipes made of high density polyethylene (HDPE) and oriented polyvinyl chloride (PVC-O). The results show
18 that the convergence toward the optimal solution depends in a different manner on the model parameters. The frac-
19 tional model performs better than the others, although further studies are needed to verify its reliability and efficiency.

20

21 *Keywords:* Fractional derivatives; frequency domain; HDPE; PVC-O; transients; viscoelasticity.

22 **1 Introduction**

23 The widespread use of polymeric pipes in water distribution systems has increased the interest
24 in the rheology of pipe materials. At least two issues related to the design and management have
25 been investigated in the literature: the head-leakage relationship and the pressure wave propagation
26 during transients.

27 Relating to the first issue, laboratory experiments and FEM models have shown that the leak
28 area can vary with the pressure head and that the deformations are influenced by the pipe material
29 characteristics (Cassa, van Zyl, & Laubscher, 2010; Ferrante, 2012; Ferrante, Massari, Brunone,
30 & Meniconi, 2011; van Zyl & Cassa, 2013). As a result, in polyethylene and polyvinyl chloride
31 pipes, under some circumstances, the leakage can depend on the pressure time history due to the
32 viscoelastic behavior of the pipe materials (Ferrante et al., 2011; Fox, 2016; Massari, Ferrante,

33 Brunone, & Meniconi, 2012; Ssozi, Reddy, & van Zyl, 2016).

34 Relating to transients, the governing equations of the unsteady flow in pressurized pipes require
35 the definition of a stress-strain relationship that depends on the pipe material rheology (Covas
36 et al., 2005, 2004; Ghilardi & Paoletti, 1987; Lee, Duan, Ghidaoui, & Karney, 2013; Pezzinga et
37 al., 2014; Pezzinga & Scandura, 1995; Soares, Covas, & Reis, 2008; Suo & Wylie, 1989; Tijsseling,
38 1996; Weinerowska-Bords, 2006). Since transients are increasingly used as a diagnostic tool for
39 pressurized pipe systems, reliable models of pipe material rheology play an important role in water
40 system management (Duan, Lee, Ghidaoui, & Tung, 2012; Evangelista, Leopardi, Pignatelli, &
41 de Marinis, 2015; Gong, Zecchin, Lambert, & Simpson, 2016; Kim, 2007; Kim, Zecchin, & Choi,
42 2014; Lee, Duan, Tuck, & Ghidaoui, 2015; Soares, Covas, & Reis, 2011; Vítkovský, Lee, Zecchin,
43 Simpson, & Lambert, 2011).

44 Although they are also known as “plastics”, polymers behave as both viscous and elastic mate-
45 rials. Two main approaches can be used to describe such an intermediate response. The first one is
46 based on the definition of two basic elements, elastic and viscous, and on their combination in series
47 and parallels to reproduce complex intermediate models. The second one is based on the considera-
48 tion that the viscosity law is characterized by an order one derivative in time and the elasticity law
49 is based on an order zero derivative. As a consequence, a model based on a fractional derivative,
50 i.e. a derivative of real order between zero and one, can describe a behavior between elastic and
51 viscous and hence can be the basis of a viscoelastic model (Di Paola, Pirrotta, & Valenza, 2011).

52 In previous papers the effects of the pipe material on leak laws have been analyzed by means
53 of experimental tests, comparing different elastic and viscoelastic models (Ferrante et al., 2011;
54 Massari et al., 2012). In this paper the effects of pipe material on transients are investigated and
55 different rheological models are used to simulate transients in polymeric pipes. In the first part,
56 simple viscoelastic elements are presented and implemented in a numerical model based on the
57 frequency domain integration of the governing equations. An element based on fractional deriva-
58 tives is also introduced. In the second part, the experimental data acquired during transients in
59 two systems with different materials, i.e. high density polyethylene (HDPE) and oriented polyvinyl
60 chloride (PVC-O), are compared with the results of the numerical models. The used frequency do-
61 main integration reduces the computational burden and allows the analysis of the model calibration
62 in a wide region of the parameter space.

63 The analysis of the calibration procedure by the direct scrutiny of the optimization function, the

64 introduction of a fractional model, and the comparison of the viscoelastic models for two materials,
65 in similar experimental set-up and test conditions, are the original contributions of this paper.

66 2 Viscoelastic models

67 The most established practice in the definition of the pipe material rheology is based on the
68 combination of simple elements governed by ordinary differential equations. Fractional derivatives
69 can also be used, leading to a different category of elements. These two approaches are discussed
70 in the following.

71 2.1 Combination of springs and dashpots

72 A viscoelastic material, presenting both elastic and viscous characteristics, can be modeled as a
73 combination of linear elastic and linear viscous elements.

74 For a linear elastic body, the dependence of the stresses, σ , on the strains, ϵ , (or Hooke's law) is:

$$\sigma(t) = E \frac{d^0 \epsilon(t)}{dt^0} = E \epsilon(t) \quad (1)$$

75 where t is the time and E is the Young's modulus. The simple ideal element following this law is
76 a *spring*.

77 For a linear viscous fluid, the dependence of the stress on the first derivative of the strain (or
78 Newton's law) is given by:

$$\sigma(t) = \eta \frac{d^1 \epsilon(t)}{dt} = \eta \frac{d\epsilon(t)}{dt} \quad (2)$$

79 where η is the viscosity coefficient. The simple ideal element governed by this law is a *dashpot*.

80 A series of a spring with a dashpot is the so called *Maxwell* element (MX), while a parallel of a
81 spring with a dashpot is the so called *Kelvin-Voigt* element (KV). For the Maxwell element it is:

$$\frac{1}{E_{MX}} \frac{d\sigma}{dt} + \frac{\sigma}{\eta_{MX}} = \frac{d\epsilon}{dt} \quad (3)$$

82 while for the Kelvin-Voigt element it is:

$$\sigma = E_{KV}\epsilon + \eta_{KV}\frac{d\epsilon}{dt} = E_{KV}\left(\epsilon + \tau_{KV}\frac{d\epsilon}{dt}\right) \quad (4)$$

83 where $\tau = \eta/E$, and the subscripts denote the model. These simple combinations of the springs
84 and dashpots can partially reproduce the viscoelastic behavior during creep (relaxation) tests,
85 where the strain (stress) variation in time is measured for a constant applied stress (strain). As an
86 example, the KV model can reproduce the creep of a material but it is inadequate to simulate the
87 relaxation tests (e.g., Di Paola et al., 2011). On the opposite, the MX model can be reliably applied
88 to model relaxation tests while it is inadequate for creep tests. To avoid the physical inadequacy
89 of the Kelvin-Voigt element, it is coupled in series with a spring. The resulting model is usually
90 referred to as *Standard Linear Solid* (SL) or *Zener* model.

91 The models obtained by combinations of springs and dashpots can always be described in a
92 general form by an ordinary differential equation:

$$\sum_{k=0}^n a_k \frac{d^k \sigma(t)}{dt^k} = \sum_{k=0}^m b_k \frac{d^k \epsilon(t)}{dt^k} \quad (5)$$

93 where $k \in \mathbb{N}$, and a and b are model parameters. Hence, following the element combination ap-
94 proach, the variation in time of stresses and strains is defined in terms of exponential functions.

95 2.2 Fractional models

96 Another way to define an intermediate behavior between elastic and viscous, i.e. between Eqs (1)
97 and (2), is based on the consideration that these limit conditions are described by a zero and a
98 first derivative. Hence, a viscoelastic model can be defined by a derivative of order $0 \leq \theta \leq 1$:

$$\sigma(t) = k_{\theta} \frac{d^{\theta} \epsilon(t)}{dt^{\theta}} \quad (6)$$

99 The simple ideal element following this law is a *springpot*, also referred to as *fractional* element.

100 The approach based on fractional derivatives has not been used in the past as widely as the one
101 based on the combination of elementary models to describe viscoelastic behaviors. One reason for
102 this preference can be the physical meaning of the fractional derivatives. As a matter of fact, in the

103 Newtonian mechanic laws only integer order derivatives appear, for both governing equations and
 104 boundary conditions. While the derivatives in time of order 1 or 2 can be associated to velocity
 105 and acceleration, it is difficult to associate a physical quantity to a derivative of order 1/3. With
 106 specific reference to the rheology, while E , η and τ have an intuitive physical meaning, the same
 107 does not apply to the parameter k_θ .

108 A theoretical basis for the application of fractional calculus to viscoelasticity has been introduced
 109 by Bagley and Torvik (1983) considering the molecular theory for dilute polymer solutions. An-
 110 other interesting attempt to overcome this limitation has been proposed by Schiessel and Blumen
 111 (1993, 1995) and it is based on the consideration that an infinite number of springs and dashpots
 112 combined in a ladder arrangement can be associated with one springpot. In general, the statistical
 113 interpretation of arrangements of a large number of springs and dashpot takes to fractional deriva-
 114 tives and springpots. Hence, intuition suggests that a single fractional element could represent a
 115 series of many springs and dashpots. Moreover, Di Paola et al. (2011) have shown that a single
 116 springpot element can interpret both relaxation and creep tests.

117 Another disadvantage in using the fractional derivatives comes from the different ways they have
 118 been defined. A complete discussion of the possible choices and on the limitations in using the
 119 Riemann-Liouville or the Caputo fractional derivative is beyond the scope of this paper. Interested
 120 readers can find details in textbooks and papers (e.g.: Gorenflo & Mainardi, 2007; Mainardi, 2010;
 121 Shimizu & Zhang, 1999). What is important to remark here, is that derivatives of integer order can
 122 be obtained as singular cases of real order derivatives, as integers are contained in the real domain.
 123 Furthermore, the definition allows the extension to real derivatives of some interesting properties
 124 that apply to integer derivatives. Considering the Fourier transform of a fractional derivative of a
 125 generic function of time, $g(t)$, it is:

$$\mathcal{F}\left\{\frac{d^\theta g(t)}{dt^\theta}; \omega\right\} = (i\omega)^\theta \mathcal{F}\{g(t); \omega\} \quad (7)$$

126 where $\mathcal{F}\{;\omega\}$ denotes the Fourier transform, ω is the angular frequency and $i = \sqrt{-1}$.

127 The general fractional model (Caputo & Mainardi, 1971) corresponding to Eq. (5) is:

$$\sigma + k_\nu \frac{d^\nu \sigma(t)}{dt^\nu} = E_0 \epsilon + k_\theta \frac{d^\theta \epsilon(t)}{dt^\theta} \quad (8)$$

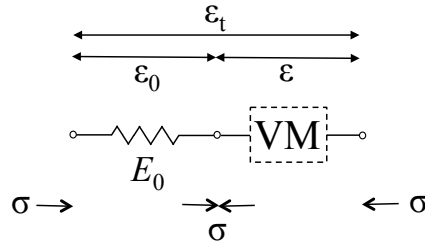


Figure 1: The implemented rheological models consist of a spring with Young's modulus E_0 in series with other elements (VM). The total hoop strain, ϵ_t , is the sum of the spring strain, ϵ_0 , and the strain of VM, ϵ .

128 where $\theta = \iota$ as introduced by Caputo and Mainardi (1971) and explained in (Bagley, 1986) by
 129 thermodynamic constraints. The remarkable result is that relaxation tests governed by this equation
 130 can be explained by power laws instead of exponential laws (Di Paola et al., 2011). In the following,
 131 a simplified model is used, assuming $k_\iota = 0$ in Eq. (8), i.e.:

$$\sigma = E_0\epsilon + k_\theta \frac{d^\theta \epsilon(t)}{dt^\theta} \tag{9}$$

132 Since this model corresponds to an MX model with a springpot instead of the dashpot, it is
 133 usually referred to as Generalized Maxwell model (GM).

134 3 Equations of unsteady flow in viscoelastic pipes

135 In the following we assume that the pipe material rheological model is made of a spring, with
 136 Young's modulus E_0 , in series with the remaining part of the model, referred to as VM in the
 137 following (Fig. 1). Due to the series arrangement, the total hoop strain can be considered as the
 138 sum of two terms, $\epsilon_t = \epsilon_0 + \epsilon$ where ϵ_0 corresponds to the spring strain component while ϵ denotes
 139 the strain associated to VM. For the same reason, the same stress $\sigma = \epsilon_0 E_0$ is applied to the spring
 140 and VM. Under this and the other common assumptions (Chaudhry, 2014; Wylie & Streeter, 1993),
 141 the equations governing the one-dimensional transient flow are:

$$\begin{aligned} C \frac{\partial H}{\partial t} + \frac{\partial Q}{\partial x} + 2A \frac{\partial \epsilon}{\partial t} &= 0 \\ L \frac{\partial Q}{\partial t} + \frac{\partial H}{\partial x} + R'Q|Q| - B \frac{\partial Q}{\partial x} &= 0 \end{aligned} \tag{10}$$

142 where Q is the discharge, H is the piezometric head, x is the spatial coordinate, A and D are
 143 the pipe cross-sectional area and diameter, respectively. The capacitance, $C = gA/a^2$, the iner-
 144 tance, $L = (2 + k_B)/(2gA)$, the steady resistance, $R = f/(2gDA^2)$, and the unsteady resistance,
 145 $B = ak_B/(2gA)$, are also introduced, where g is the gravitational acceleration, f is the friction
 146 factor and k_B is the Brunone's (1995) formula coefficient. For the sake of simplicity, the Brunone's
 147 formula is introduced in Eqs (10) although the effects of the unsteady-friction could be modeled in
 148 the more general framework provided by the convolution integral formulation (Weinerowska-Bords,
 149 2015). Because of the systems considered in the following, the original unsteady-friction formula is
 150 used (Bergant, Simpson, & Vítkovský, 2001; Brunone et al., 1995).

151 Only the strain component ϵ_0 contributes to the evaluation of the wave speed, a . The term $2A\frac{\partial\epsilon}{\partial t}$
 152 in Eqs (10) takes into account the characteristics of the remaining part of the rheological model,
 153 VM, in series with the spring.

154 The system of the two equations (10) with the two independent variables, x and t , relates three
 155 dependent variables, i.e. Q , H and ϵ . To solve the problem, a rheological model is introduced to
 156 relate ϵ to σ , which in turn is related to H by the Mariotte's formula:

$$\sigma = \lambda \frac{\rho g D}{2e} H = SH \quad (11)$$

157 In Eq.(11), λ is the pipe constraint coefficient, ρ is the water density and e is the the pipe wall
 158 thickness, with $e \ll D \ll H$.

159 As an example, for SL, the combination of Eqs (4) and (11) yields:

$$SH = E_{KV} \left(\epsilon + \tau_{KV} \frac{d\epsilon}{dt} \right) \quad (12)$$

160 To integrate in the frequency domain the set of the governing equations, a linearized form is
 161 obtained, considering the dependent variables H , Q and ϵ , as the sum of two components, a mean
 162 value and a perturbation:

$$Q = \bar{Q} + q^*, \quad H = \bar{H} + h^*, \quad \epsilon = \bar{\epsilon} + \varepsilon^* \quad (13)$$

163 If H , Q and ϵ are substituted with the mean values \bar{H} , \bar{Q} and $\bar{\epsilon}$, Eqs (10) still hold. As an example,

164 this applies to the steady state initial conditions or to slow variations of the variables in time,
 165 where the term “slow” means that the periods of the variation components are much larger than
 166 the time duration of interest.

167 As a result of this assumption, the perturbation equations corresponding to Eqs (10) can be
 168 derived:

$$\begin{aligned} C \frac{\partial h^*}{\partial t} + \frac{\partial q^*}{\partial x} + 2A \frac{\partial \varepsilon^*}{\partial t} &= 0 \\ L \frac{\partial q^*}{\partial t} + \frac{\partial h^*}{\partial x} + Rq^* - B \frac{\partial q^*}{\partial x} &= 0 \end{aligned} \quad (14)$$

169 where the perturbation product q^*q^* is neglected and the term $R = \bar{Q}f/(gDA^2)$ is used instead of
 170 R' . The approximations introduced in the model as an effect of such a linearization are discussed by
 171 Capponi, Zecchin, Ferrante, and Gong (sub); Lee (2013); Lee and Vítkovský (2010).

172 Assuming that the generic perturbation, $y^* = y(x)e^{i\omega t}$, is the product of two terms taking into ac-
 173 count the dependence on time and space separately or, in other words, taking the Fourier transform
 174 of Eqs (14), yields:

$$\begin{aligned} Ci\omega h + \frac{dq}{dx} + 2Ai\omega \varepsilon &= 0 \\ (Li\omega + R)q + \frac{dh}{dx} - B \frac{dq}{dx} &= 0 \end{aligned} \quad (15)$$

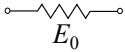
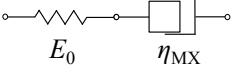
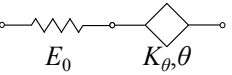
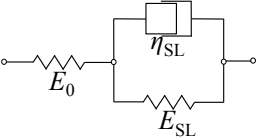
175 The ε term can be evaluated by means of the Fourier transform of the equations corresponding to
 176 the chosen viscoelastic model. As an example, Eq.(12) becomes:

$$Sh = E_{KV} (1 + \tau_{KV}i\omega) \varepsilon \quad (16)$$

177 Introducing the transformed equation in Eq. (15) and substituting for ε , a system of two equations
 178 is obtained:

$$\begin{aligned} \alpha h + \frac{dq}{dx} &= 0 \\ \beta q + \frac{dh}{dx} - B \frac{dq}{dx} &= 0 \end{aligned} \quad (17)$$

Table 1: Used viscoelastic models.

Model	Sketch	n_P	Parameters	$f(\omega)$
EL		1	E_0	0
MX		2	E_0, η_{MX}	$S / (i\omega\eta_{MX})$
GM		3	E_0, θ, k_θ	$S / [k_\theta(i\omega)^\theta]$
SL		3	E_0, E_{SL}, η_{SL}	$S / (E_{SL} + i\omega\eta_{SL})$

179 where:

$$\alpha = i\omega [C + 2Af(\omega)] \tag{18}$$

$$\beta = [Li\omega + R]$$

180 The function $f(\omega)$ depends on the chosen rheological model of the pipe material. For a linear
 181 elastic material it is $f(\omega) = 0$. In Table 1 the $f(\omega)$ formulae are given for different rheological
 182 models. In the same table the model parameters are also specified. The use of different rheological
 183 models modifies the expression of α by $f(\omega)$ but not β . This is because only the first of Eqs
 184 (17), i.e. the continuity equation, depends on the rheological model. On the contrary, the used
 185 unsteady-friction model affects the evaluation of L and introduces an asymmetry in the set of
 186 equations.

187 The introduction of the fractional derivatives in the set of equations does not require any further
 188 manipulation or approximation. In fact, due to the properties of the Fourier transform of the
 189 fractional derivatives of Eq. (7), the simple relationship can be derived:

$$Sh = k_\theta (i\omega)^\theta \varepsilon \quad (19)$$

190 and hence $f(\omega) = S/[k_\theta(i\omega)^\theta]$. With respect to the other considered models, in this case α depends
 191 on a real power of ω and hence the use of the fractional derivatives introduces a different functional
 192 expression in $f(\omega)$.

193 Following the so called Impedance Response method (Chaudhry, 2014; Wylie & Streeter, 1993)
 194 and introducing the impedance $Z = h(x)/q(x)$, the integration of Eqs (17) for the case of a
 195 Reservoir-Pipe-Valve (RPV) system gives (Kim, 2005):

$$Z_D = Z_{C1}Z_{C2} \frac{-e^{\gamma_1 L_T} + e^{-\gamma_2 L_T}}{Z_{C2}e^{\gamma_1 L_T} + Z_{C1}e^{-\gamma_2 L_T}} \quad (20)$$

196 where Z_D is the pipe downstream end impedance, $Z_{C1} = \gamma_1/\alpha$, $Z_{C2} = \gamma_2/\alpha$, $\gamma_1 = (-\alpha B + \Delta)/2$,
 197 $\gamma_2 = (\alpha B + \Delta)/2$, $\Delta = (\alpha^2 B^2 + 4\alpha\beta)^{1/2}$ and L_T is the length of the pipe.

198 A further simplification can be obtained if the unsteady-friction term is neglected and $k_B = B = 0$.
 199 In this case it is $\gamma_1 = \gamma_2 = \gamma = (\alpha\beta)^{1/2}$, $Z_{C1} = Z_{C2} = Z_C = (\beta/\alpha)^{1/2}$ and Eq. (20) simplifies in:

$$Z_D = -Z_C \tanh(\gamma L_T) \quad (21)$$

200 Since the Fourier transform of the pressure head variation at the downstream end of the
 201 pipe, $\mathcal{F}\{\Delta H_D; \omega\}$ is the product of Z_D with the Fourier transform of the discharge variation
 202 $Z_Q = \mathcal{F}\{\Delta Q_D; \omega\}$ (Ferrante & Brunone, 2003; Lee et al., 2015), the time history of ΔH_D can be
 203 determined by an inverse Fourier transform once ΔQ_D is known.

204 The integration of the Eqs (10) in the frequency domain and the use of Eqs (20) and (21)
 205 dramatically reduces the computational burden required for each simulation with respect to the
 206 time domain integration methods, e.g. the method of characteristics. For this and for other reasons,
 207 frequency domain based approaches are used for complex systems (Kim, 2007, 2016; Zecchin,
 208 Lambert, & Simpson, 2010; Zecchin, Simpson, & Lambert, 2009). In the following the results of
 209 the numerical model based on Eqs (20) and (21) are used to estimate the optimal values of the
 210 viscoelastic parameters of polymeric pipes by the comparison with transient test data.

Table 2: Distances of pressure transducers PT_U , PT_{IU} , PT_{ID} , PT_D and of the motorized valve MV from the upstream pressure vessel R in the experimental set-up (Fig. 2). For full scales of the pressure transducers (f.s.), G denotes gauge while A denotes absolute pressure.

Material	x	PT_U	PT_{IU}	PT_{ID}	PT_D	MV
HDPE	$x(m)$	1.00	33.49	82.39	101.88	$L_T = 102.58$
	f.s. (bar)	7 (G)	7 (G)	7 (G)	5 (G)	
	accuracy (% of f.s.)	0.25	0.25	0.25	0.15	
PVC-O	$x(m)$	0.40	33.06	83.08	104.68	$L_T = 105.30$
	f.s. (bar)	7 (G)	5 (A)	5 (A)	5 (A)	
	accuracy (% of f.s.)	0.25	0.25	0.25	0.15	

211 4 Experimental apparatus and tests

212 The tests were carried out at the Water Engineering Laboratory of the University of Perugia, Italy,
213 on two RPV systems, differing for the pipe material.

214 In both systems at the upstream end of the pipe there was an air vessel, R, while at the down-
215 stream end there was a hand-operated ball valve, DV, discharging into the air and a remotely
216 controlled butterfly valve, MV, immediately upstream of DV (Fig. 2). An electromagnetic flowme-
217 ter, FM, was used to measure the discharge during the steady-state initial conditions, with an
218 accuracy of 0.2% of the measured value. Four piezoresistive pressure transducers were used to mea-
219 sure the pressure in the pipe close to the reservoir (PT_U), upstream of the maneuver valve (PT_D)
220 and at two intermediate measurement sections (PT_{IU} and PT_{ID}). Pressure transducers locations
221 and characteristics were slightly different for the two systems (Table 2). A further piezoresistive
222 pressure transducer (f.s. 10 bar, accuracy of 0.25% f.s.) was used to measure the pressure in R.

223 In the first system, an oriented polyvinyl chloride (PVC-O) DN110 PN16 pipe was used, according
224 to ISO 16422 and NFT 54-948, of length $L_T = 105.30$ m, with an internal diameter $D = 103.0$ mm
225 and a wall thickness $e = 2.7$ mm. Preliminary results on the same set-up are shown in (Ferrante,
226 Capponi, Brunone, & Meniconi, 2015).

227 In the second system a high density polyethylene (HDPE) DN110 PN10 pipe was used, according
228 to UNI EN 12201 and UNI EN ISO 15494, of length $L_T = 102.58$ m, with an internal diameter
229 $D = 96.8$ mm and a wall thickness $e = 6.6$ mm.

230 Two transient tests were generated in the two systems by means of a complete and fast closure
231 maneuver and are analyzed in the following. By means of the appropriate combination of the initial
232 opening degrees of DV and MV, it was possible to obtain similar initial steady-state conditions and
233 overpressures in the two systems. For the PVC-O system the initial discharge $Q_0 = 3.70$ l/s corre-

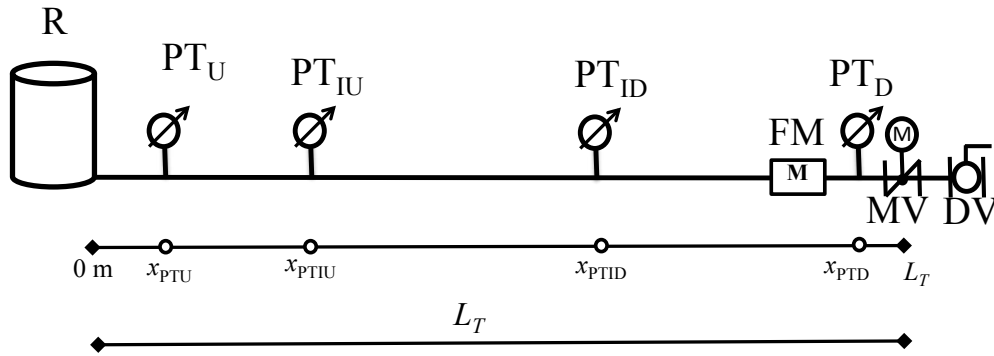


Figure 2: The laboratory set-up. R is the upstream air vessel, PT_X denotes a pressure transducer, FM is the flow meter, MV and DV are the remotely controlled butterfly valve and the hand operated ball valve, respectively. Distances are given in Table 2.

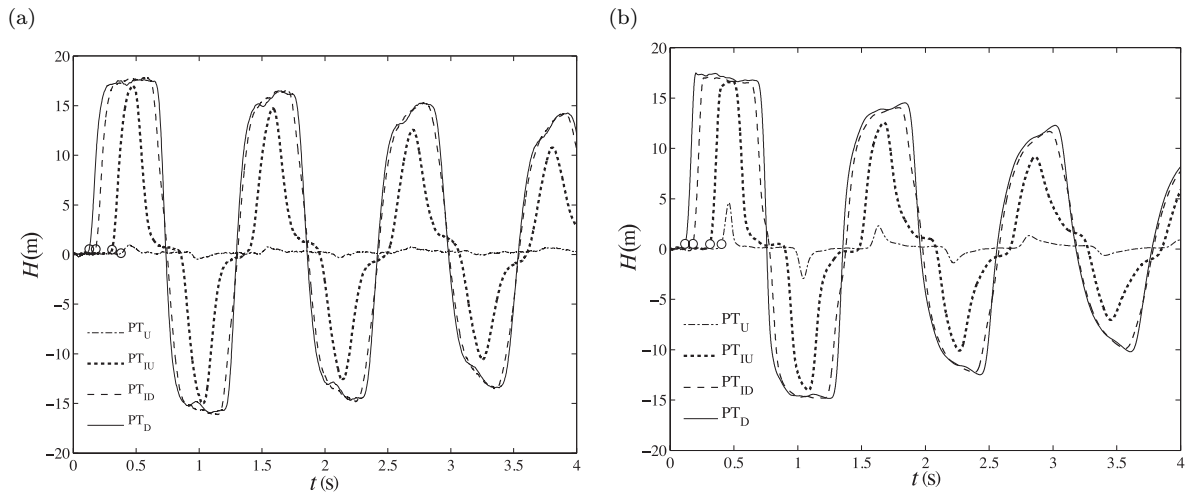


Figure 3: Measured pressure signals acquired by the pressure transducers on (a) PVC-O and (b) HDPE. Hollow circles denote the arrival times at the measurement sections of the first pressure wave traveling from MV to R.

234 sponded to a Reynolds number $R_0 = 45740$ while for HDPE it was $Q_0 = 3.64$ l/s and $R_0 = 47880$.

235 The obtained variations in time of the piezometric head, or pressure signals, acquired at the
 236 frequency of 100 Hz at the four measurement sections (PT_U , PT_{IU} , PT_{ID} , and PT_D) are shown in
 237 Figures 3a and 3b, for PVC-O and HDPE, respectively. In these figures, as well as in the following,
 238 the pressure signals are referred to the piezometric head in the air vessel R, which was almost
 239 constant during the tests and equal to 21.5 and 20.0 m for PVC-O and HDPE, respectively.

240 The different rheological behavior of the two polymeric materials reflects in different periods and
 241 pressure amplitude damping over the experiment duration.

242 5 Numerical simulations

243 To fit the experimental data by means of a numerical model based on Eqs (20) or (21), the discharge
 244 variation at the downstream end, ΔQ_D , and a set of values of the viscoelastic parameters must be
 245 provided. To define ΔQ_D , a hyperbolic function:

$$\frac{\Delta Q_D}{Q_0} = \frac{1}{2} [1 - \tanh(k_1 t - k_2)] \quad (22)$$

246 has been fitted to the experimental data so that the numerical model reproduces with a good
 247 agreement the raising limb of the pressure signals in the first characteristic time (Brunone &
 248 Morelli, 1999). For both systems the values of the two parameters $k_1=32 \text{ s}^{-1}$ and $k_2= 5.5$ have
 249 been used. The estimated flow variation at the valve was then introduced in the numerical model
 250 at the downstream end node to simulate the maneuver of MV.

251 To evaluate the viscoelastic parameters for each model, a calibration is performed based on the
 252 fitting of the the numerical results to the measured data. As a measure of the fitting reliability,
 253 i.e. of the distance between the numerical model results and the experimental data, the sum of the
 254 squared errors:

$$\sigma^2 = \frac{\sum_{i=1}^n (H_i - \hat{H}_i)^2}{n} \quad (23)$$

255 is used, where n is the number of samples and H_i (\hat{H}_i) is the i -th piezometric head value simulated
 256 (measured) at PT_D .

257 To calibrate the models, i.e. to define the optimal set of the parameter values which minimizes
 258 the optimization function σ^2 , two steps are considered. In the first step, σ^2 is calculated on a
 259 regular grid in the space of the parameters and a minimum value is obtained by the direct scrutiny
 260 of the grid data. As a second step, a minimum search algorithm is used to determine the optimal
 261 values of the parameters, starting from the grid minimum solution. This particular way of exam-
 262 ining the optimization function is made possible by the reduced computational burden of the used
 263 transient simulation model. The provided shape of the optimization function over a large range of
 264 the parameter values suggests some general remarks on calibration techniques.

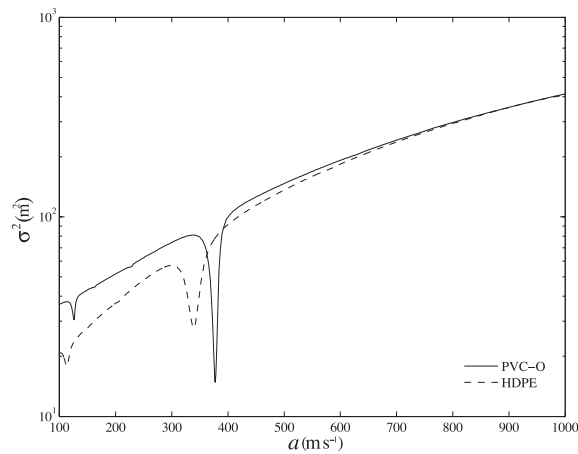


Figure 4: EL model - Variation of σ^2 with a for PVC-O and HDPE.

265 5.1 The elastic model EL and the effects of the unsteady-friction

266 The implementation of the elastic rheological element produces the simplest model among those
 267 considered in this work.

268 In Fig. 4 the variation of σ^2 with a is shown for both PVC-O and HDPE pipes. In both cases
 269 the function σ^2 has two local minima in the considered range of variation of a . For the test on
 270 the PVC-O pipe (solid line), the global minimum of σ^2 is for $a = 377.4$ m/s while the other local
 271 minimum is for $a = 377.4/3 = 125.8$ m/s. The value corresponding to the global minimum is close to
 272 that associated to the speed of the first wave traveling from MV to R. In fact, assuming that the
 273 wave arrival times at the measurement sections correspond to those pointed out by hollow circles
 274 in Fig. 3a, a mean value of 405.0 m/s can be obtained.

275 For the test on the HDPE pipe (dashed line) the global minimum corresponds to the lowest
 276 value, i.e. 112.9 m/s, and not to the more reasonable value of 338.8 m/s, closer to the value of
 277 352.0 m/s estimated by means of the wave arrival times pointed out by hollow circles in Fig. 3b
 278 and associated to the other local minimum.

279 The term *wave speed* is here clearly associated to the parameter a , which is determined by
 280 calibrations and is constant in time. Other definitions can be used in a quantitative and qualitative
 281 manner to describe the actual traveling wave speed (Tijsseling & Vardy, 2015). The used definition
 282 and the difficulties in defining the actual arrival times can explain the differences between a and
 283 the estimated first wave speed.

284 The reasons of the two minima and the behaviour of σ^2 for the HDPE system in Fig. 4 are
 285 explained in Fig. 5b where the simulated pressure signals corresponding to the optimization func-

286 tion minima are compared to the experimental results. The damping due to the viscoelastic effect
 287 cannot be interpreted by the implemented elastic model and hence the value of a that reproduces
 288 the correct number of periods ($a = 338.8$ m/s) systematically overestimates the acquired signal.
 289 On the contrary, a simulation with a wave speed reduced to $a = 338.8/3 \simeq 112.9$ m/s produces
 290 a signal with a lower damping, underestimating the measured value for the first duration. As a
 291 result, the smallest value of a yields a reduced value of σ^2 with respect to what can be defined the
 292 correct one. In fact, considering $a = 112.9$ m/s as the optimal value corresponds to affirm that a
 293 not working clock is more accurate than a clock 10 minutes late, since it is able to reproduce the
 294 correct time two times per day. In terms of calibration procedure, the chosen optimization function
 295 does not penalize a wrong number of periods and the mistake is introduced in the used measure
 296 of the distance between simulated and experimental data.

297 In Fig. 5a, two numerical signals obtained by the calibrated EL model are compared to the
 298 experimental one (EXP) for the PVC-O system. For the first one (EL), the unsteady-friction
 299 effects have been neglected ($k_B = 0$). For the second one (EL+UF), the unsteady friction effects
 300 are evaluated with $k_B = 0.005$ as determined by means of the diagrams in (Pezzinga, 2000). Based
 301 on the comparison of these two numerical signals, the considered test conditions (Duan, Ghidaoui,
 302 & Lee, 2010; Duan et al., 2012), and the aims of this paper, the unsteady-friction effects are
 303 considered as negligible and are not included in the following simulations.

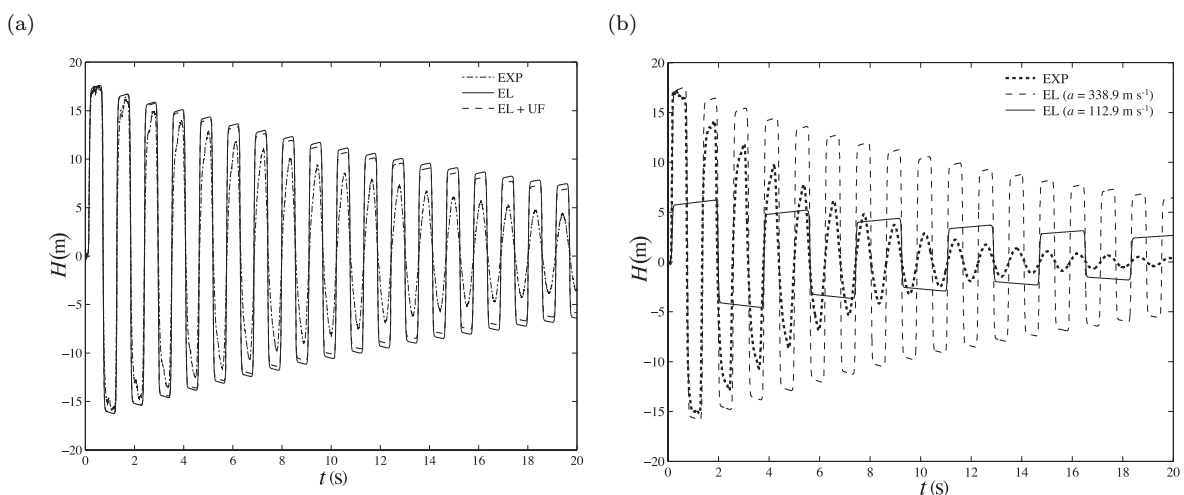


Figure 5: Comparison of the measured and simulated values (EL model) of H at PT_D for (a) PVC-O and (b) HDPE.

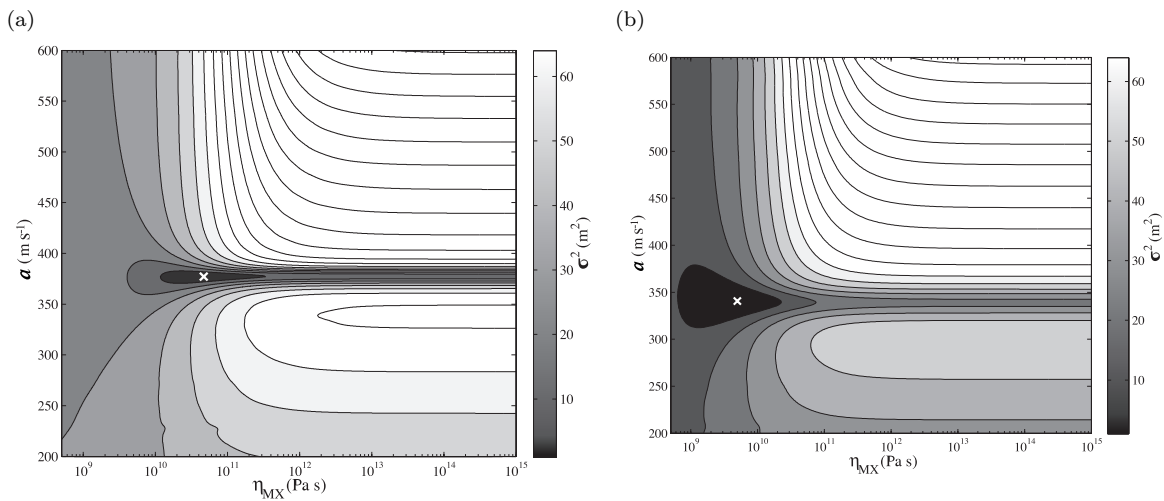


Figure 6: MX model - Variation of σ^2 with a and η_{MX} for (a) PVC-O and (b) HDPE.

304 5.2 The Maxwell model, MX

305 In Fig. 6 the variation of σ^2 with the two parameters of the MX model, a and η_{MX} , is shown for
 306 PVC-O and HDPE. Due to the considered variation range, a logarithmic scale is used for the η_{MX}
 307 axis. For both the materials, σ^2 depends on the two parameters in a completely different manner.
 308 While for a given value of η_{MX} from 10^{10} to 10^{15} Pa s the optimal values of a are similar and close to
 309 the global minimum, the same does not apply for a given value of a and the corresponding optimal
 310 value of η_{MX} . As a result, we expect that while in an optimization procedure any reasonable initial
 311 value of η_{MX} leads to similar values of a , close to the optimal one, the search for the optimal η_{MX}
 312 value is not as easy.

313 Using the minimum value of σ^2 on the 100 by 100 grid as a starting point and applying a non linear
 314 minimum search algorithm, the optimal values of $a = 377.1$ and 340.7 m/s, and $\eta_{MX} = 4.68 \cdot 10^{10}$
 315 and $5.00 \cdot 10^9$ Pa s are obtained for PVC-O and HDPE, respectively. The solutions corresponding
 316 to these values are pointed out by white crosses in Fig. 6 and are used to simulate the numerical
 317 results of Fig. 9 (dashed line).

318 5.3 The Standard Linear Solid model, SL

319 To simplify the representation of the results of the SL model, in Fig. 7 a slice of the optimization
 320 function for the optimal value of a is shown in the plane of the two parameters, E_{SL} and η_{SL} , for
 321 PVC-O (Fig. 7a) and HDPE (Fig. 7b). In these figures, the lines corresponding to the same value
 322 of τ_{SL} are also shown and the axes are both in logarithmic scale.

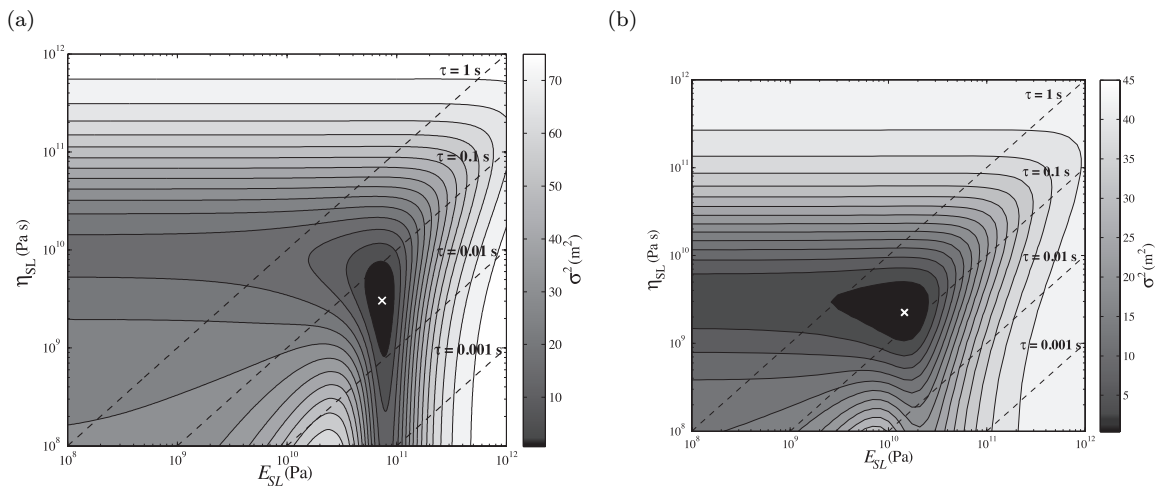


Figure 7: SL model - Variation of σ^2 with E_{SL} and η_{SL} for (a) PVC-O and (b) HDPE, for $a=390.7$ m/s.

323 For PVC-O, the optimal values of $a=390.7$ m/s, $E_{SL} = 7.688 \cdot 10^{10}$ Pa and $\eta_{SL} = 3.3361 \cdot 10^9$ Pa s,
 324 are denoted by a white cross in Fig. 7a and correspond to $\tau_{SL} = 0.0434$ s. For HDPE, the optimal
 325 values of $a=351.4$ m/s, $E_{SL} = 1.456 \cdot 10^{10}$ Pa and $\eta_{SL} = 2.252 \cdot 10^9$ Pa s, also denoted by a white
 326 cross in Fig. 7b, correspond to $\tau_{SL} = 0.155$ s.

327 The dependence of σ^2 on the two considered parameters in Fig. 7 is not as simple as that of
 328 Fig. 6 and curves corresponding to the same value of σ^2 are parallel to one of the axis or to the
 329 other one, depending on the considered range of variation of the parameter.

330 5.4 The Generalized Maxwell model, GM

331 Figures 8a and 8b show the dependence of the optimization function on two of the calibration
 332 parameters, θ and k_θ , for the optimal value of a , for the PVC-O and the HDPE pipe, respectively.

333 For k_θ , a range of variation over several orders of magnitude is considered, comparable to those
 334 used for E and η , for the other models. On the opposite, only the interval from 0 (elastic) to 1
 335 (viscous) is considered for θ . An investigation of the model behavior outside of this range confirmed
 336 that a derivative of order greater than one does not provide a good fitting to the experimental data.
 337 The limited range of θ is an advantage in the calibration procedure.

338 The optimal values of $\theta=0.0537$ and 0.1874 for PVC-O and HDPE, respectively, suggest
 339 that PVC-O is closer to an elastic material ($\theta=0$) than the HDPE. The optimal values of
 340 $k_\theta=2.3203 \cdot 10^{10}$ Pa s $^\theta$ and $6.2926 \cdot 10^9$ Pa s $^\theta$ are between the corresponding values of E_{SL} and
 341 η_{SL} for both materials.

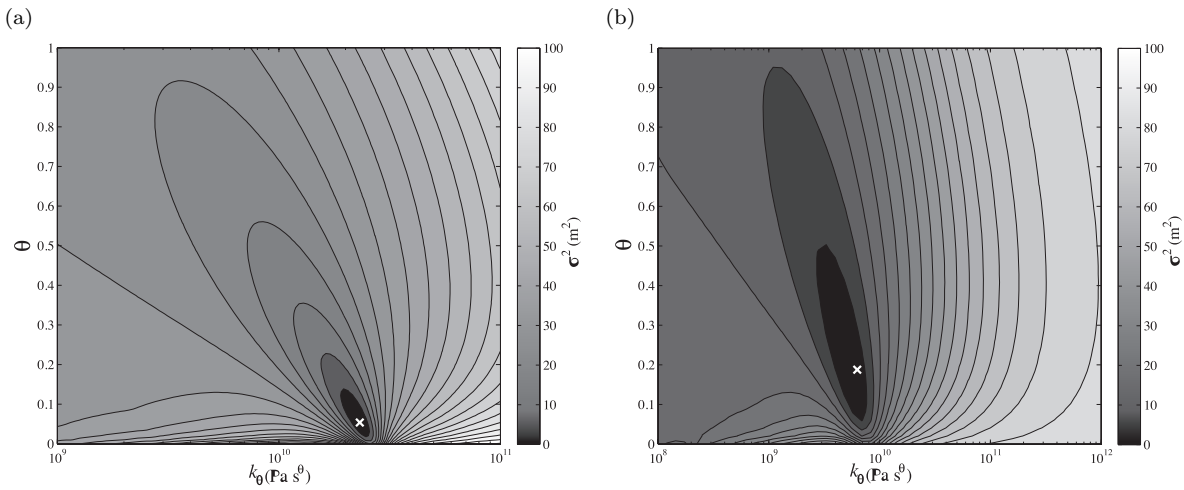


Figure 8: GM model - Variation of σ^2 with k_θ and θ for (a) PVC-O and (b) HDPE, for $a=424.6$ and 376.54 m/s, respectively.

Table 3: Results of the model calibration with the experimental data on PVC-O.

Model	σ^2	Parameters	
EL	14.694 m ²	a	377.4 m/s
MX	2.103 m ²	a	377.1 m/s
		η_{MX}	4.680 10 ¹⁰ Pa s
SL	0.6783 m ²	a	390.7 m/s
		E_{SL}	7.376 10 ¹⁰ Pa
		η_{SL}	3.047 10 ⁹ Pa s
GM	0.6509 m ²	a	424.6 m/s
		θ	0.0537
		k_θ	2.3203 10 ¹⁰ Pa s ^{θ}

Table 4: Results of the model calibration with the experimental data on HDPE.

Model	σ^2	Parameters	
EL	27.762 m ²	a	338.8 m/s
MX	1.205 m ²	a	340.7 m/s
		η_{MX}	4.500 10 ⁹ Pa s
SL	0.3719 m ²	a	351.4 m/s
		E_{SL}	1.4559 10 ¹⁰ Pa
		η_{SL}	2.2517 10 ⁹ Pa s
GM	0.3282 m ²	a	376.5 m/s
		θ	0.1874
		k_θ	6.2926 10 ⁹ Pa s ^{θ}

342 **6 Discussion of the results**

343 The obtained results for PVC-O and HDPE are summarized in Tables 3 and 4, respectively.

344 The calibration of the EL model confirms once again that, for the polymeric materials, an elastic

345 rheological model cannot reproduce the pressure signals during transients. In fact, even if the

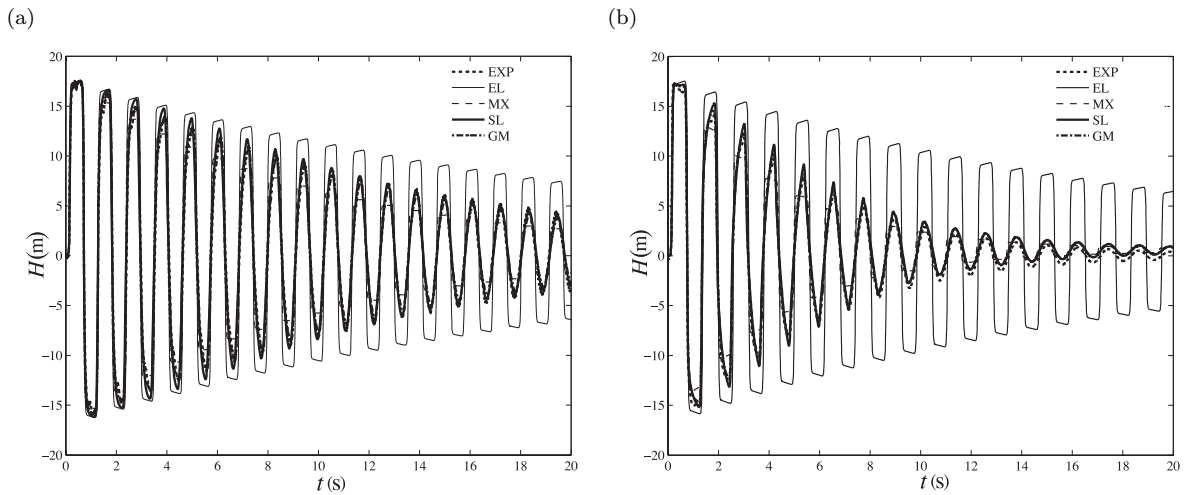


Figure 9: Comparison of the measured and simulated values of H at PT_D for (a) PVC-O and (b) HDPE.

346 periodicity is captured by the estimated wave speed, the peaks shape and the signal damping
 347 cannot be modeled using only one parameter. The comparison of Figs 5a and 5b and the obtained
 348 values of σ^2 confirm that PVC-O behaves more as an elastic material than HDPE, at least in the
 349 considered test conditions.

350 Figures 9 and 10 show that MX reproduces the periods and the squared shape of the oscillations
 351 of EL, but the added parameter allows to explain the damping of the peaks due to the viscoelasticity
 352 for both PVC-O and HDPE. The limits in the use of this model were expected, since it is well
 353 known that the MX model cannot properly reproduce the creep of the material. Nevertheless it
 354 is worth of noting how the introduction of one parameter significantly improves the numerical
 355 modeling.

356 The use of three parameters for SL and GM increases the performance of the numerical sim-
 357 ulations. These models capture the damping better than EL and MX and the simulated signals
 358 resemble the experimental ones also in the rounded shape of the peaks over the long duration, typ-
 359 ical of transients in viscoelastic pipes. The fractional derivatives implemented in GM work better
 360 than the integer order derivatives of SL, both for PVC-O and HDPE, although the differences in
 361 terms of σ^2 are small. The shown dependence of σ^2 on the parameters and the limited range of
 362 variation of θ suggest possible advantages in the calibration of GM with respect to SL.

363 Assuming that θ gives a measure of the viscous behavior of a material, the calibrated values
 364 confirm the “weak” viscoelastic behavior of the PVC-O.

365 To assess the reliability of the optimal set of the estimated parameters, the same numerical

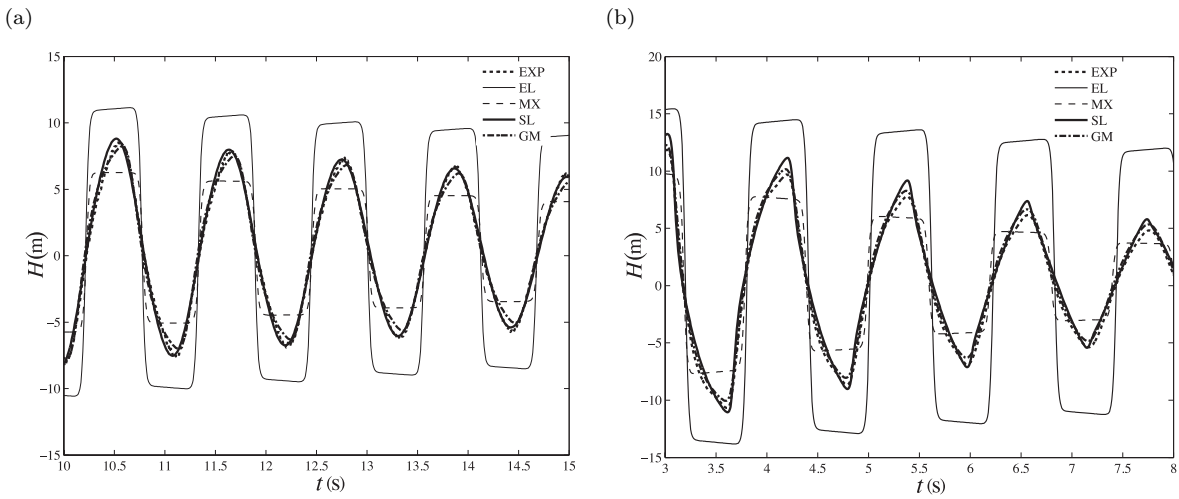


Figure 10: Comparison of the measured and simulated values of H at PT_D for (a) PVC-O and (b) HDPE. The same signals of Fig. 9 are plotted in a narrower time interval to enhance the comparison of the models over the long durations.

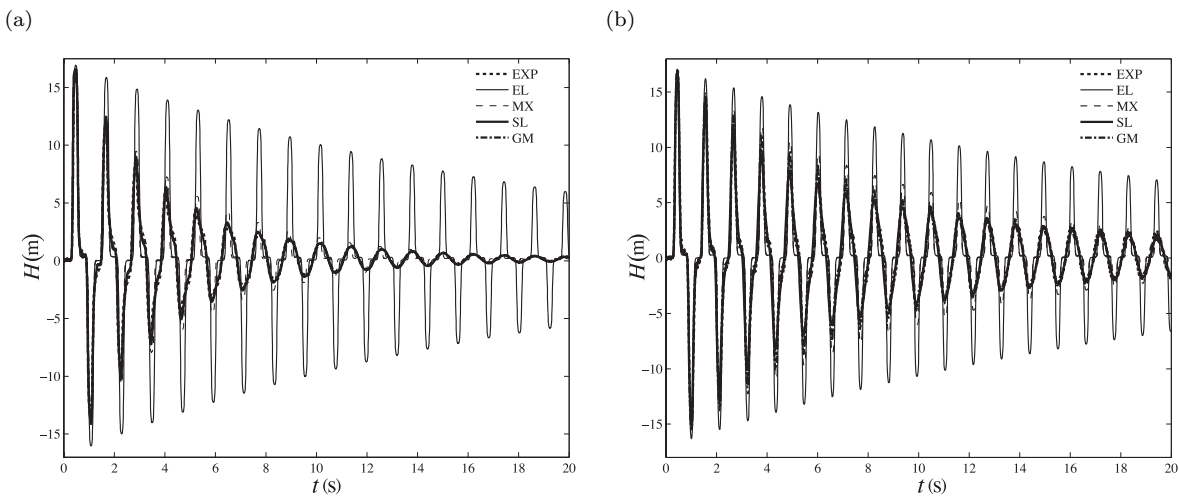


Figure 11: Comparison of the measured and simulated values of H at PT_{IU} for (a) PVC-O and (b) HDPE.

366 models reproducing the experimental data at PT_D with the minimum of σ^2 are used in Figs 11
 367 and 12 and compared to the experimental signals at PT_{IU} . Although the optimization of the
 368 parameters is obtained on the experimental data at PT_D , the obtained sets of parameters are
 369 adequate to reproduce the data also at this other section, with a comparable accuracy. All the
 370 comments regarding the comparison of simulated and experimental data at PT_D apply also at
 371 PT_{IU} , validating the optimization procedure and the results.

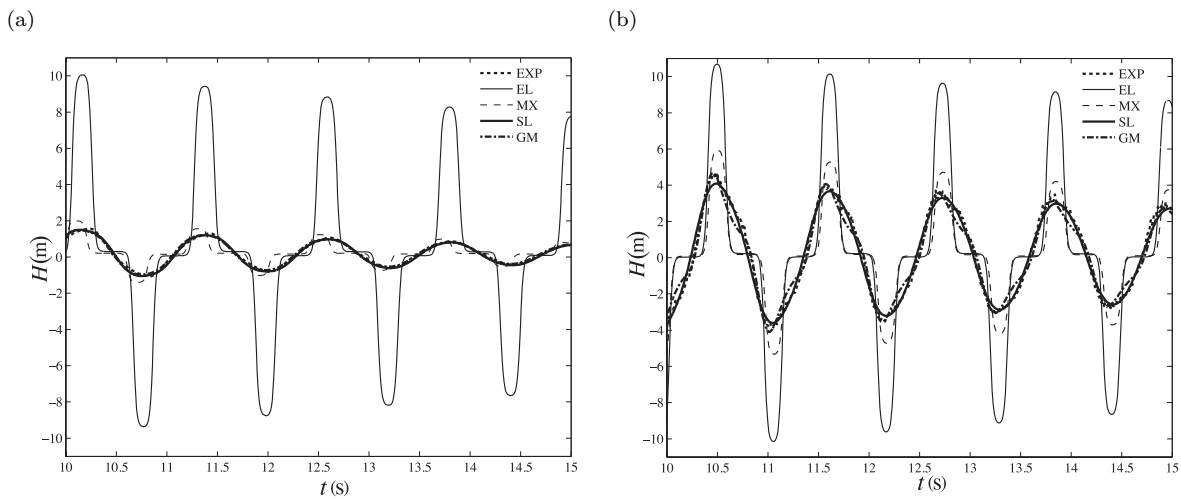


Figure 12: Comparison of the measured and simulated values of H at PT_{IU} for (a) PVC-O and (b) HDPE. The same signals of Fig. 11 are plotted in a narrower time interval to enhance the comparison of the models over the long duration.

372 7 Conclusions

373 In this paper, the reliability of different viscoelastic models in reproducing experimental data ac-
 374 quired during transient tests is analyzed for two polymeric pipe materials with a different rheological
 375 behavior, that are PVC-O and HDPE. The parameters of the viscoelastic models are calibrated and
 376 the results are compared. The calibration procedure is performed by means of a frequency domain
 377 model, which allows a fast and reliable simulation of transients. Furthermore, the implementation
 378 of the viscoelastic models is easier with respect to the time domain models and does not require
 379 any linearization.

380 The sum of the squared residuals between experimental data and numerical results, expressed
 381 by σ^2 , is used as the optimization function and it is analyzed in the space of the parameters.
 382 This choice is suggested by the large use of this function in statistics and information theory.
 383 With reference specifically to transient tests, it is directly or indirectly used by almost all of the
 384 authors in the calibration procedures and can be considered as a standard practice. The shape
 385 of the optimization function is shown for the models to give an insight into the sensitivity of the
 386 calibration to the parameters.

387 The results of the calibrations point out the limits of σ^2 as optimization function and the need of
 388 defining other optimization functions that take into account some peculiar aspects of the transient
 389 pressure signals, such as the number of periods and the damping of the maxima. As an example,
 390 if only the maxima and minima of the pressure signals are considered, the MX model can be

391 considered reliable as the others, with a reduced number of parameters. Furthermore, based on σ^2 ,
392 a numerical model reproducing with a completely wrong period the experimental data could be
393 considered more reliable than one reproducing the correct period.

394 The introduced viscoelastic model GM, based on fractional derivatives, performs slightly better
395 than the well known SL, for both HDPE and PVC-O, although the differences in terms of σ^2 are
396 small. Nevertheless, the calibration reliability and speed can take advantage from the shape of
397 the optimization function of the GM, thus encouraging its use. It is worth of noticing that the
398 parameter θ represents also a simple measure of the degree of viscosity (or elasticity) of the pipe
399 material.

400 Several issues raised by the present investigation need to be further addressed. As an example,
401 attention should be paid to the use of an optimization function suited for transient tests, which
402 penalizes the calibrated models out of phase and helps in the comparison of the main transient
403 characteristics, such as peak values or damping. Further work is also needed to compare models
404 with a different number of parameters and to assess if the increase in the number of the parameters
405 can be justified by the improvement in the description of the experimental data or it represents just
406 an overfitting. Additional efforts are needed to confirm that the advantages in using the fractional
407 derivatives are meaningful as put forward by the presented results.

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